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# Dirac Neutrino Lepton Number in a Unified Framework

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with

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Based on: arXiv:2020.07664(retracted)  
& arXiv:2020.XXXXX

# Outline

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- Introduction
  - Cosmic Backgrounds
- Lepton Number
  - Continuity and Expectation Value
- Numerical Results
  - Momentum dependence
- Conclusion

# Introduction

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- Observation of Cosmic Microwave Background (PLANCK 2015)
  - Further supports Big Bang Inflation Cosmology
  - Photons decoupled from early universe thermal bath
- Similarly, Inflation Cosmology predicts a Neutrino Background
  - Cosmic Neutrino background (CnuB)
- After expansion both backgrounds would cool
  - Leading to a blackbody background of Photons
  - Non-relativistic background of Neutrinos
    - Thermal energy of  $\mathcal{O}(10^{-4})\text{eV}$

Planck 2015 results - XIII. Cosmological parameters

Planck Collaboration, P. A. R. Ade, etc.

DOI: <https://doi.org/10.1051/0004-6361/201525830>

A&A, 594 (2016) A13

# Introduction

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- Neutrino oscillations have been observed
  - Indicates Neutrinos are massive
- These oscillations should appear in the CnuB
  - Cosmology predicts the CnuB to be non-relativistic
- Current theory of oscillations is for relativistic Neutrinos

Goal: Design a model to explore non-relativistic Neutrinos

# Outline

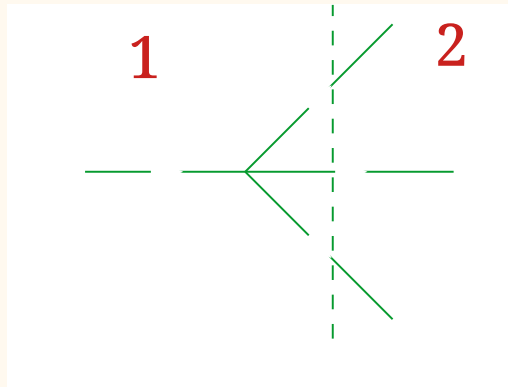
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# Methods

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- Set-up for the calculation
  - Start with a definite flavor state (1)
  - After sometime the mass term is turned-on (2)
- We demand continuity at this time
  - Step-function is used to enforce this demand



Source: “Lepton number violation in a unified framework”; Y. Kawamura, Y. Matsuo, T. Morozumi, A. S. Adam, Y. Shimizu et al. PTEP 2020 (2020) 9, 093B07  
DOI: 10.1093/ptep/ptaa107

# Methods: Setup

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- We consider two different chiral neutrino fields
  - Possible to construct a Dirac type mass term
- Continuity is enforced by a step-function

$$\mathcal{L} = \underbrace{\sum_{\alpha=e}^{\tau} \bar{\nu}_{L\alpha} i \not{\partial} \nu_{L\alpha}}_{\text{Left-Handed Chiral Field}} + \underbrace{\sum_{\alpha=e}^{\tau} \bar{\nu}_{R\alpha} i \not{\partial} \nu_{R\alpha}}_{\text{Right-Handed Chiral Field}} - \theta(t) \sum_{\alpha\beta=e\mu} \bar{\nu}_{R\alpha} m_{\alpha\beta} \nu_{L\alpha} - h.c.$$

**Dirac Mass Term**

Unitary transformations  
into the mass basis




$$\begin{aligned} \nu_{L\beta} &= V_{\beta j} \nu_{Lj} \\ \nu_{R\alpha} &= U_{\alpha i} \nu_{Ri} \end{aligned}$$

# Methods

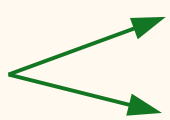
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- Fourier expansion of the field in-terms of operators

$$\psi_i(x) = \int \frac{d^3p}{(2\pi)^3 2E_i(p)} \sum_{\lambda} \left( u_i(p, \lambda) a_i(p, \lambda) e^{-ip \cdot x} + v_i(p, \lambda) b_i^\dagger(p, \lambda) e^{ip \cdot x} \right)$$

  
helicity

- Construction of relationships between flavor and mass
  - Use the step- function continuity condition from before

projection operators 

$$\begin{aligned} L\psi_i(t = 0_+) &= V_{\beta i}^* \nu_{L\beta}(t = 0_-) \\ R\psi_i(t = 0_+) &= U_{\beta i}^* \nu_{R\beta}(t = 0_-) \end{aligned}$$



# Methods

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- Results in the following relationships

$$\begin{aligned} \text{flavor operators} \qquad \qquad \qquad \text{mass operators} \\ \frac{1}{\sqrt{2|p|}} a_{L\beta}(p) &= \sum_i^3 V_{\beta i} \frac{N_{ip}}{2E_i(p)} \left( a_i(p, -) + ic_i b_i^\dagger(-p, -) \right) \\ \frac{1}{\sqrt{2|p|}} b_{L\beta}^\dagger(-p) &= \sum_i^3 V_{\beta i} \frac{N_{ip}}{2E_i(p)} \left( b_i^\dagger(-p, +) + ic_i a_i(p, +) \right) \\ &\dots \\ \frac{1}{\sqrt{2|p|}} a_{R\beta}(p) &= \sum_i^3 U_{\beta i} \frac{N_{ip}}{2E_i(p)} \left( a_i(p, +) + ic_i b_i^\dagger(-p, +) \right) \\ \frac{1}{\sqrt{2|p|}} b_{R\beta}^\dagger(-p) &= \sum_i^3 U_{\beta i} \frac{N_{ip}}{2E_i(p)} \left( b_i^\dagger(-p, -) + ic_i a_i(p, -) \right) \\ &\dots \end{aligned}$$

# Methods

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- Neutrino family Lepton Number is prepared as such

left-handed state



$$L_{\alpha}^L(t) = \int \frac{d^3p}{(2)^3 2|p|} \left( a_{L\alpha}^{\dagger}(p,t) a_{L\alpha}(p,t) - b_{L\alpha}^{\dagger}(p,t) b_{L\alpha}(p,t) \right) + \int \frac{d^3p}{(2)^3 2|p|} \left( a_{L\alpha}^{\dagger}(-p,t) a_{L\alpha}(-p,t) - b_{L\alpha}^{\dagger}(-p,t) b_{L\alpha}(-p,t) \right)$$

- This results in two complex equations
  - Left-handed and Right-handed
- The combination of these equations is conserved

$$\sum_{\alpha=e}^{\tau} (L_{\alpha}^L(t) + L_{\alpha}^R(t)) = \sum_{\alpha=e}^{\tau} (L_{\alpha}^L(0) + L_{\alpha}^R(0))$$

# Methods

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- At this point we are ready to study the expectation value
  - We consider the time evolution of a single flavor Eigenstate

$$\langle \sigma_L(q) | L_\alpha^L(t) | \sigma_L(q) \rangle = \sum_{i,j}^3 V_{\alpha i}^* V_{\sigma i} V_{\alpha j} V_{\sigma j}^* \left( \cos(E_i t) \cos(E_j t) + \frac{(1-c_i^2)}{(1+c_i^2)} \frac{(1-c_j^2)}{(1+c_j^2)} \sin(E_i t) \sin(E_j t) \right. \\ \left. + i \left( \frac{(1-c_i^2)}{(1+c_i^2)} \sin(E_i t) \cos(E_j t) - \frac{(1-c_j^2)}{(1+c_j^2)} \cos(E_i t) \sin(E_j t) \right) \right)$$

– Where,

$$c_i = \frac{m_i}{E_i(q) + |q|}$$

$$\langle \sigma_L(q) | a_{L\beta}^\dagger(p) a_{L\gamma}(p) | \sigma_L(q) \rangle = \delta_{\sigma\beta} \delta_{\gamma\sigma} (2)^3 2|q| \delta^{(3)}(p - q)$$

$$| \sigma_L(q) \rangle = \frac{a_{L\sigma}^\dagger(q) | 0 \rangle}{\sqrt{\langle 0 | a_{L\sigma}(q) a_{L\sigma}^\dagger(q) | 0 \rangle}}$$

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# Numerical Results

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- Numerically we investigate the time evolution
  - Considered a dimensionless time  $\tau$
  - Values of PMNS matrix and mass from NuFit v5.0 2020
  - Mass of lightest neutrino is set as  $m_{lightest} = 0.01\text{eV}$
- We consider the following three cases,

$$\langle e_L(q) | L_e^L(\tau) | e_L(q) \rangle$$

$$\langle e_L(q) | L_\tau^L(\tau) | e_L(q) \rangle$$

$$\langle e_L(q) | L_\mu^L(\tau) | e_L(q) \rangle$$

# Numerical Results

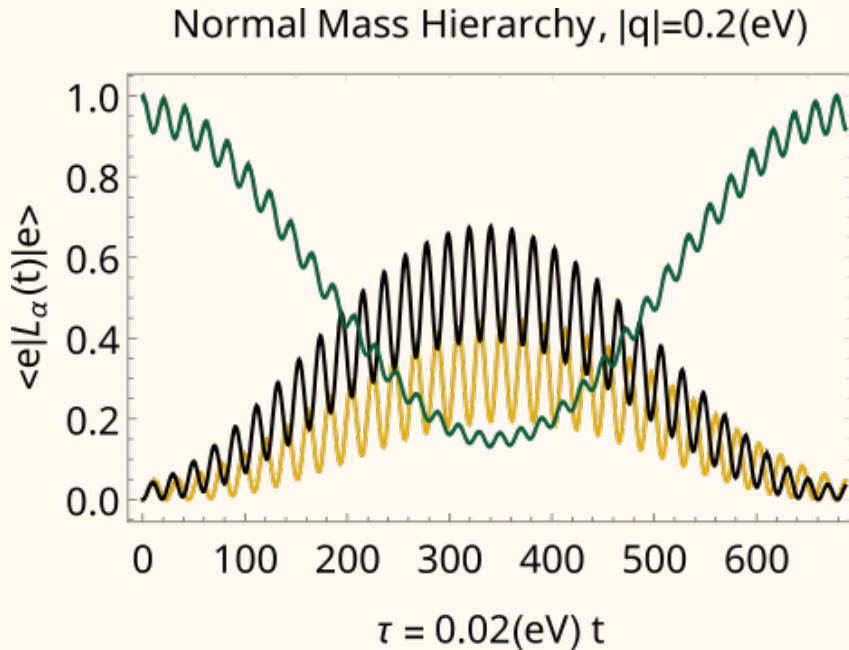
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- Lastly, we modify the equation for numerical analysis

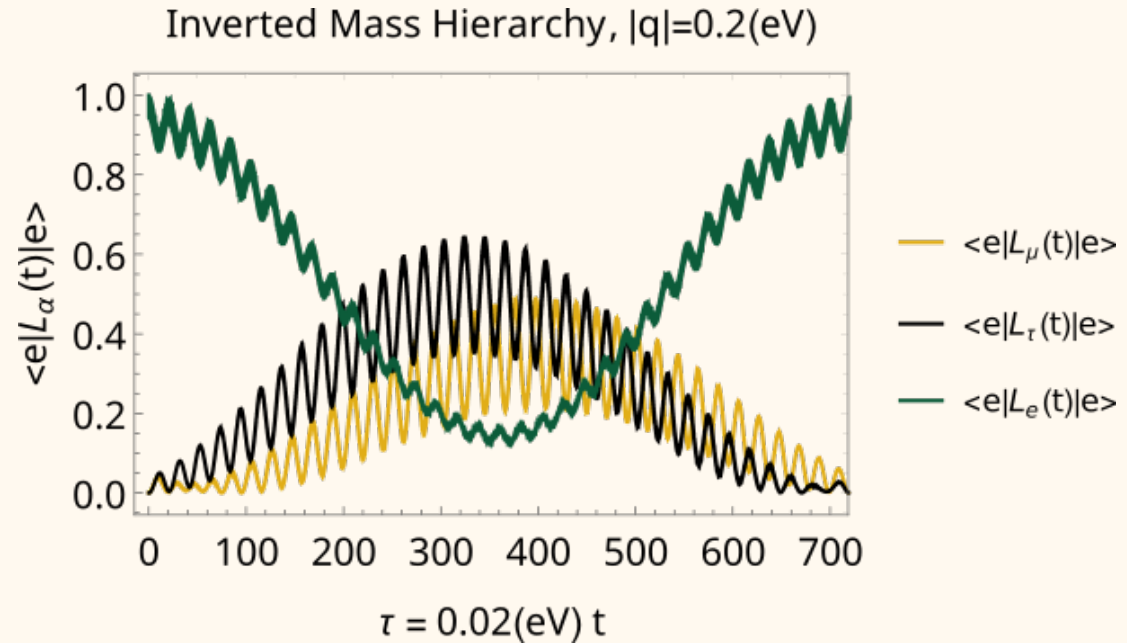
$$\begin{aligned} \langle e_L(q) | L_\alpha^L(\tau) | e_L(q) \rangle &= \frac{1}{2} \sum_{i,j}^3 \Re (V_{\alpha i}^* V_{1i} V_{\alpha j} V_{1j}^*) \left( \left( 1 + \frac{1}{E_i(q)} \frac{1}{E_j(q)} \right) \cos([E_i - E_j]\tau) \right. \\ &\quad \left. + \left( 1 - \frac{1}{E_i(q)} \frac{1}{E_j(q)} \right) \cos([E_i + E_j]\tau) \right) \\ &- \frac{1}{2} \sum_{i,j}^3 \Im (V_{\alpha i}^* V_{1i} V_{\alpha j} V_{1j}^*) \left( \left( \frac{1}{E_i(q)} - \frac{1}{E_j(q)} \right) \sin([E_i + E_j]\tau) \right. \\ &\quad \left. + \left( \frac{1}{E_i(q)} + \frac{1}{E_j(q)} \right) \sin([E_i - E_j]\tau) \right) \end{aligned}$$

# Numerical Results ( $q=0.2$ eV)

- Normal Mass



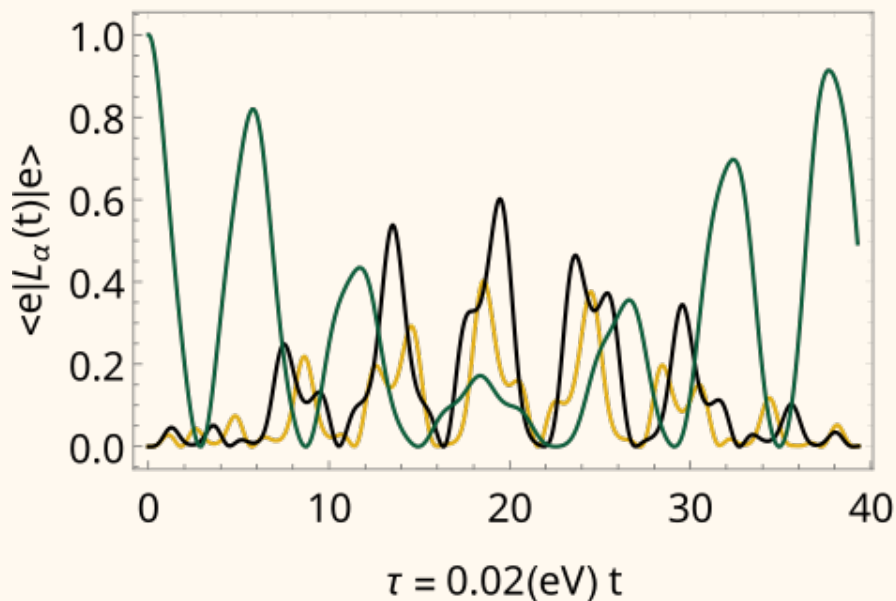
- Inverted Mass



# Numerical Results ( $q=0.0002$ eV)

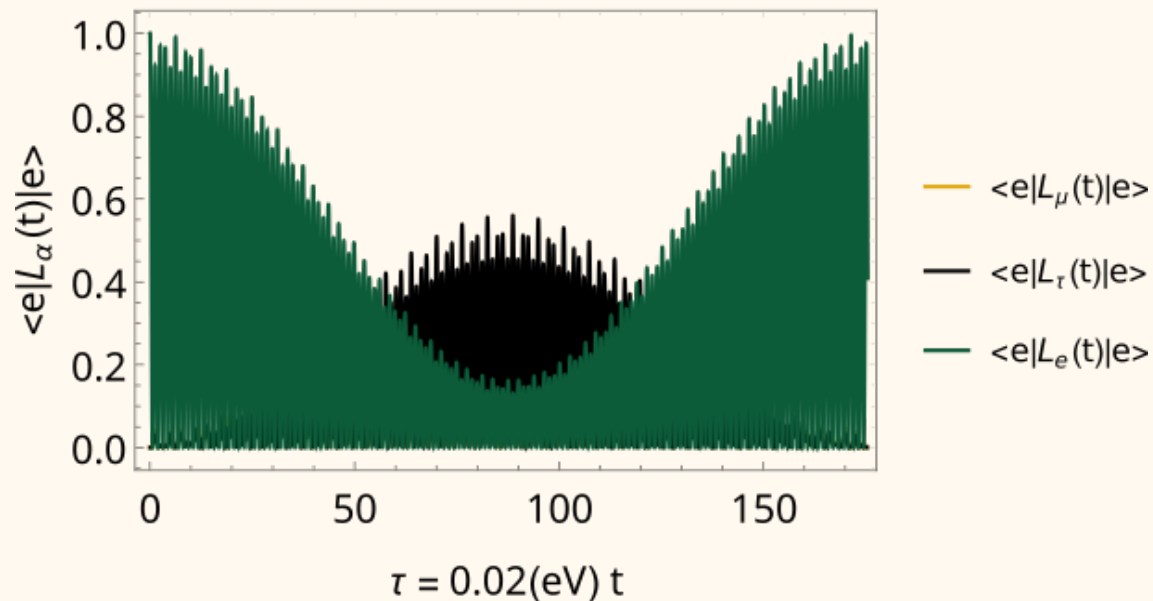
- Normal Mass

Normal Mass Hierarchy,  $|q|=0.0002(\text{eV})$



- Inverted Mass

Inverted Mass Hierarchy,  $|q|=0.0002(\text{eV})$



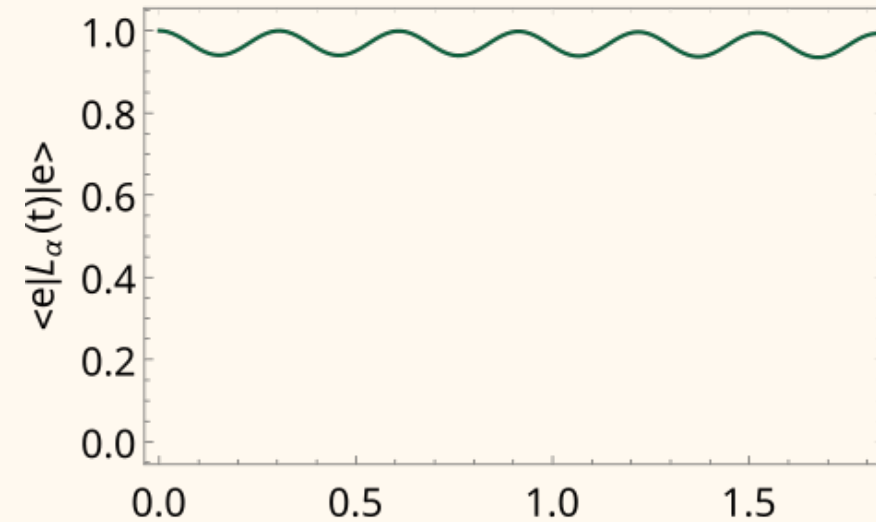
large differences between Normal vs Inverted Hierarchies



# Numerical Results (zoom)

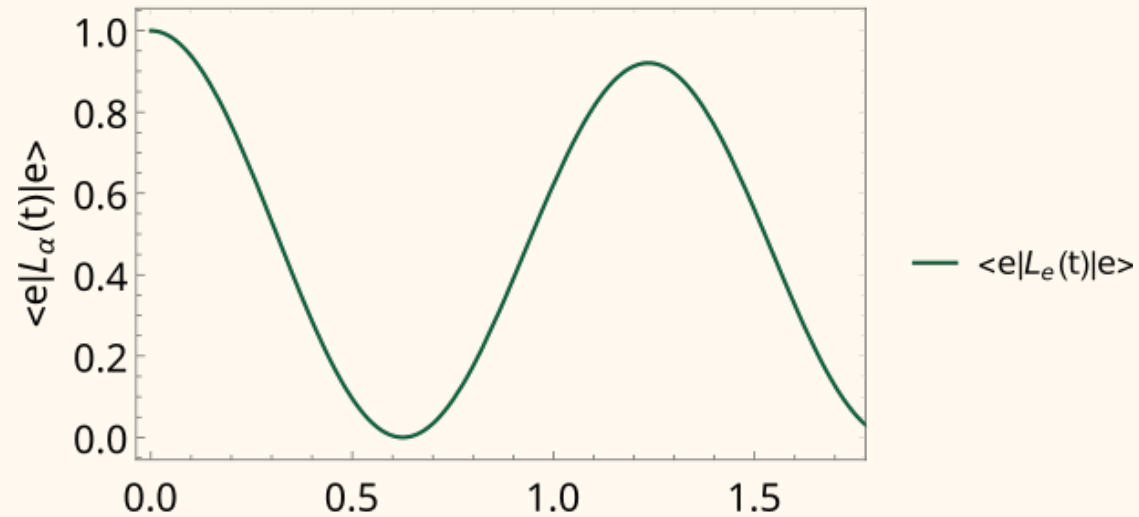
- $q=0.2$  eV

Inverted Mass Hierarchy



- $q=0.0002$  eV

Inverted Mass Hierarchy



$\tau = 0.02(\text{eV}) t$  where does this change come from?  $\tau = 0.02(\text{eV}) t$

# Numerical Results

- Categorize the periods to investigate this phenomena
  - Source is energy values inside Sine and Cosine functions

Normal Mass Hierarchy ( $m_3 \gg m_2 > m_1$ )	( $m_1 \sim m_2$ )	Inverted Mass Hierarchy ( $m_2 > m_1 \gg m_3$ )
$T_{-,11} = T_{-,22} = T_{-,33} = 0$ $T_{-,12} = \frac{2\pi}{ E_1 - E_2 }$	Largest	$T_{-,11} = T_{-,22} = T_{-,33} = 0$ $T_{-,12} = \frac{2\pi}{ E_1 - E_2 }$
$T_{-,23} = \frac{2\pi}{ E_2 - E_3 }$ $T_{-,31} = \frac{2\pi}{ E_3 - E_1 }$	Med	$T_{-,23} = \frac{2\pi}{ E_2 - E_3 }$ $T_{-,31} = \frac{2\pi}{ E_3 - E_1 }$
$T_{+,11} = \frac{2\pi}{ E_1 + E_1 }$ $T_{+,12} = \frac{2\pi}{ E_1 + E_2 }$ $T_{+,22} = \frac{2\pi}{ E_2 + E_2 }$ $T_{+,31} = \frac{2\pi}{ E_3 + E_1 }$ $T_{+,23} = \frac{2\pi}{ E_2 + E_3 }$ $T_{+,33} = \frac{2\pi}{ E_3 + E_3 }$	Smaller      Smallest	$T_{+,33} = \frac{2\pi}{ E_3 + E_3 }$ $T_{+,31} = \frac{2\pi}{ E_3 + E_1 }$ $T_{+,23} = \frac{2\pi}{ E_2 + E_3 }$ $T_{+,11} = \frac{2\pi}{ E_1 + E_1 }$ $T_{+,12} = \frac{2\pi}{ E_1 + E_2 }$ $T_{+,22} = \frac{2\pi}{ E_2 + E_2 }$

Source of periods

$$\cos([E_i - E_j]t)$$

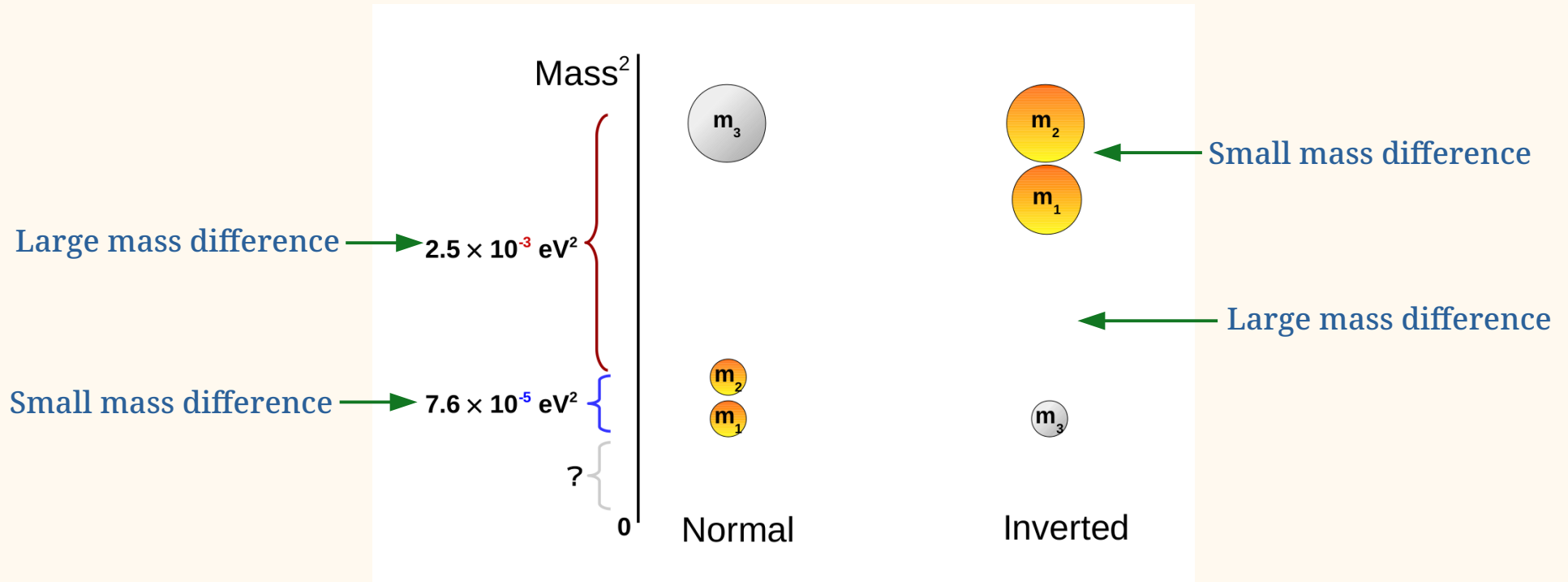
$$\cos([E_i + E_j]t)$$

$$\sin([E_i - E_j]t)$$

$$\sin([E_i + E_j]t)$$

# Numerical Results

- Neutrino Mass Hierarchies

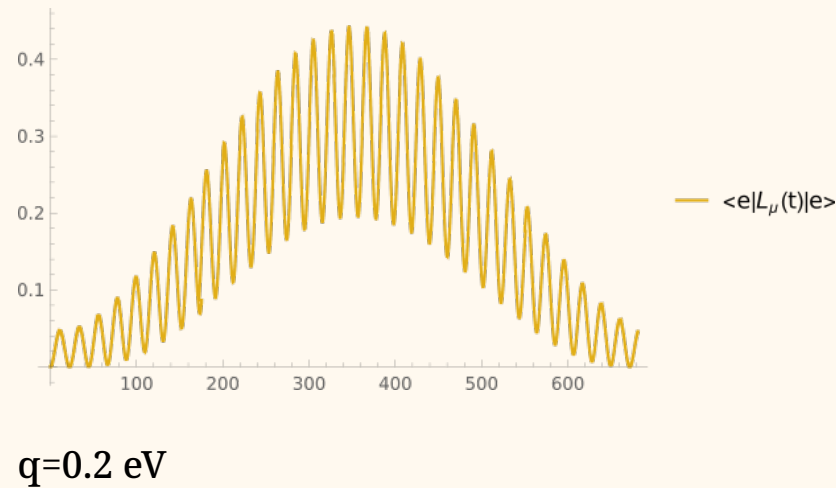
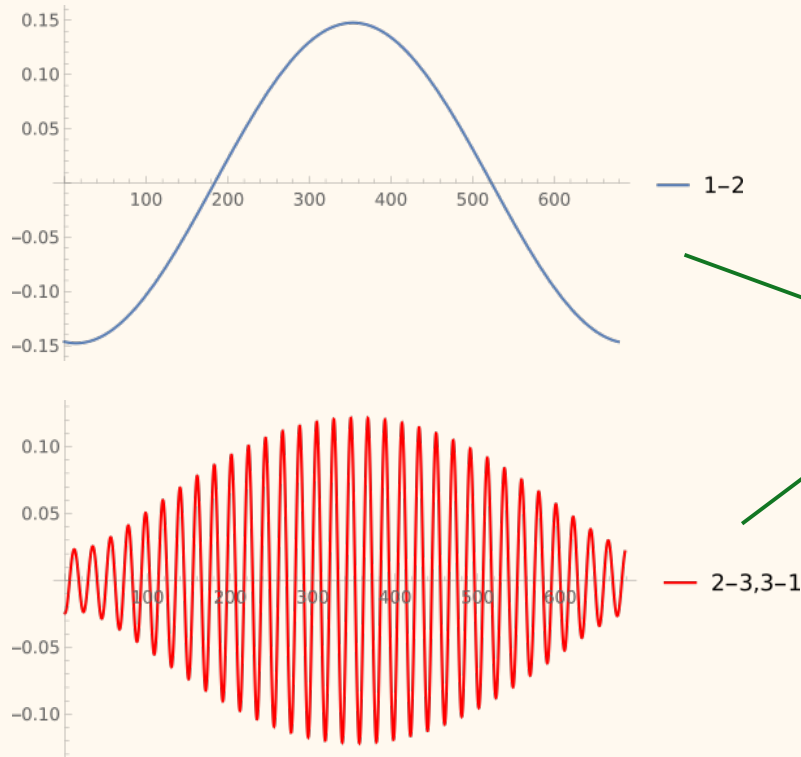


# Numerical Results

- Focus on the larger periods for a single flavor, NH

$$T_{-,12} = \frac{2\pi}{[E_1 - E_2]}$$

$$T_{-,23} = \frac{2\pi}{[E_2 - E_3]}$$
$$T_{-,31} = \frac{2\pi}{[E_3 - E_1]}$$



# Numerical Results

- What happens when we move to smaller momentum?

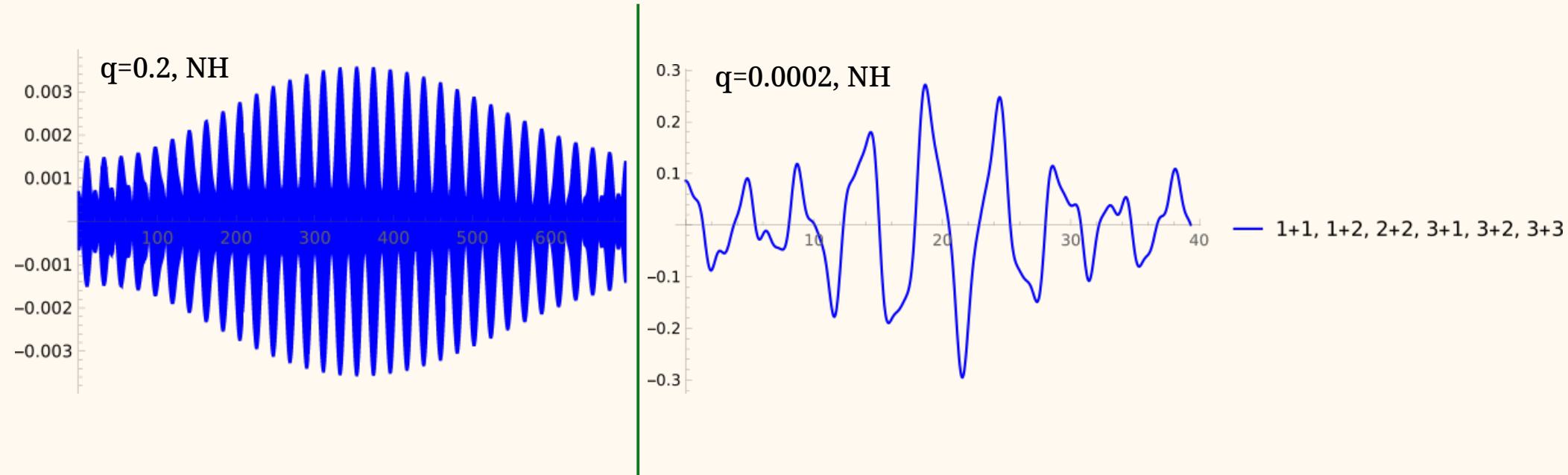
$$\begin{aligned}
 \langle \sigma_L(q) | L_\alpha^L(t) | \sigma_L(q) \rangle &= \frac{1}{2} \sum_{i,j}^3 \Re (V_{\alpha i}^* V_{\sigma i} V_{\alpha j} V_{\sigma j}^*) \left( \left( 1 + \frac{q}{E_i(q)} \frac{q}{E_j(q)} \right) \cos([E_i - E_j]t) \right. \\
 &\quad \left. + \left( 1 - \frac{q}{E_i(q)} \frac{q}{E_j(q)} \right) \cos([E_i + E_j]t) \right) \quad \text{remains close to 0} \\
 &\quad - \frac{1}{2} \sum_{i,j}^3 \Im (V_{\alpha i}^* V_{\sigma i} V_{\alpha j} V_{\sigma j}^*) \left( \left( \frac{q}{E_i(q)} - \frac{q}{E_j(q)} \right) \sin([E_i + E_j]t) \right. \\
 &\quad \left. + \left( \frac{q}{E_i(q)} + \frac{q}{E_j(q)} \right) \sin([E_i - E_j]t) \right)
 \end{aligned}$$

depressed closer to 1 (pointing to the first cosine term)  
 enhanced closer to 1 (pointing to the second cosine term)  
 depressed closer to 0 (pointing to the second sine term)

$T_{+,11} = \frac{2\pi}{[E_1 + E_1]}$	Smaller
$T_{+,12} = \frac{2\pi}{[E_1 + E_2]}$	
$T_{+,22} = \frac{2\pi}{[E_2 + E_2]}$	
$T_{+,31} = \frac{2\pi}{[E_3 + E_1]}$	Smallest
$T_{+,23} = \frac{2\pi}{[E_2 + E_3]}$	
$T_{+,33} = \frac{2\pi}{[E_3 + E_3]}$	

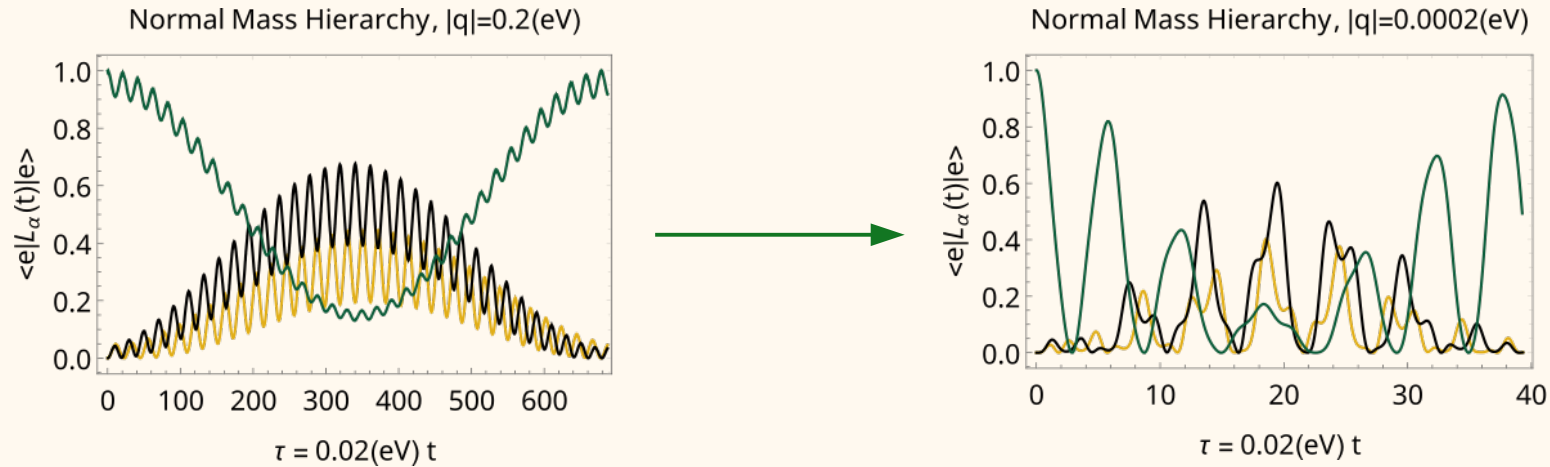
# Numerical Results

- Graphically this effect is seen as
  - 100x magnitude change in amplitude for smaller momentum value



# Numerical Results

- Now we understand changes in lower momentum



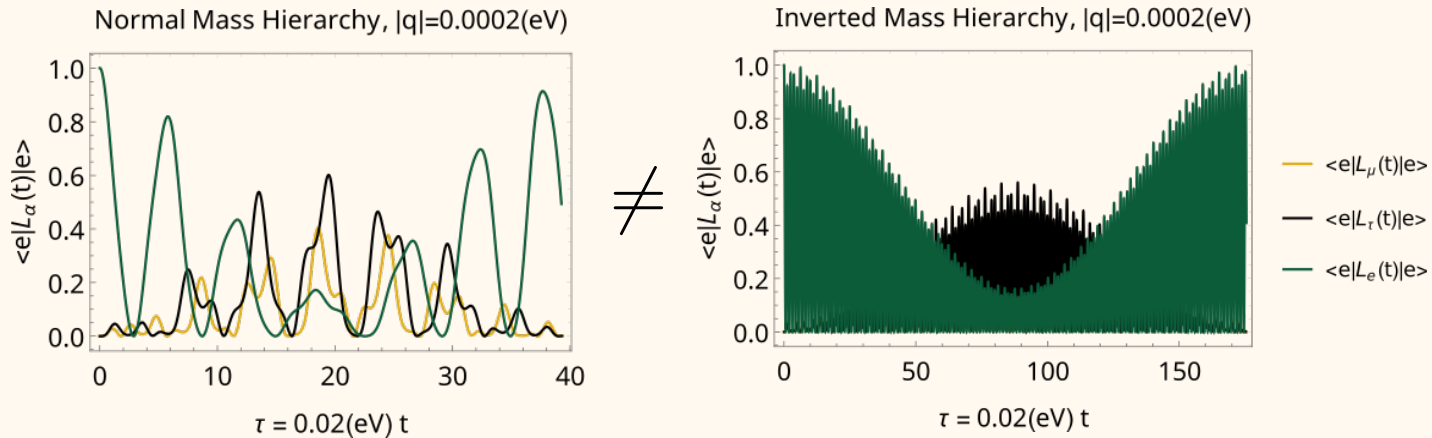
this term is not present in usual formulation

$$\frac{1}{2} \sum_{i,j}^3 \Re (V_{\alpha i}^* V_{\sigma i} V_{\alpha j} V_{\sigma j}^*) \left( 1 - \frac{q}{E_i(q)} \frac{q}{E_j(q)} \right) \cos([E_i + E_j]t)$$

# Numerical Results ( $q=0.0002$ eV)

- So, what is cause of differences between hierarchies?
  - Same part of equation becomes important

$$\frac{1}{2} \sum_{i,j}^3 \Re (V_{\alpha i}^* V_{\sigma i} V_{\alpha j} V_{\sigma j}^*) \left( 1 - \frac{q}{E_i(q)} \frac{q}{E_j(q)} \right) \cos([E_i + E_j]t)$$



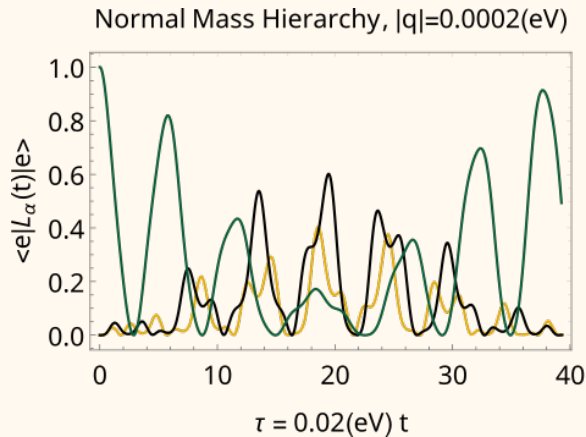


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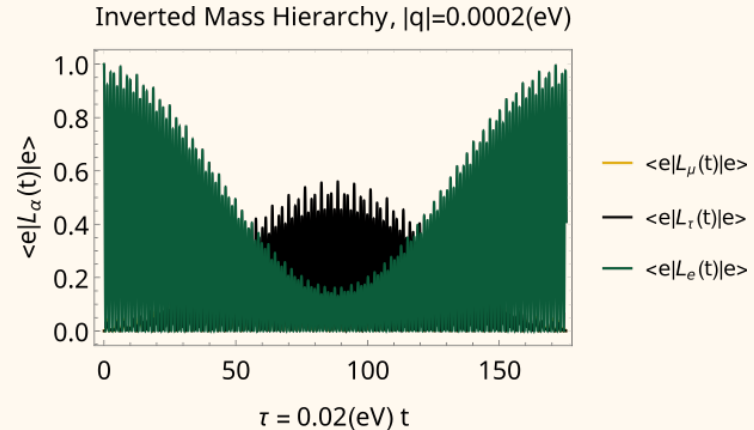
- So, what is cause of differences between hierarchies?
  - Same part of equation becomes important

$$\frac{1}{2} \sum_{i,j}^3 \Re (V_{\alpha i}^* V_{\sigma i} V_{\alpha j} V_{\sigma j}^*) \left( 1 - \frac{q}{E_i(q)} \frac{q}{E_j(q)} \right) \cos([E_i + E_j]t)$$

*absolute masses  
become important*



$\neq$



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# Conclusion

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- Formulated non-relativistic model for neutrinos
  - Usual model is recovered at large momentum limit
- Numerically explored the model
  - Found clear differences (NH vs IH) in non-relativistic region
- Explained how these differences appear in the model
  - Frequency decomposition analysis
  - Large vs Small momentum limits

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Thank you!  
Questions?