

Integrality of Dynkin indices for  
totally geodesic submanifolds of  
compact symmetric spaces

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## §1 Main results and Examples

$M, N$ : isotropy - irreducible

compact connected symmetric spaces of dimension  
 $\geq 2$

$\iota : M \rightarrow N$  : a totally geodesic immersion.

Theorem A (Main theorem)

$$D_{M,N}(\iota) := \frac{K(N,g)}{K(M,\iota^*g)} \in \mathbb{Z}_{\geq 1}.$$

where  $g$  is an invariant Riemannian metric on  $N$ .

Notation : For each compact Riemannian mfld  $(S, g)$ ,

we denote by  $K_{(S, g)}$  the maximum value of  
sectional curvature on  $(S, g)$ .

that is,

$$K_{(S, g)} := \max \left\{ \text{the sectional curvature of } V \mid \begin{array}{l} p \in S, \\ V \subset T_p S : \\ \text{2-dim'l} \end{array} \right. \text{w.r.t. } g \left. \right\}$$

## Notation

$M, N$  : symmetric spaces.

$\text{Hom}(M, N) := \{ \varphi : M \rightarrow N \mid \begin{matrix} \text{totally geodesic} \\ \text{immersions} \end{matrix} \}.$

Example A :  $M = S^m$ ,  $N = S^n$  ( $m \geq m \geq 2$ ).

$$\# \left( \frac{\text{Hom}(S^m, S^n)}{O(n+1)} \right) = 1.$$

$$b_2 \in \text{Hom}(S^m, S^n), D_{\text{dyn}}(b_2) = 1.$$

In other words, for  $r_1, r_2 > 0$ , we have

(i)  $S^m(r_1)$  has totally geodesic isometric immersion  
into  $S^n(r_2)$ .

(ii)  $K_{S^m(r_1)} = K_{S^n(r_2)}$  ( $\Leftrightarrow r_1 = r_2$ ).

## Example B

$$M = S^2, \quad N = \text{Gr}_2(\mathbb{R}^5).$$

$$\# \left( \frac{\text{Hom}(S^2, \text{Gr}_2(\mathbb{R}^5))}{\text{O}(5)} \right) \leq 7.$$

$$\text{D}_{\text{gen}} \left( \text{Hom}(S^2, \text{Gr}_2(\mathbb{R}^5)) \right) = \{1, 2, 10\}.$$

## Example C

$$M = S^2, \quad N = \text{Gr}_3(\mathbb{R}^6).$$

$$\# \left( \text{Hom}(S^2, \text{Gr}_3(\mathbb{R}^6)) / O(6) \right) \leq 6.$$

$$\text{D}_{\text{gen}} \left( \text{Hom}(S^2, \text{Gr}_3(\mathbb{R}^6)) \right) = \{1, 2, 4, 10\}.$$

## Observation

For  $L \xrightarrow{g} M \xrightarrow{\varphi} N$ ,

we have

$$D_{\text{yn}}(z \circ g) = D_{\text{yn}}(g) \cdot D_{\text{yn}}(z).$$

More precisely, " $D_{\text{yn}}$ " gives a covariant functor  
from  
the category of isotropy-irred. cpt conn. symm. sp of  $\dim \geq 2$   
to  
& tot. geod. immersions

The monoid  $\mathbb{Z}_{\geq 1} \left( \begin{array}{l} \text{Obj} = 1 * \{ \\ \text{Hom}(*, *) = \mathbb{Z}_{\geq 1} \end{array} \right)$

## Example D

We observe that

$$D_{\text{yn}}(\text{Hom}(\text{Gr}_2(\mathbb{R}^5), \text{Gr}_3(\mathbb{R}^6))) = 311$$

In particular, for any  $\gamma \in \text{Hom}(\text{Gr}_2(\mathbb{R}^5), \text{Gr}_3(\mathbb{R}^6))$

and any Helgason sphere  $S$  of  $\text{Gr}_2(\mathbb{R}^5)$ ,

there exists a Helgason sphere  $S'$  of  $\text{Gr}_3(\mathbb{R}^6)$

s.t.  $\gamma(S) \subset S'$  (totally geodesic).

Rem : "Dyn" is not "complete invariant" in general

Exemple E Let  $N := \frac{SU(8)}{SO(8)}$

$$\exists z_1, z_2 : S^2 \rightarrow N$$

s.t.

$$D_{\text{Dyn}}(z_1) = D_{\text{Dyn}}(z_2) \quad \text{but}$$

$$z_1(S^2) \neq z_2(S^2)$$

$$\text{Aff}(N)$$

## Theorem B :

Suppose that

$N$  is a Hermitian symmetric space  
(isotropy-inred semisimple  
compact connected)

We put

$$\text{Hom}_{\text{hol}}(\mathbb{C}\mathbb{P}^1, N) := \{ z : \mathbb{C}\mathbb{P}^1 \rightarrow N \mid \begin{array}{l} \text{totally geodesic} \\ \text{holomorphic} \\ \text{immersions} \end{array} \}$$

Then

$$\# \left( \frac{\text{Hom}_{\text{hol}}(\mathbb{C}P^1, N)}{A(N)} \right) = \text{rank } N$$

and

$$D_{\text{gen}} : \frac{\text{Hom}_{\text{hol}}(\mathbb{C}P^1, N)}{A(N)} \xrightarrow{::/} \{1, 2, \dots, \text{rank}(N)\}$$

$$\left( \text{Ex: } N = \text{Gr}_k(\mathbb{C}^n) \right)$$

## § 2 Symmetric spaces

Def (Symmetric spaces [cf. 長野, RTMS (206 (2001))])

$M$  : finite dim'l  $C^\infty$ -mfld.

$s : M \times M \rightarrow M$  :  $C^\infty$ -map  
 $(x, y) \mapsto s_x(y)$

$(M, s)$  is a symmetric space if  
the following three conditions hold :

Condition (i)  $s_x : M \rightarrow M$  is an involutive (i.e.  $s_x^2 = id_M$ )  
diffeomorphic for any  $x \in M$

(ii)  $x$  is an isolated fixed point of  $s_x$   
for any  $x \in M$

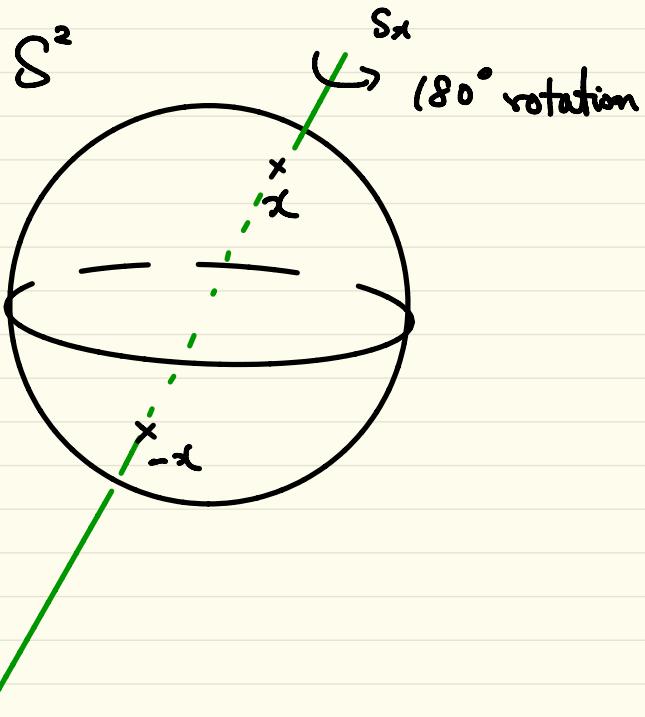
(iii) For any  $x, y$

$$M \xrightarrow{s_x} M$$
$$s_y \downarrow \quad (s_{s_x(y)}) \text{ is commutative}$$

$$M \xrightarrow{s_x} M$$

E<sub>x</sub>

S<sup>2</sup>



$S_x$   
 $180^\circ$  rotation

$M$  : a connected symmetric space

$U = U_M := \text{Aut}(M)$  : the group of automorphisms of  $M$

For each  $x \in M$ , we put

$$U^x := \{ f \in U \mid f(x) = x \}$$

(the isotropy subgroup of  $U$  at  $x$ )

Fact @  $U$  is a Lie gp with respect to  
the compact open topology

- c  $U \curvearrowright M$  is transitive
- c  $(U, U^\times)$  is a symmetric pair
- c  $M \simeq U/U^\times$  as symmetric spaces

Fact :  $\exists!$   $\nabla_M$  :  $U$ -invariant affine connection on  $M$   
and  $\text{Aut } M = \text{Aff } M$ .

### Observation

$(M, s_M), (N, s_N)$ : symmetric spaces

$\nabla_M, \nabla_N$  : invariant affine connections

$f: M \rightarrow N$  : an immersion

Then

(i)  $f$  : totally geodesic w.r.t.  $\nabla_M$  and  $\nabla_N$



(ii)  $f$  : homomorphism w.r.t.  $s_M$  and  $s_N$

$M$  : a connected symmetric space.

We call

$M$  is isotropy - irreducible if

$U^x \cap T_x M$  is irreducible

as real linear representation  
for any  $x \in M$

Fact :  $M$  : an isotropy - irreducible compact connected  
symmetric space

[ Then  $U$  - invariant Riemannian metrics on  $M$   
exist uniquely up to scalar

Therefore for

$M, N$  : isotropy - irreducible compact connected  
symmetric spaces of  $\dim \geq 2$

$\iota : M \rightarrow N$  : a totally geodesic immersion

$K_{(N,g)} / K_{(M,\iota^*g)}$  does not depend on the choice of  
 $U$  - invariant Riemannian metrics  $g$  on  $N$ .

Recall (Theorem A)

$$D_{q_n}(c) := \frac{X_{(N,g)}}{X_{(n,2^ng)}} \in \mathbb{Z}_{\geq 1}$$

## §3 Dynkin indices for homomorphisms between non-compact simple Lie algebras

$M$  : compact connected symmetric space

$$U := \text{Aut}(M)$$

We put  $\mathfrak{u} := \text{Lie } U$

$$\mathfrak{u}^x := \text{Lie } U^x \text{ for each } x \in M$$

Then  $(\mathfrak{u}, \mathfrak{u}^x)$  is a compact symmetric pair

That is,  $\theta_x: \mathfrak{U} \rightarrow \mathfrak{U}$  : an involutive automorphism  
on  $\mathfrak{U}$

$$\text{s.t. } k := \mathfrak{U}^x = \{X \in \mathfrak{U} \mid \theta_x X = X\}$$

We put  $P := \{X \in \mathfrak{U} \mid \theta_x X = -X\}$

$$\text{Then } \mathfrak{U} = k + P.$$

Def

$$[ \quad g := k + \mathbb{J}^{-1}P \quad (\subset \mathfrak{U} \otimes_{\mathbb{R}} \mathbb{C})$$

$\mathfrak{g}_M = \mathfrak{g}$  is a non-compact reductive Lie algebra

Fact  $M$ : isotropy-irreducible with  $\dim M \geq 2$

$\Leftrightarrow \mathfrak{g}_M$ : simple/ $\mathbb{R}$

Assume  $M$  is isotropy-irreducible with  $\dim M \geq 2$

Fact (cf. Helgason (1966)) Fix an  $U$ -inv. Riem. met.  $g$  on  $M$ .

Then  $K_{(M,g)} = \frac{2}{\|\lambda_{g_M}^v\|_g^2}$ ,

where  $\|\cdot\|_g$  denotes the norm on  $P$   
induced by the Riem. metric  $g$  on  $M$

and  $\lambda_{g_M}^v$  is the coroot of a highest root  
of  $(g_M, \sigma)$

where  $\sigma$  is a maximal abelian subspace of  $P$

Proposition:  $M, N$ : compact connected symmetric spaces

$\{ \varphi : M \rightarrow N : \text{totally geodesic immersions} \}$   
 $\exists \downarrow$   
 $\text{Ad}(N)$

$\{ \varphi : \mathfrak{g}_M \rightarrow \mathfrak{g}_N : \text{Lie algebra homomorphisms} \} / G_N$

Here  $(G_N, U_N^x)$  is

the non-compact dual of  $(U_N, U_N^x)$ .

$\text{Ad}(N)$

In particular, if  $M, N$ : isotropy-irreducible  
with  $\dim M, N \geq 2$

Then  $D_{M,N}(z) = \frac{\| z(\lambda_{g_M}^V) \|_g^2}{\| \lambda_{g_N}^V \|_g^2}$  (  $g$ :  $U$ -inv  
Riem. met.  
on  $N$  )

$$\text{Ex: } M = S^2, \quad N = \text{Gr}_2(\mathbb{R}^5)$$

$$g_M = sl_2(\mathbb{R}) \quad g_N = so(3, 2)$$

We can take

$$\lambda_{sl_2(\mathbb{R})}^v = \begin{pmatrix} 1 & \\ & -1 \end{pmatrix} \in sl_2(\mathbb{R})$$

$$\lambda_{so(3,2)}^v = \begin{pmatrix} 1 & & & \\ & 1 & & \\ & & 1 & \\ & & & -1 \end{pmatrix}$$

$\varphi : \mathfrak{sl}_2(\mathbb{R}) \rightarrow \mathfrak{so}(3,2) : \text{Lie alg hom.}$

then  $\varphi(\lambda_{\mathfrak{sl}_2(\mathbb{R})}^v) \simeq \begin{pmatrix} & & & \\ & & & \\ & & & \\ \hline -1 & & & \\ & -1 & & \end{pmatrix} : D_{\text{dyn}} = 1$

$$\begin{pmatrix} & & & \\ & & & \\ & & 2 & \\ \hline -2 & & & \\ & 0 & & \end{pmatrix} : D_{\text{dyn}} = 2$$

or

$$\begin{pmatrix} & & & \\ & & & \\ & & 4 & \\ \hline -4 & & & \\ & -2 & & \end{pmatrix} : D_{\text{dyn}} = 10$$

Theorem C  $\mathfrak{f}, \mathfrak{g}$  : non-compact simple Lie algebras

$\varphi: \mathfrak{f} \rightarrow \mathfrak{g}$  : a Lie algebra hom.

Then  $D_{\text{Dyn}}(\varphi) := \frac{\|\varphi(\lambda_f^v)\|^2}{\|\lambda_g^v\|^2} \in \mathbb{Z}_{\geq 1}$

(  
     $\|\cdot\|$  is the norm induced from  
    a non-deg. invariant bilinear form on  $\mathfrak{g}$ )

Theorem A (Main theorem)  
follows from Theorem C

Remark In the cases where

$\mathfrak{f}, \mathfrak{g}$  are both complex simple  
and

$\varphi: \mathfrak{f} \rightarrow \mathfrak{g}$  : complex Lie alg. hom.

Theorem C is proved by Dynkin ('52)  
by using some classifications.

## §4 Key ideas for proofs

$(V, \langle \cdot, \cdot \rangle)$  : a fin-dim vector sp/ $\mathbb{R}$  with  
an inner-product.

$\Delta \subset V$  : a root system with  $\text{span } \Delta = V$

$W(\Delta) \curvearrowright V$  : the Weyl group

$\Delta^+ \subset \Delta$  : a positive system

$w_0 \in W(\Delta) : \text{the longest element of } W(\Delta)$   
w.r.t.  $\Delta^+ \subset \Delta$

We put  
 $\tau := w_0 \circ -\text{id}_V : V \rightarrow V : \text{the Tits involution}$

$$V^\tau := \{ v \in V \mid \tau(v) = v \}$$

## Key lemma for Theorem C

$$\exists \{ \beta_1, \dots, \beta_m \} \subset \Delta \text{ s.t.}$$

(i)  $\beta_1, \dots, \beta_m$  are strongly-orthogonal (i.e.  $\beta_i \perp \beta_j \notin \Delta$ )  
to each other

$$(ii) \text{span}\{\beta_1, \dots, \beta_m\} = V^\tau$$

Example

$$V = \{ (q_1, \dots, q_n) \in \mathbb{R}^n \mid \sum q_i = 0 \}$$

$$\Delta = \{ e_i - e_j \mid i \neq j \}$$

where  $e_i = (0, \dots, 0, \underset{i}{\hat{1}}, 0, \dots, 0)$

$$\Delta^+ = \{ e_i - e_j \mid i > j \}$$

$$W(\Delta) = S_n \curvearrowright \mathbb{R}^n \rightarrow V$$

permutation

$$w_0 \cdot (q_1 \cdots q_n) = (q_n, \dots, q_1)$$

$$\tau(q_1 \cdots q_n) = (-q_n, \dots, -q_1)$$

Thus we can take

$$\{\beta_1, \dots, \beta_m\} \text{ as } \{\varepsilon_1 - \varepsilon_n, \varepsilon_2 - \varepsilon_{n-1}, \dots\}$$

Rem: Key lemma above can be proved  
without any classifications  
(but by induction)

Cf. Agaoka - Kaneda (2002)

classified maximal strongly-orthogonal subsets

in each root systems

Idea for the proof of Theorem C :

$\mathfrak{f}, \mathfrak{g}$  : non-cpt simple Lie algebras

$\varphi : \mathfrak{f} \rightarrow \mathfrak{g}$  : Lie alg. hom.

Claim    
$$\frac{\|\varphi(\lambda_{\mathfrak{f}}^v)\|^2}{\|\lambda_{\mathfrak{g}}\|^2} \in \mathbb{Z}$$

Step 1  $\mathfrak{g} = \mathfrak{k} + \mathfrak{p}$  : Cartan decomp

$\cup$   
 $\mathfrak{o}_1$  : maximal abelian

$\cup$

$\lambda_g^\vee$  ( $\lambda_g \in \mathbb{I}^+ \subset \mathbb{I}(\mathfrak{g}, \mathfrak{a})$ )

Def  $\Sigma_{\text{even}} := \{ \alpha \in \Sigma \mid \alpha(\lambda_g^\vee) : \text{even} \}$

Then  $\Sigma_{\text{even}}$  is a root system

$\Sigma_{\text{even}}^+ := \Sigma_{\text{even}} \cap \mathbb{I}^+ \subset \Sigma_{\text{even}}$  : positive system

Step 2  $\tau$ : the Tits involution for

$$\overline{\Sigma}_{\text{even}}^f \subset \overline{\Sigma}_{\text{even}}$$

Then  $\tau(\lambda_f^v)$  is conjugate to an element

$$\text{in } \text{Span}(\overline{\Sigma}_{\text{even}}^v)^\tau$$

Thus we assume

$$\tau(\lambda_f^v) \in \text{Span}(\overline{\Sigma}_{\text{even}}^v)^\tau$$

Step 3 Take  $\beta_1 \dots \beta_m$  as the key basis

for  $I_{\text{even}}^+ \subset I_{\text{even}}$

Then

$$\gamma(\lambda_f^\vee) = \sum_i c_i \beta_i^\vee$$

with  $c_i \in \mathbb{Z}$

Step 4

$$\frac{\|\alpha^v\|^2}{\|\lambda_g^v\|^2} = 1, 2 \text{ or } 4$$

for any  $\alpha \in \Sigma_{even}$

In particular

$$\frac{\|\beta_i^v\|^2}{\|\lambda_g^v\|^2} \in \mathbb{Z}$$

## Step 5

$$\frac{\| z(\lambda_g^v) \|^2}{\| \lambda_g^v \|^2} = \sum_i c_i^2 \frac{\| \beta_i^v \|^2}{\| \lambda_g^v \|^2} \quad (\{ \beta_i^v \}_i \text{ is orthogonal})$$

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Thank you for your attention!