Seminar on higher education at Bogazici University, Istanbul, Turkey, on 3rd February 2005

Higher Education in Japan

Incorporation of national universities and the development of private universities -



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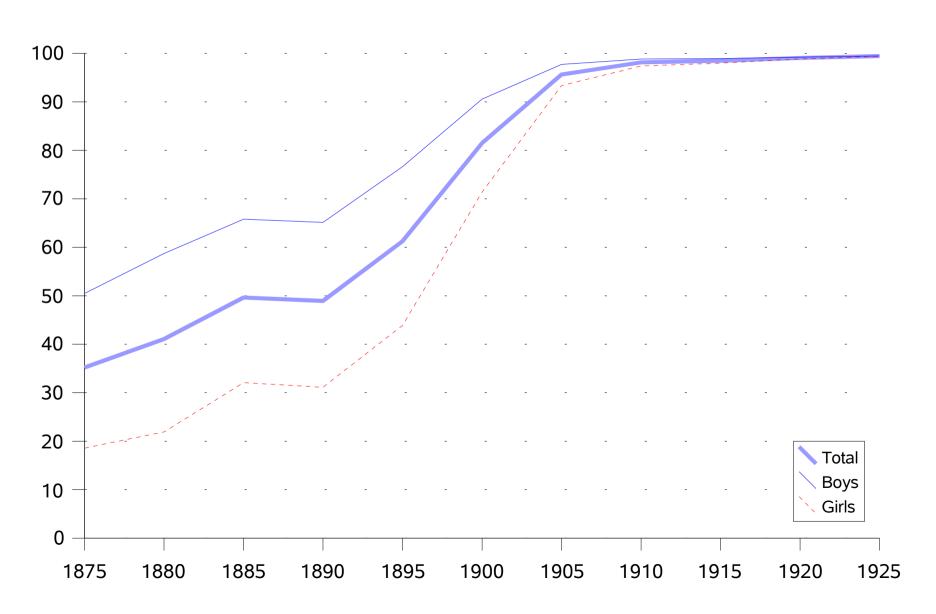
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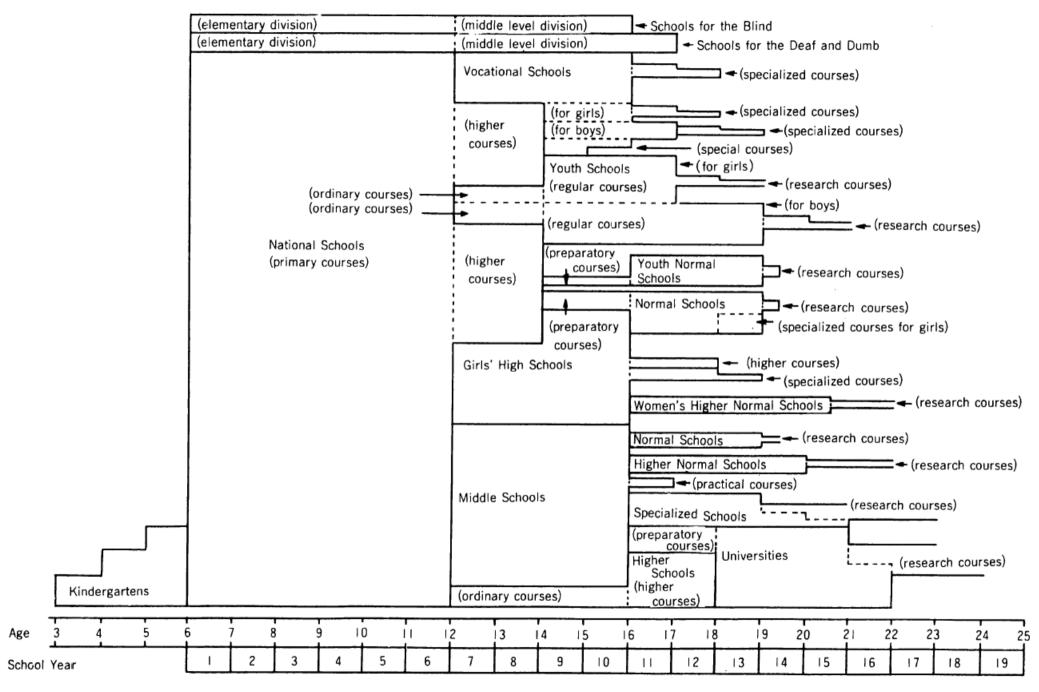
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I Education system in Japan

- Introduction of a modern education system after the Meiji Restoration (1868) - Education System Order (*Gakusei*) in 1872
- Generalisation of elementary education for boys and girls at the beginning of the 20th century

Percentage of children in full time elementary education between 1875 and 1925



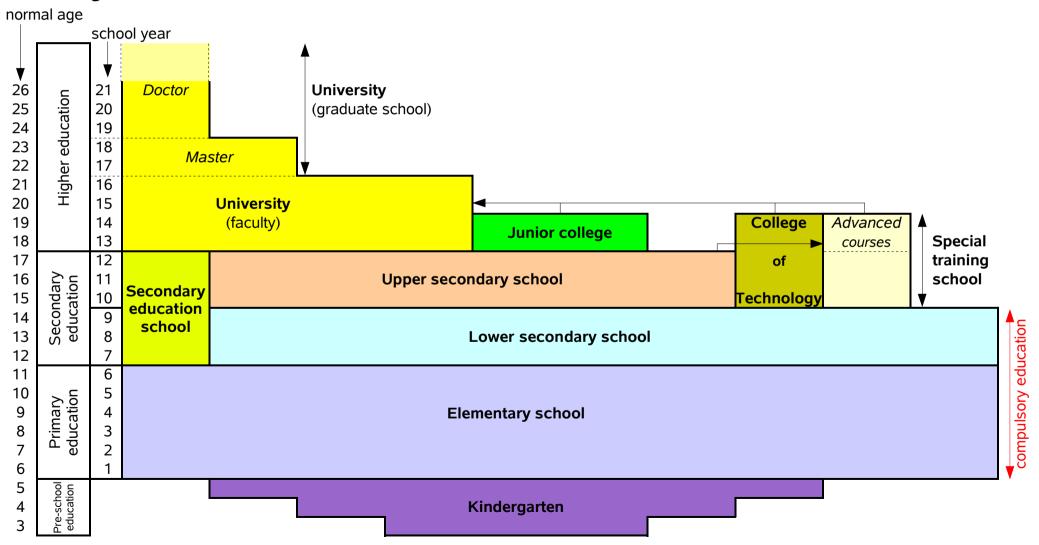


Organisation of the school system in 1944

After World War II

- Entire revision under the occupation
- Nine-year compulsory education
- Unified into a single track system
 - universities being open to every graduate of an uppersecondary school
 - abolition of distinction among higher education institutions, except the junior colleges as an interim system

Organisation of the present school system



Number of schools, students and teachers as of 1st May 2004

	Number of schools (private)	Number of students (private)	Number of teachers* (private)
Kindergarten	14,061	1,753,396	109,853
	(8,363)	(1,390,001)	(83,789)
Elementary school	23,420	7,200,929	414,887
	(187)	(69,300)	(3,480)
Lower secondary school	11,102	3,663,512	249,801
	(709)	(236,006)	(12,840)
Upper secondary school	5,429	3,719,048	255,629
	(1,329)	(569,454)	(60,107)
Secondary education school	18	6,051	470
	(9)	(3,355)	(247)
Special education schools (for handicapped children)	999	98,796	62,255
	(12)	(815)	(259)

^{*} full-time only

	Number of schools (private)	Number of students (private)	Number of teachers* (private)
College of technology	63	58,681	4,474
	(3)	(2,296)	(158)
Junior college	508	233,749	12,740
	(451)	(214,264)	(11,082)
University	709	2,809,323	158,756
	(542)	(2,062,065)	(86,683)
Special training school	3,443	791,540	40,675
	(3,228)	(761,735)	(37,902)

^{*} full-time only

Cost of education

- Free compulsory education
 - very few private institutions
- Upper secondary education
 - cheap public education
 - expensive private education
- Higher education
 - 3/4 students enrolled in private institutions
 - expensive (public institutions as well to less degree)

Curriculum

- Primary and secondary schools
 - Curriculum determined by the Government
 - Textbooks edited by private publishers based on the national curriculum, then authorised by the Government
- Universities
 - At their discretion

A very good performance in primary and secondary education

- Ranking in the OECD's PISA 2000
 - first group for mathematics and science
 - second group for reading
- PISA 2003
 - still in the same groups as the PISA 2000
 - Japan slightly lowered its ranking by country.

PISA 2000: Top 10

	Reading	Mathematics	Science
1	Finland	Japan	South Korea
2	Canada	South Korea	Japan
3	New Zealand	New Zealand	Finland
4	Australia	Finland	UK
5	Ireland	Australia	Canada
6	South Korea	Canada	New Zealand
7	UK	Switzerland	Australia
8	Japan	UK	Austria
9	Sweden	Belgium	Ireland
10	Austria	France	Sweden

PISA 2003: Top 10 and Japan

	Reading	Mathematics	Problem-solving
1	Finland	Finland	South Korea
2	South Korea	Japan	Hong Kong
3	Canada	Hong Kong	Finland
4	Australia	South Korea	Japan
5	Lichtenstein	Lichtenstein	New Zealand
6	New Zealand	Australia	Macao
7	Ireland	Macao	Australia
8	Sweden	Holland	Lichtenstein
9	Holland	Czech Republic	Canada
10	Hong Kong	New Zealand	Belgium

14 <mark>Japan</mark>

II Higher education in Japan Foundation of modern higher education institutions

- Establishment of the University of Tokyo (later Imperial University, then Tokyo Imperial University) by the government in 1887
- Other imperial universities in major cities

- Characteristics of these institutions
 - Governmental institutions
 - Organised on the German model
 - Bureaucratic system with quasi-autonomous academic units (faculties)

- Integration of the German model and the Japanese system
 - faculties of engineering and agriculture, generally classed in a polytechnic system in Europe
- cf. In the 1990s in the world
 - integration of polytechnics into university system (UK, Australia, etc.)

Other institutions

- Governmental institutions other than imperial universities
- Local public institutions
- Private institutions
- Specialised School Order in 1903
- University Order in 1918
 - acknowledgement of the university status to non-governmental institutions

Number of higher education institutions as of 1943

	Universities [imperial universities]	Specialised Schools	Total
Governmental (national)	19 [7]	58	77
Local public	2	24	26
Private	28	134	162
Total	49 [7]	216	275

Characteristics of pre-war higher education

- Well-organised bureaucratic administration system in governmental institutions
- Coexistence of the three sectors of higher education institutions governmental (national), local public and private
- Absolute priority to the national institutions, especially the imperial universities

After the war (as of 1949)

- 70 national universities without difference in legal status among them
- 17 local public universities
- 81 private universities
- Junior colleges (regarded as provisional)

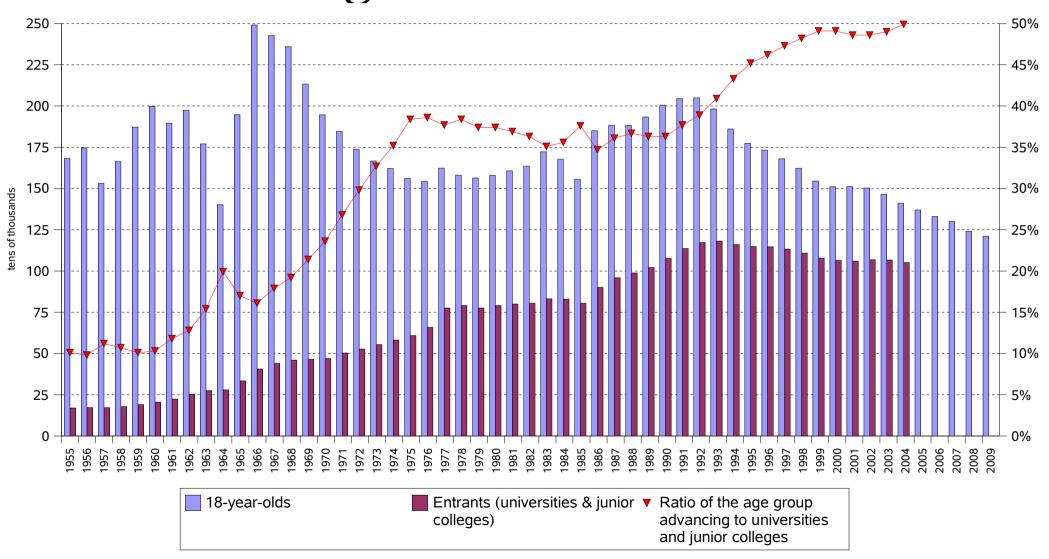
University education after the war

- Introduction of the American model
- Two layers of undergraduate education
 - general education
 - two-year specialised education
- School of liberal arts

The planned expansion of higher education after 1975

- A decade plan for higher education from 1976 to 1986
- Creation of special training schools (advanced courses) as non-university institutions in 1975

Trends in 18-year-old population and access to higher education



III Incorporation of national universities

- 1. The University Council and deregulation in higher education
- Towards the universal phase (M. Trow)
 - Over 50% in 1987 (non-university sector included)
- Decade plan was over in 1986
- Establishment of the University Council in 1987
 - academic and non-academic members
 - comprehensive study on higher education

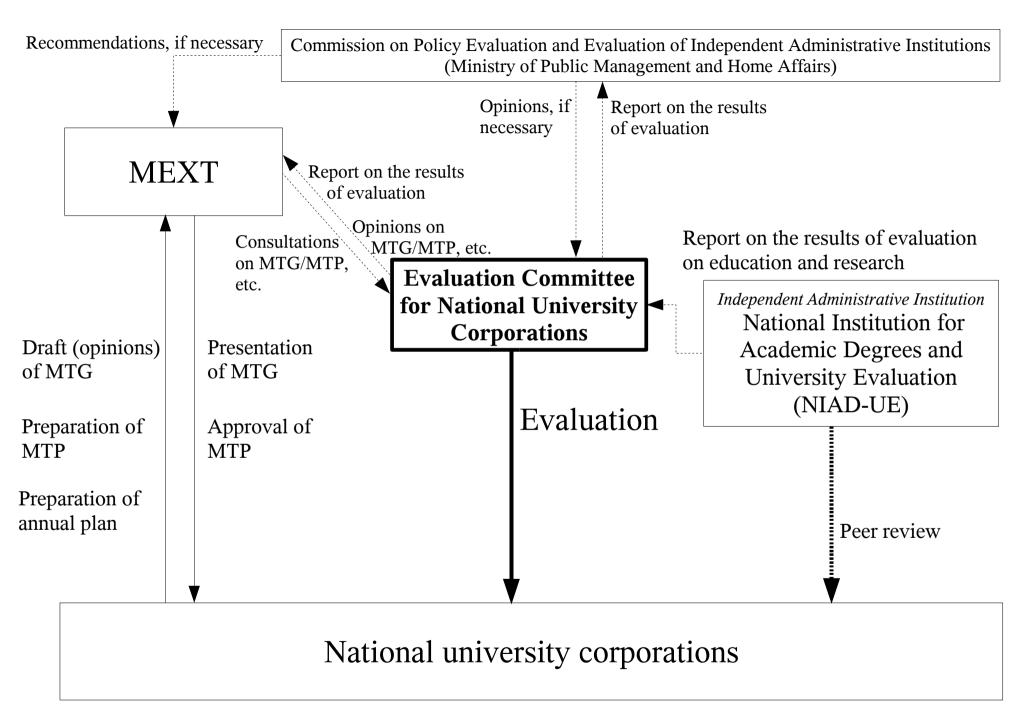
- Abolition of subject areas in 1991
 - structure curricula reflecting their own educational ideals and objectives
 - no definition of subject areas, such as general education and specialised education
 - no requirement on obtaining a certain number of credits in each subject area (acquisition of a minimum total number of credits only)

1998 Report A Vision for the University of the 21st Century and Future Reform Measures: Distinctive Universities in a Competitive Environment

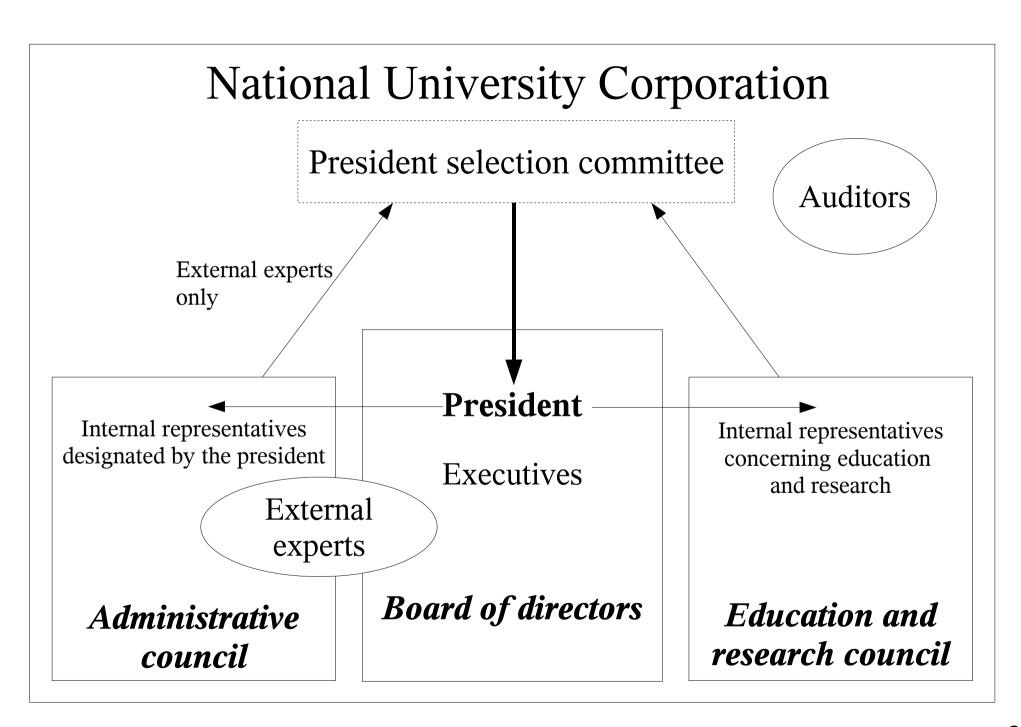
- Improve the quality of education and research with the purpose of nurturing the ability to investigate issues;
- Secure university autonomy by making the educational and research system structure more flexible;
- Establish university administration and management with responsible decision-making and implementation; and
- Individualise universities and continuously improve their education and research by establishing multiple evaluation systems.

2. Incorporation of national universities in 2004

- Change in the status of the governmental institutions
- Legal personality and more autonomy
- Non-public servant status for staff
- Participation of external people in university administration



MTG: medium-term goals MTP: medium-term plan



After incorporation - what has happened and problems

- Finance
- Governance
- Evaluation

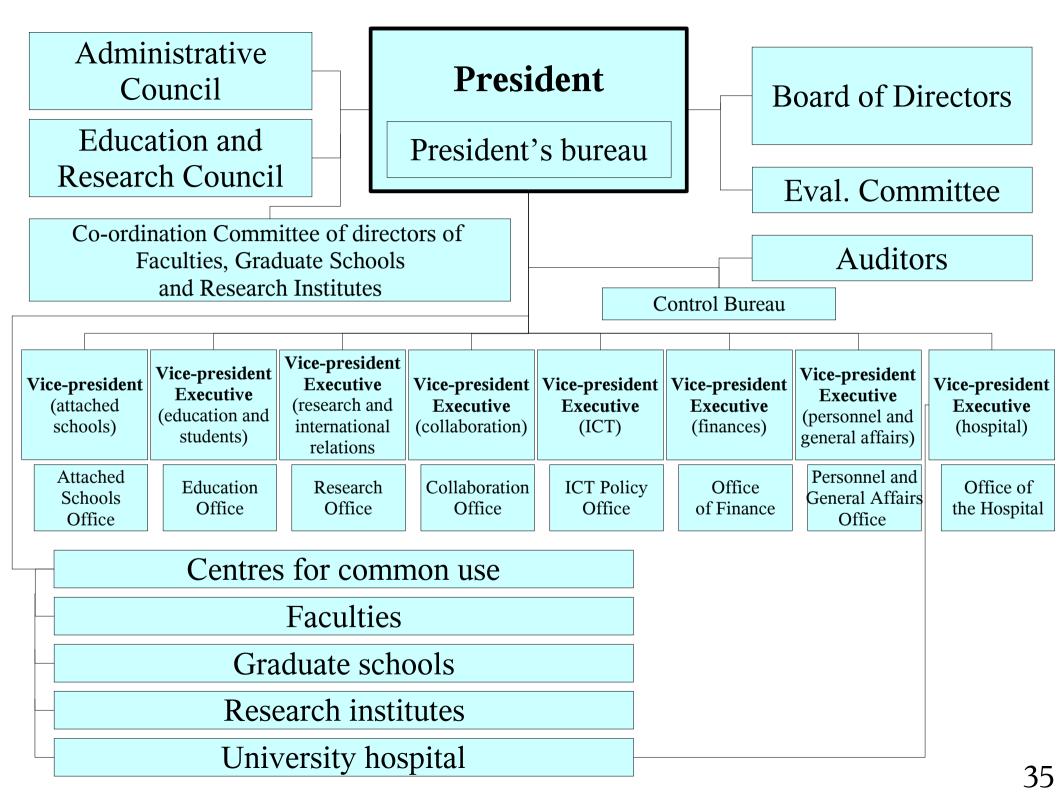
(1) Financial stability of national universities

- Operational grant to be diminished from FY2005
- Rise of standards of fees set by the MEXT
 - revision of fees up to each university
- Difficulty in finding other sources

- Cost of the increased autonomy
 - Confrontation with student and staff unions
 - Pressure from the community
- Different fees among national universities?
- Very precarious situation of national universities

(2) Improvement of the university governance

- Efforts for dissolution of the "dual structure"
- Construction of an administrative structure centring on the president



- Leadership of the president
- Wide (and positive) participation of constituent members
- Development of non-academic staff

- Danger of an excessive concentration of powers
- Shared governance (R. Birnbaum)

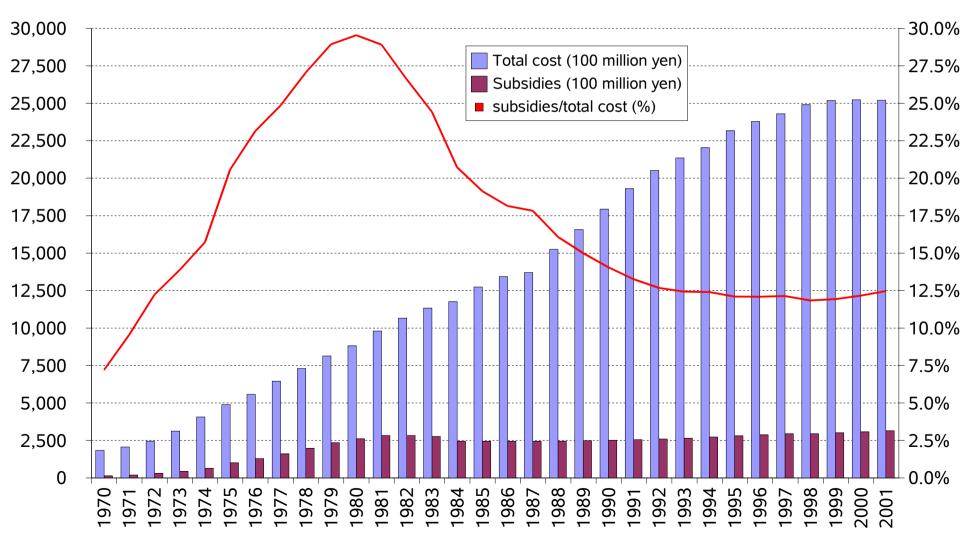
(3) The evaluation

- Underdeveloped evaluation methods
- Time consuming

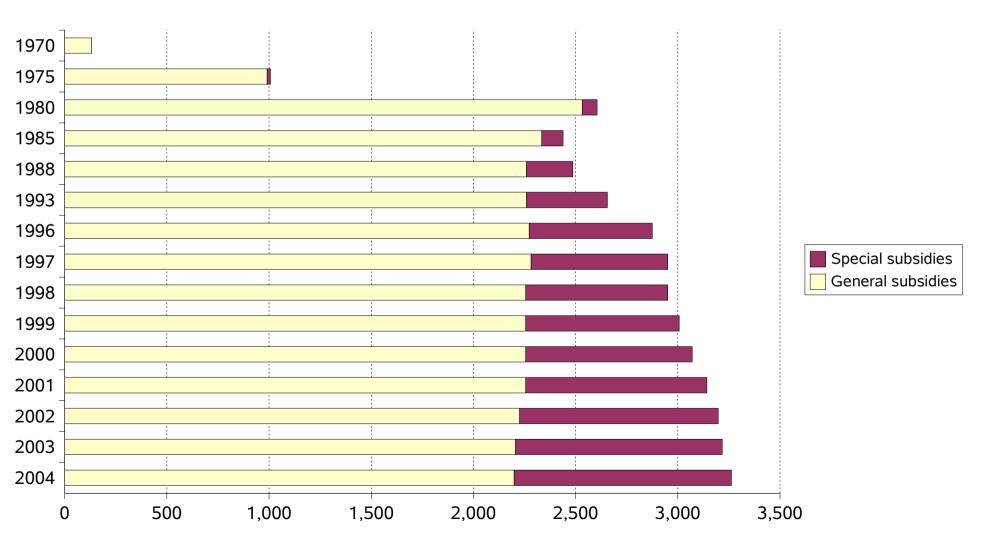
IV The development of private universities

- 1. Public financing to private institutions
- Private School Promotion Subsidy Law in 1975

Current expenditures of private HE institutions and Government subsidies



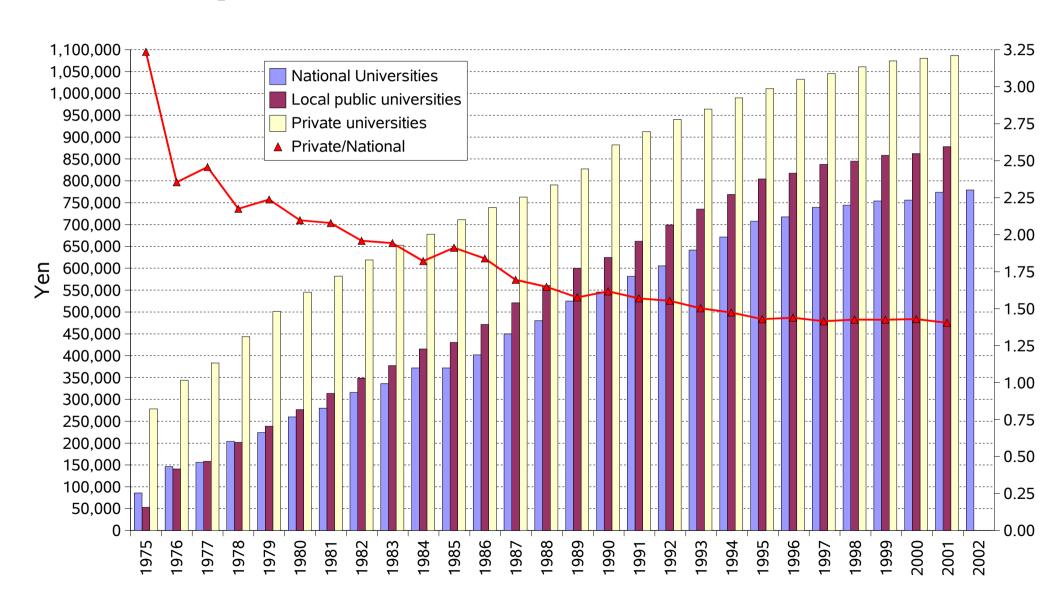
General subsidies & Special subsidies to private institutions for the current expenditures (100 million yen)



2. Public and private universities in direct competition

- Governmental funds to HE institutions in the FY2003
 - 97 national institutions and others : <u>1,525,606</u> million yen
 - 989 private universities and junior colleges : <u>321,750</u>
 million yen

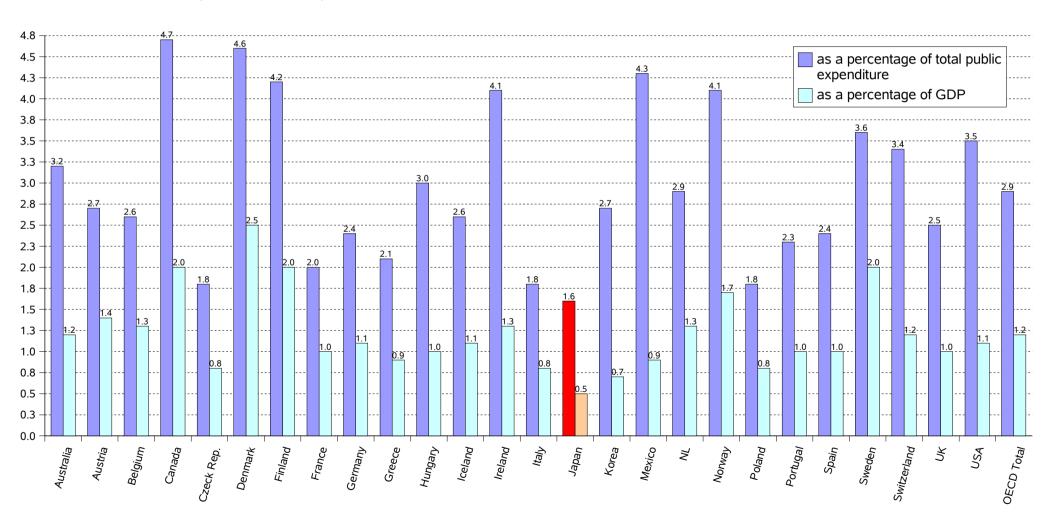
The first year tuition fees (entrance fees included) by sector and the ratio of tuition fees of private universities to those of national universities



Poor public expenditure on higher education

- Questioning by the private sector against the public sector
- Administrative reform
- Pressure towards the reduction of public expenditure on HE
 - decrease in subsidies to private universities
 - increase in tuition fees of national universities

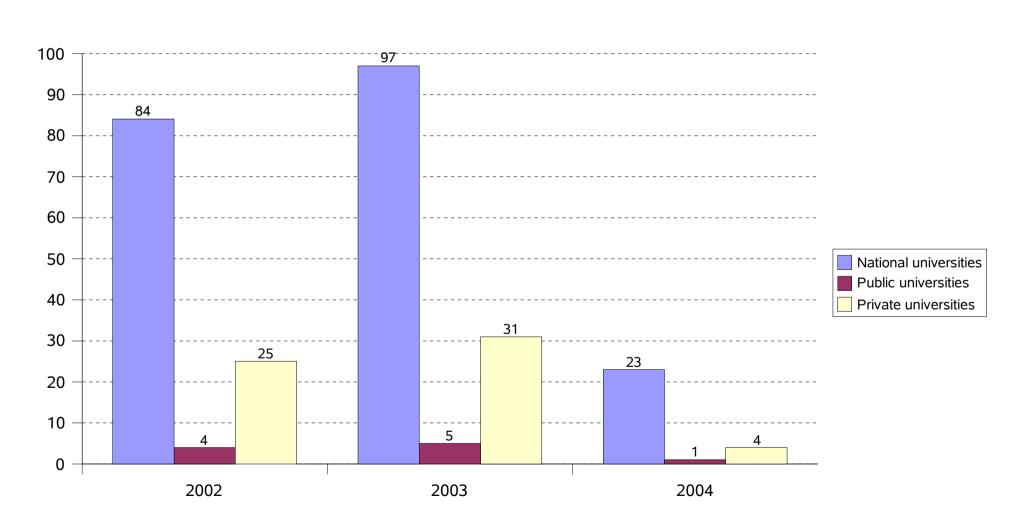
Public expenditure on higher education (2000) in OECD countries



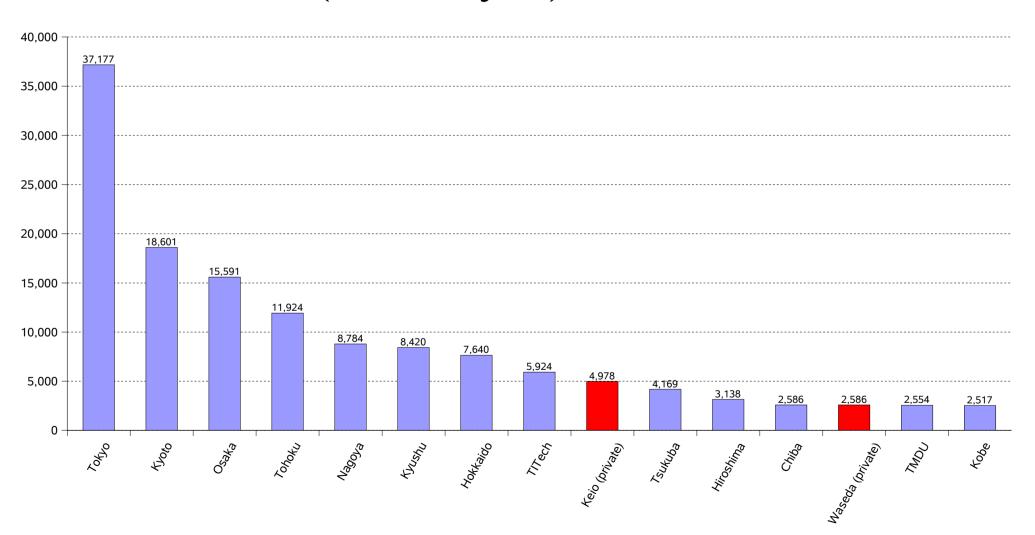
Increase in competitive funds open to public and private institutions

 Competitive funds open indifferently to public and private institutions

Number of COE projects adopted by the MEXT, by sector



Top 15 universities ranked by the amount of competitive research funds awarded by the Government (million yen)

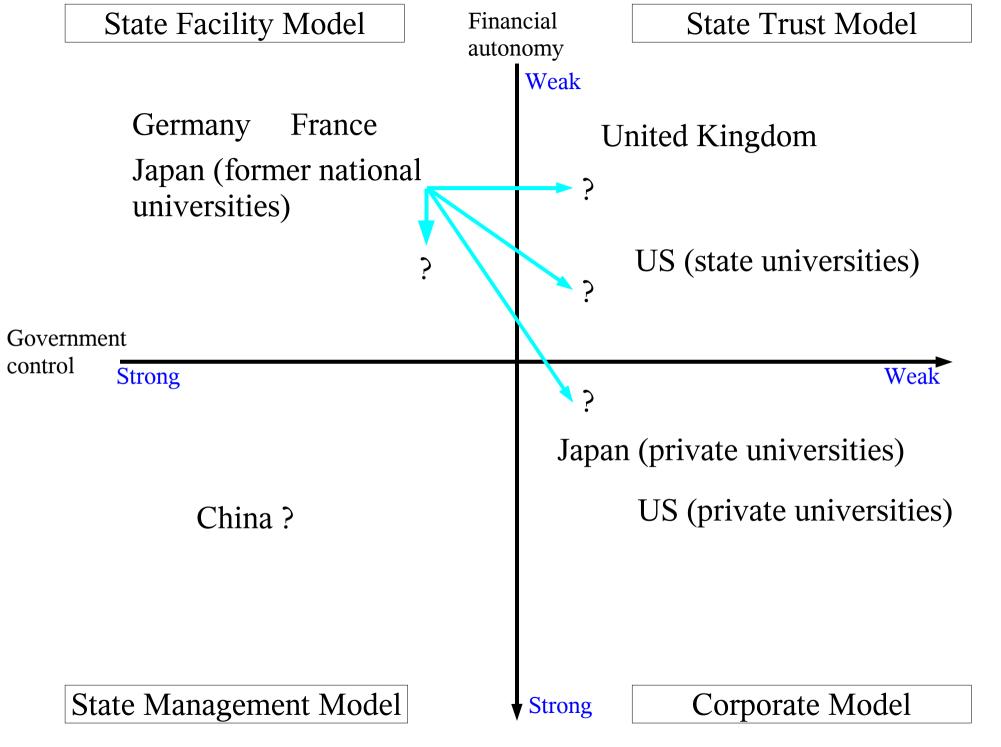


For-profit universities

• For-profit universities in *Special Zones for Structural Reform* on experimental basis from 2004

V Where are national universities go-ing?

- Continuous discussions on the privatisation of national universities
 - Prime Minister Koizumi at the Diet
 - Opposition party's policy
 - Newspapers' questionnaire etc.
- Where are national universities going?



- Increasingly blurred distinction between the public and private sectors
 - increased autonomy for national universities
 - declining governmental support for national universities
 - competitive funds open to every sector
 - institutional evaluation (accreditation) for all universities every seven years

VI What is the future of Japanese higher education?

- Closing distance between the public and private sectors
- National universities will survive, at least for the time being.
 - political
 - administrative
 - social

- Functional differentiation being more important.
- Difficult institutional evaluation.
- The Government should be more supportive.
 - rather than controls or evaluations
 - paraeducational activities or services

Summery

- Increased presence of private higher education
- Public and private sectors in direct competition for increasingly scarce resources
- Privatisation of national universities is unlikely, for the time being at least. It will remain mainly a political affair.
- Difficult institutional evaluation
- Increasingly important functional differentiation
- Redefinition of the Government's roles is necessary.