

# THE HARDY INEQUALITY FOR MEAN ZERO FUNCTIONS WITH LOGARITHMIC WEIGHT IN $N$ -DIMENSIONS

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ABSTRACT. Let  $B$  be the unit ball in  $\mathbb{R}^N$ ,  $0 \in \Omega \subset B$  be a bounded domain with  $R_\Omega = \sup_{x \in \Omega} |x| < 1$  and  $\alpha, \beta \in \mathbb{R}$ . In this paper, we study the Neumann version of the weighted Hardy inequality in  $N$ -dimensions, which involves the logarithmic weights. We show several results concerning the positivity, attainability, and non-attainability of the constant, according to the range  $(\alpha, \beta) \in \mathbb{R} \times \mathbb{R}$ .

## 1. INTRODUCTION

Let  $B$  be the unit ball in  $\mathbb{R}^N$ ,  $0 \in \Omega \subset B$  be a bounded domain with  $R_\Omega = \sup_{x \in \Omega} |x| < 1$  and  $\alpha, \beta \in \mathbb{R}$ . Put

$$Y_{\alpha, \beta}(\Omega) = \{u \in C^\infty(\Omega) \mid \|u\|_{\alpha, \beta} < \infty\}, \quad Y_{0, \alpha, \beta}(\Omega) = \{u \in C_0^\infty(\Omega) \mid \|u\|_{\alpha, \beta} < \infty\}$$

where

$$(1) \quad \|u\|_{\alpha, \beta}^2 = \int_{\Omega} \left( |\nabla u|^2 |x|^{2-N} \left(\log \frac{1}{|x|}\right)^{2\beta} + \frac{u^2}{|x|^N \left(\log \frac{1}{|x|}\right)^{2\alpha}} \right) dx,$$

and define the spaces  $\mathcal{H}_{\alpha, \beta}^{1,2}(\Omega)$  and  $\mathcal{H}_{0, \alpha, \beta}^{1,2}(\Omega)$  by the completion of  $Y_{\alpha, \beta}(\Omega)$  and  $Y_{0, \alpha, \beta}(\Omega)$  with respect to the norm  $\|\cdot\|_{\alpha, \beta}$  respectively. By this we mean that  $u \in \mathcal{H}_{\alpha, \beta}^{1,2}(\Omega)$  (resp.  $u \in \mathcal{H}_{0, \alpha, \beta}^{1,2}(\Omega)$ ) if and only if  $u \in L^2(\Omega, \frac{1}{|x|^N (\log \frac{1}{|x|})^{2\alpha}})$ , there exists a sequence  $\{\phi_j\} \subset Y_{\alpha, \beta}(\Omega)$  (resp.  $\{\phi_j\} \subset Y_{0, \alpha, \beta}(\Omega)$ ) and a  $\mathbb{R}^N$ -valued function  $\vec{v} \in L^2(\Omega, |x|^{2-N} (\log \frac{1}{|x|})^{2\beta}; \mathbb{R}^N)$  such that

$$\int_{\Omega} \frac{|u - \phi_j|^2}{|x|^N \left(\log \frac{1}{|x|}\right)^{2\alpha}} dx \rightarrow 0 \quad (j \rightarrow \infty), \quad \text{and}$$

$$\int_{\Omega} |\vec{v} - \nabla \phi_j|^2 |x|^{2-N} \left(\log \frac{1}{|x|}\right)^{2\beta} dx \rightarrow 0 \quad (j \rightarrow \infty).$$

In Appendix, we prove that  $\mathcal{H}_{\alpha, \beta}^{1,2}(\Omega) \subset L_{loc}^1(\Omega)$  and  $\vec{v}$  coincides with the distributional derivative  $\nabla u$  for any  $\alpha, \beta \in \mathbb{R}$ .

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We consider the following minimization problems:

$$(2) \quad H_{\alpha,\beta}(\Omega) := \inf \left\{ \int_{\Omega} |\nabla u|^2 |x|^{2-N} \left( \log \frac{1}{|x|} \right)^{2\beta} dx : u \in \mathcal{A}_D \right\}$$

$$(3) \quad \mathcal{A}_D = \left\{ u \in \mathcal{H}_{0,\alpha,\beta}^{1,2}(\Omega) : \int_{\Omega} \frac{|u|^N}{|x|^N \left( \log \frac{1}{|x|} \right)^{2\alpha}} dx = 1 \right\}$$

and

(4)

$$N_{\alpha,\beta}(\Omega) := \inf \left\{ \int_{\Omega} |\nabla u|^2 |x|^{2-N} \left( \log \frac{1}{|x|} \right)^{2\beta} dx : u \in \mathcal{A}_N \right\}$$

(5)

$$\mathcal{A}_N = \left\{ u \in \mathcal{H}_{\alpha,\beta}^{1,2}(\Omega) : \int_{\Omega} \frac{|u|^2}{|x|^N \left( \log \frac{1}{|x|} \right)^{2\alpha}} dx = 1, \int_{\Omega} \frac{u}{|x|^N \left( \log \frac{1}{|x|} \right)^{2\alpha}} dx = 0 \right\}.$$

In this paper, we prove the following:

**Theorem 1.**  $N_{\alpha,\beta}(\Omega) > 0$  occurs if and only if  $\alpha + \beta \geq 1$  and  $(\alpha, \beta) \neq (\frac{1}{2}, \frac{1}{2})$ . The same equivalence holds for  $H_{\alpha,\beta}(\Omega)$ .

**Theorem 2.** If  $\alpha + \beta > 1$ , then  $H_{\alpha,\beta}(\Omega)$  is achieved.

**Theorem 3.** If  $\alpha + \beta > 1$  and  $2\alpha + \beta > \frac{3}{2}$ , then  $N_{\alpha,\beta}(\Omega)$  is achieved.

**Theorem 4.** If  $\alpha + \beta \geq 1$  and  $2\alpha + \beta \leq \frac{3}{2}$ , then  $N_{\alpha,\beta}(\Omega)$  is not achieved.

**Theorem 5.** If  $\alpha \geq \frac{1}{2}$ , then  $N_{\alpha,1-\alpha}(\Omega) \leq H_{\alpha,1-\alpha}(\Omega) = (\alpha - \frac{1}{2})^2$ . Furthermore, if

$$N_{\alpha,1-\alpha}(\Omega) < H_{\alpha,1-\alpha}(\Omega),$$

then  $N_{\alpha,1-\alpha}(\Omega)$  is achieved.

Let  $\Omega = B_R$  denote a ball of radius  $R \in (0, 1)$  with center 0,

**Theorem 6.** For  $R \in (0, 1)$ , if

$$\alpha > 1 + \sqrt{\frac{1}{4} + (N-1)\left(\log \frac{1}{R}\right)^2}$$

then  $N_{\alpha,1-\alpha}(B_R)$  is achieved.

## 2. RESULTS FOR $H_{\alpha,\beta}(\Omega)$ .

In this section, we prove results concerning the constant  $H_{\alpha,\beta}(\Omega)$  in (2). The following fact is now well-known.

**Proposition 1.** Let  $\alpha \in \mathbb{R}$ . Then the inequality

$$(6) \quad \left( \alpha - \frac{1}{2} \right)^2 \int_{\Omega} \frac{|u(x)|^2}{|x|^N \left( \log \frac{1}{|x|} \right)^{2\alpha}} dx \leq \int_{\Omega} \left| \frac{x}{|x|} \cdot \nabla u(x) \right|^2 |x|^{2-N} \left( \log \frac{1}{|x|} \right)^{2(1-\alpha)} dx$$

holds for any  $u \in \mathcal{H}_{0,\alpha,1-\alpha}(\Omega)$ . Moreover,

$$H_{\alpha,1-\alpha}(\Omega) = \left(\alpha - \frac{1}{2}\right)^2$$

it is not attained by any element in  $\mathcal{H}_{0,\alpha,1-\alpha}(\Omega)$ .

*Proof.* We give here a sketch of proof only. By density, it is enough to prove (6) for  $u \in Y_{0,\alpha,1-\alpha}(\Omega)$ . By a formula

$$\operatorname{div} \left( \frac{x}{|x|^N (\log \frac{1}{|x|})^{2\alpha-1}} \right) = \frac{2\alpha-1}{|x|^N (\log \frac{1}{|x|})^{2\alpha}} \quad (x \in \mathbb{R}^N \setminus \{0\}),$$

we obtain

$$\begin{aligned} & \left| (2\alpha-1) \int_{\Omega} \frac{|u|^2}{|x|^N (\log \frac{1}{|x|})^{2\alpha}} dx \right| = \left| \int_{\Omega} |u|^2 \operatorname{div} \left( \frac{x}{|x|^N (\log \frac{1}{|x|})^{2\alpha-1}} \right) dx \right| \\ & = 2 \left| \int_{\Omega} u \nabla u \cdot \frac{x}{|x|^N (\log \frac{1}{|x|})^{2\alpha-1}} dx \right| \\ & \leq 2 \left( \int_{\Omega} \frac{|u|^2}{|x|^N (\log \frac{1}{|x|})^{2\alpha}} dx \right)^{1/2} \left( \int_{\Omega} \left| \frac{x}{|x|} \cdot \nabla u \right|^2 |x|^{2-N} \left( \log \frac{1}{|x|} \right)^{2(1-\alpha)} dx \right)^{1/2}. \end{aligned}$$

By this, we have the inequality for  $u \in Y_{0,\alpha,1-\alpha}(\Omega)$ . The fact  $H_{\alpha,1-\alpha}(\Omega) = (\alpha - \frac{1}{2})^2$  is proven by a test function of the form

$$\psi_k(x) = \begin{cases} (\log \frac{1}{|x|})^{\alpha-1/2-\frac{1}{k}} - (\log \frac{1}{\delta})^{\alpha-1/2-\frac{1}{k}}, & 0 \leq |x| \leq \delta, \\ 0, & x \in \Omega \cap \{|x| \geq \delta\} \end{cases}$$

for  $k \in \mathbb{N}$ , where  $\delta \in (0, 1)$  is a number such that  $B_{\delta} \subset \subset \Omega$ . Note that  $\psi_k$  is positive and decreasing (resp. negative and increasing) when  $\alpha > 1/2$  (resp.  $\alpha < 1/2$ ) and  $k \in \mathbb{N}$  large, We see that  $\psi_k \in \mathcal{H}_{0,\alpha,1-\alpha}(\Omega)$ ; this is assured easily when  $\alpha < 1/2$  and by Lemma 3 in Appendix and a standard truncation procedure when  $\alpha > 1/2$ .

If  $(\alpha - \frac{1}{2})^2$  were attained, we see from the above inequality that  $u$  must satisfy

$$u_r r^{(2-N)/2} \left( \log \frac{1}{r} \right)^{1-\alpha} = \pm (\alpha - \frac{1}{2}) u r^{-N/2} \left( \log \frac{1}{r} \right)^{-\alpha}.$$

This implies that for some  $c \in \mathbb{R}$ ,

$$u = c \left( \log \frac{1}{r} \right)^{\alpha - \frac{1}{2}}.$$

However, since  $(\log \frac{1}{|x|})^{\alpha - \frac{1}{2}} \notin \mathcal{H}_{0,\alpha,1-\alpha}^{1,2}(\Omega)$ , we conclude that  $H_{\alpha,1-\alpha}(\Omega)$  is never achieved by an element in  $\mathcal{H}_{0,\alpha,1-\alpha}^{1,2}(\Omega)$ .  $\square$

Next, we introduce a nonlinear scaling related to the Hardy inequality with logarithmic weight in  $\mathbb{R}^N$ : Let  $\lambda > 0$  and for a function  $u = u(x) \in \mathcal{H}_{0,\alpha,\beta}^{1,2}(\Omega)$ , we define a new function

$$(7) \quad u_{\lambda}(y) = u(x), \quad y = |x|^{\lambda} \frac{x}{|x|} \in \Omega_{\lambda} := \{|x|^{\lambda} \frac{x}{|x|} : x \in \Omega\}.$$

Note that if  $\Omega = B_{\delta}$ , then  $(B_{\delta})_{\lambda} = B_{\delta^{\lambda}}$  is a ball with radius  $\delta^{\lambda}$ . In polar coordinate  $x = r\omega$ ,  $r = |x|$  and  $\omega = \frac{x}{|x|} \in \mathbb{S}^{N-1}$ ,

$$u_{\lambda}(y) = u_{\lambda}(s, \omega) = u(r, \omega)$$

where  $s = |y| = r^\lambda$ . By a direct computation using polar coordinates, we have the following scaling property.

**Proposition 2.** *For  $\lambda > 0$ , define  $u_\lambda$  as in (7). Then we have*

$$\begin{aligned} \int_{\Omega_\lambda} \left| \frac{y}{|y|} \cdot \nabla u_\lambda(y) \right|^2 |y|^{2-N} \left( \log \frac{1}{|y|} \right)^{2\beta} dy &= \lambda^{2\beta-1} \int_{\Omega} \left| \frac{x}{|x|} \cdot \nabla u(x) \right|^2 |x|^{2-N} \left( \log \frac{1}{|x|} \right)^{2\beta} dx, \\ \int_{\Omega_\lambda} \frac{|u_\lambda(y)|^2}{|y|^N \left( \log \frac{1}{|y|} \right)^{2\alpha}} dy &= \lambda^{1-2\alpha} \int_{\Omega} \frac{|u(x)|^2}{|x|^N \left( \log \frac{1}{|x|} \right)^{2\alpha}} dx, \\ \int_{\Omega_\lambda} \frac{1}{|y|^N} \left| \nabla_{\mathbb{S}^{N-1}} u_\lambda(y) \right|^2 \left( \log \frac{1}{|y|} \right)^{2\beta} dy &= \lambda^{2\beta+1} \int_{\Omega} \frac{1}{|x|^N} \left| \nabla_{\mathbb{S}^{N-1}} u(x) \right|^2 \left( \log \frac{1}{|x|} \right)^{2\beta} dx, \end{aligned}$$

where  $\nabla_{\mathbb{S}^{N-1}}$  denotes the gradient operator on  $\mathbb{S}^{N-1}$ .

*Proof.* By density, it is enough to prove the formula for  $u \in Y_{0,\alpha,\beta}(\Omega)$ . By extending  $u_\lambda$  as  $u_\lambda \equiv 0$  outside of  $\Omega \subset\subset B$ , we may consider that  $u_\lambda$  is a function on  $B$ . Then we have

$$\begin{aligned} \int_{\Omega_\lambda} \left| \frac{y}{|y|} \cdot \nabla u_\lambda(y) \right|^2 |y|^{2-N} \left( \log \frac{1}{|y|} \right)^{2\beta} dy &= \int_B \left| \frac{y}{|y|} \cdot \nabla u_\lambda(y) \right|^2 |y|^{2-N} \left( \log \frac{1}{|y|} \right)^{2\beta} dy \\ &= \int_{\mathbb{S}^{N-1}} \int_0^1 \left| \frac{\partial u_\lambda}{\partial s}(s, \omega) \right| \left( \log \frac{1}{s} \right)^{2\beta} s ds dS_\omega \\ &= \int_{\mathbb{S}^{N-1}} \int_0^1 \left| \frac{\partial u}{\partial r}(r, \omega) \underbrace{\left( \frac{ds}{dr} \right)^{-1}}_{=\frac{1}{\lambda} r^{1-\lambda}} \right|^2 \left( \log \frac{1}{r^\lambda} \right)^{2\beta} r^\lambda \cdot \lambda r^{\lambda-1} dr dS_\omega \\ &= \lambda^{2\beta-1} \int_{\mathbb{S}^{N-1}} \int_0^1 \left| \frac{\partial u}{\partial r}(r, \omega) \right|^2 \left( \log \frac{1}{r} \right)^{2\beta} r dr dS_\omega = \lambda^{2\beta-1} \int_B \left| \frac{x}{|x|} \cdot \nabla u(x) \right|^2 |x|^{2-N} \left( \log \frac{1}{|x|} \right)^{2\beta} dx \\ &= \lambda^{2\beta-1} \int_{\Omega} \left| \frac{x}{|x|} \cdot \nabla u(x) \right|^2 |x|^{2-N} \left( \log \frac{1}{|x|} \right)^{2\beta} dx. \end{aligned}$$

Similarly, we see

$$\begin{aligned} \int_{\Omega_\lambda} \frac{|u_\lambda(y)|^2}{|y|^N \left( \log \frac{1}{|y|} \right)^{2\alpha}} dy &= \int_B \frac{|u_\lambda(y)|^2}{|y|^N \left( \log \frac{1}{|y|} \right)^{2\alpha}} dy \\ &= \int_{\mathbb{S}^{N-1}} \int_0^1 \frac{|u_\lambda(s, \omega)|^2}{s^N \left( \log \frac{1}{s} \right)^{2\alpha}} s^{N-1} ds dS_\omega = \int_{\mathbb{S}^{N-1}} \int_0^1 \frac{|u(r, \omega)|^2}{r^{N\lambda} \left( \log \frac{1}{r^\lambda} \right)^{2\alpha}} r^{\lambda(N-1)} \cdot \lambda r^{\lambda-1} dr dS_\omega \\ &= \lambda^{1-2\alpha} \int_{\mathbb{S}^{N-1}} \int_0^1 \frac{|u(r, \omega)|^2}{r^N \left( \log \frac{1}{r} \right)^{2\alpha}} r^{N-1} dr dS_\omega = \lambda^{1-2\alpha} \int_B \frac{|u(x)|^2}{|x|^N \left( \log \frac{1}{|x|} \right)^{2\alpha}} dx \\ &= \lambda^{1-2\alpha} \int_{\Omega} \frac{|u(x)|^2}{|x|^N \left( \log \frac{1}{|x|} \right)^{2\alpha}} dx, \end{aligned}$$

and

$$\begin{aligned}
& \int_{\Omega_\lambda} \frac{1}{|y|^N} |\nabla_{\mathbb{S}^{N-1}} u_\lambda(y)|^2 \left( \log \frac{1}{|y|} \right)^{2\beta} dy = \int_B \frac{1}{|y|^N} |\nabla_{\mathbb{S}^{N-1}} u_\lambda(y)|^2 \left( \log \frac{1}{|y|} \right)^{2\beta} dy \\
& \int_{\mathbb{S}^{N-1}} \int_0^1 \frac{1}{s^N} |\nabla_{\mathbb{S}^{N-1}} u_\lambda(s, \omega)|^2 \left( \log \frac{1}{s} \right)^{2\beta} s^{N-1} ds dS_\omega \\
& = \int_{\mathbb{S}^{N-1}} \int_0^1 \frac{1}{r^{N\lambda}} |\nabla_{\mathbb{S}^{N-1}} u(r, \omega)|^2 \left( \log \frac{1}{r^\lambda} \right)^{2\beta} r^{(N-1)\lambda} \cdot \lambda r^{\lambda-1} dr dS_\omega \\
& = \lambda^{2\beta+1} \int_B \frac{1}{|x|^N} |\nabla_{\mathbb{S}^{N-1}} u(x)|^2 \left( \log \frac{1}{|x|} \right)^{2\beta} dx \\
& = \lambda^{2\beta+1} \int_\Omega \frac{1}{|x|^N} |\nabla_{\mathbb{S}^{N-1}} u(x)|^2 \left( \log \frac{1}{|x|} \right)^{2\beta} dx.
\end{aligned}$$

□

Recall the orthogonal decomposition of the gradient operator  $\nabla$  in  $\mathbb{R}^N$ :

$$\nabla = \omega \frac{\partial}{\partial r} + \frac{1}{r} \nabla_{\mathbb{S}^{N-1}}, \quad \omega \in \mathbb{S}^{N-1}, \quad \omega \cdot \nabla_{\mathbb{S}^{N-1}} \equiv 0.$$

Thus if  $Q_{\alpha,\beta}(u, \Omega)$  denotes the critical Hardy quotient

$$Q_{\alpha,\beta}(u, \Omega) = \frac{\int_\Omega |\nabla u(x)|^2 |x|^{2-N} \left( \log \frac{1}{|x|} \right)^{2\beta} dx}{\int_\Omega \frac{|u(x)|^2}{|x|^N \left( \log \frac{1}{|x|} \right)^{2\alpha}} dx},$$

then we have

$$\begin{aligned}
(8) \quad & \frac{\int_{\Omega_\lambda} |\nabla u_\lambda(y)|^2 |y|^{2-N} \left( \log \frac{1}{|y|} \right)^{2\beta} dy}{\int_{\Omega_\lambda} \frac{|u_\lambda(y)|^2}{|y|^N \left( \log \frac{1}{|y|} \right)^{2\alpha}} dy} \\
& = \lambda^{2(\alpha+\beta-1)} \frac{\int_\Omega \left| \frac{x}{|x|} \cdot \nabla u(x) \right|^2 |x|^{2-N} \left( \log \frac{1}{|x|} \right)^{2\beta} dx}{\int_\Omega \frac{|u(x)|^2}{|x|^N \left( \log \frac{1}{|x|} \right)^{2\alpha}} dx} \\
& + \lambda^{2(\alpha+\beta)} \frac{\int_\Omega |\nabla_{\mathbb{S}^{N-1}} u(x)|^2 |x|^{-N} \left( \log \frac{1}{|x|} \right)^{2\beta} dx}{\int_\Omega \frac{|u(x)|^2}{|x|^N \left( \log \frac{1}{|x|} \right)^{2\alpha}} dx}.
\end{aligned}$$

For  $\lambda \in (0, 1)$ , we have  $\lambda^{2(\alpha+\beta-1)} > \lambda^{2(\alpha+\beta)}$  for any  $\alpha, \beta \in \mathbb{R}$ , thus we have an estimate

$$(9) \quad Q_{\alpha,\beta}(u_\lambda, \Omega_\lambda) \leq \lambda^{2(\alpha+\beta-1)} Q_{\alpha,\beta}(u, \Omega), \quad \lambda \in (0, 1).$$

**Proposition 3.** *If  $\alpha + \beta < 1$ , then  $H_{\alpha,\beta}(\Omega) = 0$ .*

*Proof.* Since the origin is in the interior of  $\Omega$ , there is  $\delta_0 \in (0, 1)$  such that  $B_{\delta_0} \subset \subset \Omega$ . We choose a radial smooth function  $\psi \in C_0^\infty(B_{\delta_0} \setminus \{0\})$  such that  $\|\psi\|_{\alpha,\beta} < \infty$  and consider its scaled function  $\psi_\lambda \in C_0^\infty((B_{\delta_0} \setminus \{0\})_\lambda)$ . Since  $(B_{\delta_0})_\lambda = B_{\delta_0^\lambda} \subset B_{\delta_0}$  for any  $\lambda > 1$ , we can consider that  $\psi_\lambda \in C_0^\infty(\Omega)$  and  $\|\psi_\lambda\|_{\alpha,\beta} < \infty$  by extending zero outside of  $B_{\delta_0^\lambda}$ . Thus by testing  $H_{\alpha,\beta}(\Omega)$  by  $\psi_\lambda$  and using Proposition 2 (8), we see

$$H_{\alpha,\beta}(\Omega) \leq Q(\psi_\lambda, \Omega) = Q(\psi_\lambda, (B_{\delta_0})_\lambda) = \lambda^{2(\alpha+\beta-1)} Q(\psi, B_{\delta_0}) \rightarrow 0$$

as  $\lambda \rightarrow +\infty$  if  $\alpha + \beta < 1$ . □

**Proposition 4.** *If  $\alpha + \beta \geq 1$  and  $\alpha \neq \frac{1}{2}$ , then  $H_{\alpha,\beta}(\Omega) > 0$ .*

*Proof.* Let  $u \in \mathcal{H}_{0,\alpha,\beta}^{1,2}(\Omega)$ . When  $\alpha + \beta \geq 1$ , we see

$$\begin{aligned} \int_{\Omega} |\nabla u|^2 |x|^{2-N} \left(\log \frac{1}{|x|}\right)^{2\beta} dx &= \int_{\Omega} |\nabla u|^2 |x|^{2-N} \left(\log \frac{1}{|x|}\right)^{2(1-\alpha)} \left(\log \frac{1}{|x|}\right)^{2(\alpha+\beta-1)} dx \\ &\geq \left(\log \frac{1}{R_{\Omega}}\right)^{2(\alpha+\beta-1)} \int_{\Omega} |\nabla u|^2 |x|^{2-N} \left(\log \frac{1}{|x|}\right)^{2(1-\alpha)} dx \end{aligned}$$

where  $R_{\Omega} = \sup_{x \in \Omega} |x| < 1$ . Thus we see

$$\mathcal{H}_{0,\alpha,\beta}^{1,2}(\Omega) \hookrightarrow \mathcal{H}_{0,\alpha,1-\alpha}^{1,2}(\Omega)$$

and for any  $u \in \mathcal{H}_{0,\alpha,\beta}^{1,2}(\Omega)$ ,

$$Q_{\alpha,\beta}(u, \Omega) \geq \left(\log \frac{1}{R_{\Omega}}\right)^{2(\alpha+\beta-1)} Q_{\alpha,1-\alpha}(u, \Omega) \geq \left(\log \frac{1}{R_{\Omega}}\right)^{2(\alpha+\beta-1)} \underbrace{H_{\alpha,1-\alpha}(\Omega)}_{=(\alpha-1/2)^2}.$$

Thus we have  $H(\alpha, \beta)(\Omega) > 0$  if  $\alpha + \beta \geq 1$  and  $\alpha \neq \frac{1}{2}$ . □

**Proposition 5.**  *$H_{\frac{1}{2},\beta}(\Omega) > 0$  for  $\beta > \frac{1}{2}$ .*

*Proof.* Note that when  $\alpha = \frac{1}{2}$ , the weight  $\frac{1}{|x|^N (\log \frac{1}{|x|})} \notin L^1(\Omega)$ . Then if  $u \not\equiv 0$  and  $u \in Y_{0,1/2,\beta}(\Omega)$ , then  $u$  must satisfy that  $u(0) = 0$ . Thus by Taylor expansion,  $u$  can be written as  $u(r\omega) = r^m \phi(\omega) + O(r^{m+1})$  near  $r = |x| = 0$ , where  $\phi$  is a smooth function on  $\mathbb{S}^{N-1}$  and  $m \in \mathbb{N}$ . Since  $m \geq 1$ , we see

$$\int_0^{\delta} \frac{r^{2m}}{r^N (\log \frac{1}{r})^{2(1-\beta)}} r^{N-1} dr < \infty$$

for any  $\beta \in \mathbb{R}$  and for small  $\delta \in (0, 1)$ , which implies that

$$\int_{B_{\delta}} \frac{|u(x)|^2}{|x|^N (\log \frac{1}{|x|})^{2(1-\beta)}} dx < \infty.$$

Also we see  $\int_{\Omega} |\nabla u|^2 |x|^{2-N} \left(\log \frac{1}{|x|}\right)^{2\beta} dx < \infty$  by the definition of  $Y_{0,1/2,\beta}(\Omega)$ . This implies that

$$Y_{0,1/2,\beta}(\Omega) \subset Y_{0,1-\beta,\beta}(\Omega) \quad (\forall \beta \in \mathbb{R}).$$

Now we assume  $\beta \geq \frac{1}{2}$ . In this case, we have

$$\begin{aligned} \int_{\Omega} \frac{|u|^2}{|x|^N (\log \frac{1}{|x|})} dx &= \int_{\Omega} \frac{|u|^2}{|x|^N (\log \frac{1}{|x|})^{2(1-\beta)}} \left(\log \frac{1}{|x|}\right)^{1-2\beta} dx \\ &\leq \left(\log \frac{1}{R_{\Omega}}\right)^{1-2\beta} \int_{\Omega} \frac{|u|^2}{|x|^N (\log \frac{1}{|x|})^{2(1-\beta)}} dx \end{aligned}$$

for  $u \in Y_{0,1/2,\beta}(\Omega) \subset Y_{0,1-\beta,\beta}(\Omega)$ . Thus testing  $H_{1-\beta,\beta}(\Omega)$  by  $u$ , we see

$$\begin{aligned} \int_{\Omega} |\nabla u|^2 |x|^{2-N} \left(\log \frac{1}{|x|}\right)^{2\beta} dx &\geq H_{1-\beta,\beta}(\Omega) \int_{\Omega} \frac{|u|^2}{|x|^N \left(\log \frac{1}{|x|}\right)^{2(1-\beta)}} dx \\ &\geq H_{1-\beta,\beta}(\Omega) \left(\log \frac{1}{R_{\Omega}}\right)^{2\beta-1} \int_{\Omega} \frac{|u|^2}{|x|^N \left(\log \frac{1}{|x|}\right)} dx. \end{aligned}$$

From this, we have

$$Q_{\frac{1}{2},\beta}(u, \Omega) \geq \underbrace{H_{1-\beta,\beta}(\Omega)}_{=(\beta-1/2)^2} \left(\log \frac{1}{R_{\Omega}}\right)^{2\beta-1}$$

for  $\beta \geq \frac{1}{2}$  and for any  $u \in Y_{0,1/2,\beta}(\Omega)$ . This implies that  $H_{\frac{1}{2},\beta}(\Omega) > 0$  if  $\beta > 1/2$ .  $\square$

Propositions 3, 4, 5 complete the proof of the latter half part of Theorem 1:

$$(10) \quad H_{\alpha,\beta}(\Omega) > 0 \iff \begin{cases} \alpha + \beta \geq 1, \\ (\alpha, \beta) \neq \left(\frac{1}{2}, \frac{1}{2}\right). \end{cases}$$

Also if  $\alpha + \beta > 1$ , the scaling property (9) prevents the concentration of minimizing sequence of  $H_{\alpha,\beta}(\Omega)$  at the origin. This gives the compactness for a minimizing sequence and enable us to prove Theorem 2:

### Proof of Theorem 2.

*Proof.* Let  $\{u_n\}$  be a minimizing sequence of  $H_{\alpha,\beta}(\Omega)$  in  $\mathcal{H}_{0,\alpha,\beta}^{1,2}(\Omega)$  such that

$$\int_{\Omega} \frac{u_n^2}{|x|^N \left(\log \frac{1}{|x|}\right)^{2\alpha}} dx = 1, \quad \text{and} \quad \int_{\Omega} |\nabla u_n|^2 |x|^{2-N} \left(\log \frac{1}{|x|}\right)^{2\beta} dx = H_{\alpha,\beta}(\Omega) + o(1).$$

Then up to a subsequence, there is  $u \in \mathcal{H}_{0,\alpha,\beta}^{1,2}(\Omega)$  such that

$$u_n \rightharpoonup u \quad \text{weakly in } \mathcal{H}_{0,\alpha,\beta}^{1,2}(\Omega).$$

Since  $u_n \rightarrow u$  in  $L^2(\Omega \setminus B_{\delta}, \frac{1}{|x|^N \left(\log \frac{1}{|x|}\right)^{2\alpha}})$  strongly as  $n \rightarrow \infty$  for any small  $\delta > 0$ , we see that

$$\begin{aligned} \int_{B_{\delta}} \frac{u_n^2}{|x|^N \left(\log \frac{1}{|x|}\right)^{2\alpha}} dx &= \int_{\Omega} \frac{u_n^2}{|x|^N \left(\log \frac{1}{|x|}\right)^{2\alpha}} dx - \int_{\Omega \setminus B_{\delta}} \frac{u_n^2}{|x|^N \left(\log \frac{1}{|x|}\right)^{2\alpha}} dx + o_n(1) \\ (11) \quad &\geq 1 - \int_{\Omega} \frac{u^2}{|x|^N \left(\log \frac{1}{|x|}\right)^{2\alpha}} dx + o_n(1). \end{aligned}$$

Now, we claim that

$$(12) \quad \int_{\Omega} \frac{u^2}{|x|^N \left(\log \frac{1}{|x|}\right)^{2\alpha}} dx = 1.$$

If (12) is the case, then  $u \in \mathcal{A}_D$  defined in (3) and by the weak-lower semi-continuity of the norm, we have

$$1 + H_{\alpha,\beta}(\Omega) = \liminf_{n \rightarrow \infty} \|u_n\|_{\alpha,\beta}^2 \geq \|u\|_{\alpha,\beta}^2 = \underbrace{\int_{\Omega} \frac{u^2}{|x|^N \left(\log \frac{1}{|x|}\right)^{2\alpha}} dx}_{=1} + \int_{\Omega} |\nabla u|^2 |x|^{2-N} \left(\log \frac{1}{|x|}\right)^{2\beta} dx.$$

This implies that  $\int_{\Omega} |\nabla u|^2 |x|^{2-N} (\log \frac{1}{|x|})^{2\beta} dx \leq H_{\alpha,\beta}(\Omega)$  and  $u$  attains  $H_{\alpha,\beta}(\Omega)$  in  $\mathcal{A}_D$ .

Now we show (12). Assume the contrary that  $\int_{\Omega} \frac{u^2}{|x|^N (\log \frac{1}{|x|})^{2\alpha}} dx < 1$  would hold. Then by (11), for arbitrary small  $\delta > 0$ , there is  $n_0 = n_0(\delta) > 0$  such that

$$(13) \quad \int_{B_\delta} \frac{u_n^2}{|x|^N (\log \frac{1}{|x|})^{2\alpha}} dx \geq \frac{1}{2} \left( 1 - \int_{\Omega} \frac{u^2}{|x|^N (\log \frac{1}{|x|})^{2\alpha}} dx \right) > 0$$

for  $n > n_0$ .

Let  $\delta_0 \in (0, 1)$  be a number such that  $B_{\delta_0} \subset\subset \Omega$ . For  $\delta \in (0, \delta_0)$  and fixed  $s > 1$ , we take a truncation function  $g_{\delta,s}$  such that

$$(14) \quad g_{\delta,s}(x) = \begin{cases} 1 & (0 \leq |x| \leq \delta^s), \\ \frac{1}{\log s} \left\{ \log \log \frac{1}{|x|} - \log \log \frac{1}{\delta} \right\} & (\delta^s \leq |x| \leq \delta), \\ 0 & (\Omega \cap \{\delta \leq |x|\}) \end{cases}$$

and define

$$(15) \quad v_{n,\delta}(x) = g_{\delta,s}(x) u_n(x) \quad (x \in B_\delta)$$

$$(16) \quad (v_{n,\delta})_\lambda(y) = v_{n,\delta}(x) \quad (y = |x|^\lambda \frac{x}{|x|} \in (B_\delta)_\lambda)$$

as in (7). Note that  $g_{\delta,s}$  is a continuous function with compact support  $\text{supp } g_{\delta,s} = \overline{B_\delta}$  and differentiable a.e.

$$(17) \quad |\nabla g_{\delta,s}(x)|^2 = \begin{cases} 0 & (0 \leq |x| \leq \delta^s), \\ \left( \frac{1}{\log s} \right)^2 \frac{1}{|x|^2 (\log \frac{1}{|x|})^2} & (\delta^s \leq |x| \leq \delta), \\ 0 & (\Omega \cap \{\delta \leq |x|\}). \end{cases}$$

By (17), we estimate

$$(18) \quad \begin{aligned} & \int_{B_\delta} |\nabla v_{n,\delta}|^2 |x|^{2-N} (\log \frac{1}{|x|})^{2\beta} dx \\ & \leq 2 \int_{B_\delta} |\nabla u_n|^2 g_{\delta,s}^2 |x|^{2-N} (\log \frac{1}{|x|})^{2\beta} dx + 2 \int_{B_\delta} |u_n|^2 |\nabla g_{\delta,s}|^2 |x|^{2-N} (\log \frac{1}{|x|})^{2\beta} dx \\ & \leq 2 \int_{B_\delta} |\nabla u_n|^2 |x|^{2-N} (\log \frac{1}{|x|})^{2\beta} dx + \frac{2}{(\log s)^2} \int_{B_\delta \setminus B_{\delta^s}} \frac{|u_n|^2}{|x|^2 (\log \frac{1}{|x|})^2} |x|^{2-N} (\log \frac{1}{|x|})^{2\beta} dx \\ & \leq 2 \int_{B_\delta} |\nabla u_n|^2 (\log \frac{1}{|x|})^{2\beta} dx + \frac{2}{(\log s)^2} \left( \log \frac{1}{\delta^s} \right)^{2(\alpha+\beta-1)} \int_{B_\delta \setminus B_{\delta^s}} \frac{|u_n|^2}{|x|^N (\log \frac{1}{|x|})^{2\alpha}} dx \end{aligned}$$

since  $\alpha + \beta - 1 > 0$ . Now, we take  $\lambda \in (0, 1)$  such that  $\delta^\lambda = \delta_0$ , which means that

$$(B_\delta)_\lambda = \{y = |x|^\lambda \frac{x}{|x|} : x \in B_\delta\} = B_{\delta^\lambda} = B_{\delta_0}$$

and

$$\lambda = \frac{\log \frac{1}{\delta_0}}{\log \frac{1}{\delta}} \rightarrow 0 \iff \delta \rightarrow 0.$$

We test  $H_{\alpha,\beta}(B_{\delta_0})$  by  $(v_{n,\delta})_\lambda \in \mathcal{H}_{0,\alpha,\beta}^{1,2}(B_{\delta_0})$ : Then by (9), (13), (18), and the absolute continuity of the integral

$$\int_{B_\delta \setminus B_{\delta^s}} \frac{|u|^2}{|x|^N (\log \frac{1}{|x|})^{2\alpha}} dx \rightarrow 0 \quad (\delta \rightarrow 0)$$

for  $u \in \mathcal{H}_{\alpha,\beta}^{1,2}(\Omega)$ , we see

$$\begin{aligned} Q((v_{n,\delta})_\lambda, B_{\delta_0}) &= Q((v_{n,\delta})_\lambda, (B_\delta)_\lambda) \stackrel{(9)}{\leq} \lambda^{2(\alpha+\beta-1)} Q(v_{n,\delta}, B_\delta) \\ &= \lambda^{2(\alpha+\beta-1)} \frac{\int_{B_\delta} |\nabla v_{n,\delta}|^2 |x|^{2-N} (\log \frac{1}{|x|})^{2\beta} dx}{\int_{B_\delta} \frac{v_{n,\delta}^2}{|x|^N (\log \frac{1}{|x|})^{2\alpha}} dx} \\ &\stackrel{(18)}{\leq} \lambda^{2(\alpha+\beta-1)} \frac{2 \int_{B_\delta} |\nabla u_n|^2 |x|^{2-N} (\log \frac{1}{|x|})^{2\beta} dx + \frac{2}{(\log s)^2} (\log \frac{1}{\delta^s})^{2(\alpha+\beta-1)} \int_{B_\delta \setminus B_{\delta^s}} \frac{|u_n|^2}{|x|^N (\log \frac{1}{|x|})^{2\alpha}} dx}{\int_{B_\delta} \frac{u_n^2}{|x|^N (\log \frac{1}{|x|})^{2\alpha}} dx} \\ &\stackrel{(13)}{\leq} \frac{C \lambda^{2(\alpha+\beta-1)} \sup_{n \in \mathbb{N}} \{\|u_n\|_{\alpha,\beta}^2\} + C \int_{B_\delta \setminus B_{\delta^s}} \frac{|u|^2}{|x|^N (\log \frac{1}{|x|})^{2\alpha}} dx + o_n(1)}{\frac{1}{2} \left(1 - \int_{\Omega} \frac{u^2}{|x|^N (\log \frac{1}{|x|})^{2\alpha}} dx\right)}. \end{aligned}$$

Thus first by taking a limit  $n \rightarrow \infty$ , and later by taking a limit  $\delta \rightarrow 0$ , we obtain  $H_{\alpha,\beta}(B_{\delta_0}) = 0$  when  $\alpha + \beta > 1$ ; This is a contradiction to the fact that  $H_{\alpha,\beta}(B_{\delta_0}) > 0$  when  $\alpha + \beta > 1$ , see Proposition 4. Thus we obtain (12), which completes the proof of Theorem 2.  $\square$

### 3. RESULTS FOR $N_{\alpha,\beta}(\Omega)$ WHEN $\alpha + \beta > 1$ .

Let us consider  $N_{\alpha,\beta}(\Omega)$  defined in (4). First, we analyze the continuity property of the averaging operator

$$(19) \quad T_0 : \mathcal{H}_{\alpha,\beta}^{1,2}(\Omega) \rightarrow \mathbb{R}, \quad T_0(u) = \int_{\Omega} \frac{u(x)}{|x|^N (\log \frac{1}{|x|})^{2\alpha}} dx.$$

**Proposition 6.** *If  $2\alpha + \beta > 3/2$  or  $\alpha > 1/2$ , then  $T_0$  in (19) is a continuous linear functional on  $\mathcal{H}_{\alpha,\beta}^{1,2}(\Omega)$ .*

*Proof.* When  $\alpha > 1/2$ , then the weight function  $\frac{1}{|x|^N (\log \frac{1}{|x|})^{2\alpha}} \in L^1(\Omega)$  and the result is easily seen as

$$\begin{aligned} |T_0(u)| &\leq \int_{\Omega} \frac{|u(x)|}{|x|^N (\log \frac{1}{|x|})^{2\alpha}} dx \leq \left( \int_{\Omega} \frac{|u(x)|^2}{|x|^N (\log \frac{1}{|x|})^{2\alpha}} dx \right)^{1/2} \underbrace{\left( \int_{\Omega} \frac{1}{|x|^N (\log \frac{1}{|x|})^{2\alpha}} dx \right)^{1/2}}_{\leq C} \\ &\leq C \|u\|_{\alpha,\beta}. \end{aligned}$$

When  $\alpha \leq 1/2$ , we need a lemma below.

**Lemma 1.** *When  $\alpha \leq 1/2$  and  $2\alpha + \beta > 3/2$ , there exists a constant  $C_{\alpha,\beta} > 0$  such that for any  $\delta \in (0, 1)$  such that  $B_\delta \subset \subset \Omega$  and  $u \in \mathcal{H}_{\alpha,\beta}^{1,2}(\Omega)$ ,*

$$(20) \quad \int_{B_\delta} \frac{|u(x)|}{|x|^N (\log \frac{1}{|x|})^{2\alpha}} dx \leq C_{\alpha,\beta} \|u\|_{\alpha,\beta} \left( \log \frac{1}{\delta} \right)^{\frac{3}{2} - 2\alpha - \beta}$$

holds.

*Proof.* By density, it is enough to prove (20) for  $u \in Y_{\alpha,\beta}(\Omega)$ . Since  $\alpha \leq 1/2$ , it is necessary  $u(0) = 0$  in order to assure that the integral  $\int_{\Omega} \frac{|u(x)|^2}{|x|^N (\log \frac{1}{|x|})^{2\alpha}} dx$  is finite. In this case, we compute for  $r \in (0, \delta)$  and  $\omega \in \mathbb{S}^{N-1}$ ,

$$(21) \quad \begin{aligned} |u(r\omega)| &= \left| \int_0^r \frac{\partial}{\partial t} \{u(t\omega)\} dt \right| \\ &\leq \left( \int_0^r \left| \frac{\partial u}{\partial r}(t\omega) \right|^2 t^{2-N} \left( \log \frac{1}{t} \right)^{2\beta} t^{N-1} dt \right)^{1/2} \left( \int_0^r \frac{dt}{t (\log \frac{1}{t})^{2\beta}} \right)^{1/2} \end{aligned}$$

and the last integral is finite when  $\beta > 1/2$ :

$$\int_0^r \frac{dt}{t (\log \frac{1}{t})^{2\beta}} = \frac{1}{2\beta-1} \left( \log \frac{1}{r} \right)^{1-2\beta}.$$

Thus when  $\beta > 1/2$ , we see

$$(22) \quad |u(r\omega)| \leq C \|u\|_{\alpha,\beta} \left( \log \frac{1}{r} \right)^{\frac{1}{2}-\beta}$$

and

$$\int_0^\delta \frac{|u(r\omega)|}{r (\log \frac{1}{r})^{2\alpha}} dr \leq C \|u\|_{\alpha,\beta} \int_0^\delta \frac{dr}{r (\log \frac{1}{r})^{2\alpha+\beta-1/2}}.$$

The last integral is finite if  $2\alpha + \beta > 3/2$  and in this case

$$\int_0^\delta \frac{dr}{r (\log \frac{1}{r})^{2\alpha+\beta-1/2}} = \frac{1}{2\alpha + \beta - \frac{3}{2}} \left( \log \frac{1}{\delta} \right)^{\frac{3}{2}-2\alpha-\beta}.$$

Note that if  $\alpha \leq 1/2$  and  $2\alpha + \beta > 3/2$ , we have automatically  $\beta > 1/2$ . Thus

$$\begin{aligned} \int_{B_\delta} \frac{|u(x)|}{|x|^N (\log \frac{1}{|x|})^{2\alpha}} dx &= \int_{\mathbb{S}^{N-1}} \int_0^\delta \frac{|u(r\omega)|}{r^N (\log \frac{1}{r})^{2\alpha}} r^{N-1} dr dS_\omega \\ &\leq C_{\alpha,\beta} \|u\|_{\alpha,\beta} \left( \log \frac{1}{\delta} \right)^{\frac{3}{2}-2\alpha-\beta}. \end{aligned}$$

This ends the proof of Lemma 1.  $\square$

We return to the proof of Proposition 6. We use Lemma 1 when  $\alpha \leq 1/2$ . Fix  $\delta \in (0, 1)$  such that  $B_\delta \subset\subset \Omega$ . Then

$$\begin{aligned} |T_0(u)| &= \int_{\Omega} \frac{|u(x)|}{|x|^N (\log \frac{1}{|x|})^{2\alpha}} dx = \int_{B_\delta} (\dots) dx + \int_{\Omega \setminus B_\delta} (\dots) dx \\ &\leq \underbrace{\int_{B_\delta} \frac{|u(x)|}{|x|^N (\log \frac{1}{|x|})^{2\alpha}} dx}_{(20)} + \left( \int_{\Omega} \frac{|u(x)|^2}{|x|^N (\log \frac{1}{|x|})^{2\alpha}} dx \right)^{1/2} \underbrace{\left( \int_{\Omega \setminus B_\delta} \frac{1}{|x|^N (\log \frac{1}{|x|})^{2\alpha}} dx \right)^{1/2}}_{\leq C} \\ &\leq \left( C_{\alpha,\beta} \left( \log \frac{1}{\delta} \right)^{\frac{3}{2}-2\alpha-\beta} + \left( \int_{\Omega \setminus B_\delta} \frac{1}{|x|^N (\log \frac{1}{|x|})^{2\alpha}} dx \right)^{1/2} \right) \|u\|_{\alpha,\beta} \end{aligned}$$

when  $2\alpha + \beta > 3/2$  and  $\alpha \leq 1/2$ . Thus  $T_0$  in (19) is a bounded linear functional.  $\square$

When  $2\alpha + \beta < 3/2$  and  $\alpha < 1/2$ , then we can construct a sequence of functions in  $\mathcal{H}_{0,\alpha,\beta}^{1,2}(\Omega)$  to show the unboundedness of  $T_0$  in (19). As before, we may assume  $B_{\delta_0} \subset\subset \Omega$  for some  $\delta_0 \in (0, 1)$ .

**Proposition 7.** *If  $2\alpha + \beta < 3/2$  and  $\alpha < 1/2$ , then there is a sequence  $\{\psi_\delta\}_{\delta \in (0, \delta_0)}$  in  $\mathcal{H}_{0,\alpha,\beta}^{1,2}(\Omega)$  such that*

$$\begin{aligned} \psi_\delta &\geq 0, \quad \|\psi_\delta\|_{\alpha,\beta} \rightarrow 0 \quad (\delta \rightarrow 0), \quad \text{and} \\ T_0(\psi_\delta) &\rightarrow +\infty \quad (\delta \rightarrow 0). \end{aligned}$$

*Proof.* Let  $s_1 > s_2 > s_3 > 1$  be fixed and thus  $0 < \delta^{s_1} < \delta^{s_2} < \delta^{s_3} < \delta$  for  $\delta \in (0, \delta_0)$ . Define a radially symmetric cut-off function

$$(23) \quad \phi_\delta(x) = \begin{cases} 0 & (\delta \leq |x|), \\ \frac{1}{\log s_3} \left\{ \log \log \frac{1}{|x|} - \log \log \frac{1}{\delta} \right\} & (\delta^{s_3} \leq |x| \leq \delta), \\ 1 & (\delta^{s_2} \leq |x| \leq \delta^{s_3}), \\ \frac{1}{\log s_2 - \log s_1} \left\{ \log \log \frac{1}{|x|} - \log \log \frac{1}{\delta^{s_1}} \right\} & (\delta^{s_1} \leq |x| \leq \delta^{s_2}), \\ 0 & (0 \leq |x| \leq \delta^{s_1}). \end{cases}$$

Note that

$$(24) \quad |\nabla \phi_\delta|^2 = \left( \frac{1}{\log s_3} \right)^2 \frac{1}{|x|^2 (\log \frac{1}{|x|})^2} \chi_{B_\delta \setminus B_{\delta^{s_3}}} + \left( \frac{1}{\log(s_2/s_1)} \right)^2 \frac{1}{|x|^2 (\log \frac{1}{|x|})^2} \chi_{B_{\delta^{s_2}} \setminus B_{\delta^{s_1}}}$$

where  $\chi_D$  is an indicator function of a set  $D \subset \mathbb{R}^N$ , so when  $\beta \neq 1/2$ , we have

$$(25) \quad \begin{aligned} \int_{B_\delta} |\nabla \phi_\delta|^2 |x|^{2-N} (\log \frac{1}{|x|})^{2\beta} dx &\leq C \left( \int_{B_\delta \setminus B_{\delta^{s_3}}} + \int_{B_{\delta^{s_2}} \setminus B_{\delta^{s_1}}} \right) \frac{(\log \frac{1}{|x|})^{2\beta}}{|x|^N (\log \frac{1}{|x|})^2} dx \\ &\leq C \left( \log \frac{1}{\delta} \right)^{2\beta-1}. \end{aligned}$$

We construct a desired function  $\psi_\delta$  of the form  $\psi_\delta(x) = \left( \log \frac{1}{|x|} \right)^A \phi_\delta(|x|)$ , where  $A \in \mathbb{R}$  is chosen later. We have

$$\begin{aligned} T_0(\psi_\delta) &= \int_{B_\delta} \frac{\psi_\delta(x)}{|x|^N (\log \frac{1}{|x|})^{2\alpha}} dx \geq \int_{B_{\delta^{s_3}} \setminus B_{\delta^{s_2}}} \frac{(\log \frac{1}{|x|})^A}{|x|^N (\log \frac{1}{|x|})^{2\alpha}} dx \\ &= |\mathbb{S}^{N-1}| \int_{\delta^{s_2}}^{\delta^{s_3}} \frac{(\log \frac{1}{r})^A}{r (\log \frac{1}{r})^{2\alpha}} dr \\ &= \frac{|\mathbb{S}^{N-1}|}{A - 2\alpha + 1} (s_2^{A-2\alpha+1} - s_3^{A-2\alpha+1}) \left( \log \frac{1}{\delta} \right)^{A-2\alpha+1} \rightarrow +\infty \quad (\delta \rightarrow 0) \end{aligned}$$

when  $A - 2\alpha + 1 > 0$ . Even when  $A - 2\alpha + 1 = 0$ , we have

$$\begin{aligned} T_0(\psi_\delta) &\geq \int_{B_{\delta^{s_3}} \setminus B_{\delta^{s_2}}} \frac{(\log \frac{1}{|x|})^A}{|x|^N (\log \frac{1}{|x|})^{2\alpha}} dx \\ &= |\mathbb{S}^{N-1}| \int_{\delta^{s_2}}^{\delta^{s_3}} \frac{dr}{r \log \frac{1}{r}} \\ &= |\mathbb{S}^{N-1}| (\log s_2 - \log s_3) \log \log \frac{1}{\delta} \rightarrow +\infty \quad (\delta \rightarrow 0). \end{aligned}$$

On the other-hand, if  $2\alpha - 2A > 1$ , we can compute that

$$\begin{aligned} \int_{B_\delta} \frac{|\psi_\delta(x)|^2}{|x|^N (\log \frac{1}{|x|})^{2\alpha}} dx &\leq \int_{B_\delta} \frac{(\log \frac{1}{|x|})^{2A}}{|x|^N (\log \frac{1}{|x|})^{2\alpha}} dx = |\mathbb{S}^{N-1}| \int_0^\delta \frac{(\log \frac{1}{r})^{2A}}{r (\log \frac{1}{r})^{2\alpha}} dr \\ &= \frac{|\mathbb{S}^{N-1}|}{2\alpha - 1 - 2A} \left( \log \frac{1}{\delta} \right)^{2A - 2\alpha + 1} \rightarrow 0 \quad (\delta \rightarrow 0). \end{aligned}$$

Also when  $2A + 2\beta - 1 < 0$ , we see by (24),

$$\begin{aligned} &\int_{B_\delta} |\nabla \psi_\delta|^2 |x|^{2-N} (\log \frac{1}{|x|})^{2\beta} dx \\ &\leq 2A^2 \int_{B_\delta} \frac{(\log \frac{1}{|x|})^{2(A-1)+2\beta}}{|x|^2} \phi_\delta^2 |x|^{2-N} dx + 2 \int_{B_\delta} (\log \frac{1}{|x|})^{2A+2\beta} |\nabla \phi_\delta|^2 |x|^{2-N} dx \\ &\leq C \left( \int_{\delta^{s_1}}^{\delta^{s_2}} + \int_{\delta^{s_3}}^\delta \right) \frac{(\log \frac{1}{r})^{2A+2\beta-2}}{r} dr \\ &\leq C \left( \log \frac{1}{\delta} \right)^{2A+2\beta-1} \rightarrow 0 \quad (\delta \rightarrow 0). \end{aligned}$$

Therefore, if we can choose  $A \in \mathbb{R}$  so that

$$\begin{cases} A - 2\alpha + 1 \geq 0, \\ 2\alpha - 2A > 1, \\ 2A - 2\beta - 1 < 0, \end{cases} \iff \begin{cases} A \geq 2\alpha + 1, \\ A < \alpha - 1/2, \\ A < 1/2 - \beta, \end{cases}$$

$\psi_\delta(x) = \left( \log \frac{1}{|x|} \right)^A \phi_\delta(|x|)$  has desired properties: This is the case if  $2\alpha + \beta < 3/2$  and  $\alpha < 1/2$ . Thus we have proven Proposition 7.  $\square$

**Proposition 8.** *If  $\alpha + \beta < 1$ , then  $N_{\alpha,\beta}(\Omega) = 0$ .*

*Proof.* We may assume that  $B_{\delta_0} \subset\subset \Omega$  for some  $\delta_0 \in (0, 1)$ . Let  $\zeta = \zeta(r) \in C_0^\infty(B_{\delta_0})$ ,  $r = |x|$ , denote a compact supported smooth radial function such that  $0 \leq \zeta \leq 1$ ,  $\zeta \equiv 1$  for  $0 \leq r \leq \delta_0/2$ , and  $p_1, p_2 > 0$ . Define

$$\phi(r) = \begin{cases} \zeta(r)(C_1 r^{p_1} - C_2 r^{p_2}) & (0 \leq r = |x| \leq \delta_0), \\ 0 & (x \in \Omega \setminus B_{\delta_0}), \end{cases}$$

where

$$C_i^{-1} = \int_0^{\delta_0} \frac{\zeta(r) r^{p_i}}{r (\log \frac{1}{r})^{2\alpha}} dr \quad (i = 1, 2).$$

Note that

$$\int_0^\delta \frac{dr}{r^A (\log \frac{1}{r})^B} = \lim_{\varepsilon \rightarrow 0} \int_\varepsilon^\delta \frac{dr}{r^A (\log \frac{1}{r})^B} = \begin{cases} < \infty & (A < 1, B \in \mathbb{R}), \\ < \infty & (A = 1, B > 1), \\ = \infty & (A = 1, B \leq 1), \\ = \infty & (A > 1, B \in \mathbb{R}). \end{cases}$$

Thus  $C_i$  is well-defined and we have  $\int_\Omega \frac{\phi}{|x|^N (\log \frac{1}{|x|})^{2\alpha}} dx = 0$ . We also see

$$|\phi(r)|^2 \leq C(r^{2p_1} + r^{2p_2}), \quad |\phi'(r)|^2 \leq C(r^{2p_1} + r^{2p_2} + r^{2(p_1-1)} + r^{2(p_2-1)})$$

for some  $C > 0$ , thus  $\|\phi\|_{\alpha, \beta} < \infty$  for any  $\alpha, \beta \in \mathbb{R}$  when  $p_i > 0$ , ( $i = 1, 2$ ). We test  $N_{\alpha, \beta}(\Omega)$  by a scaled function  $\phi_\lambda \in \mathcal{H}_{0, \alpha, \beta}^{1,2}((B_{\delta_0})_\lambda)$ , which can be regarded as a function in  $\mathcal{H}_{\alpha, \beta}^{1,2}(\Omega)$  by zero-extension when  $\lambda > 1$ . By (8) for radial functions, we see

$$N_{\alpha, \beta}(\Omega) \leq Q(\phi_\lambda, \Omega) = Q(\phi_\lambda, (B_{\delta_0})_\lambda) = \lambda^{2(\alpha+\beta-1)} Q(\phi, B_{\delta_0}),$$

and the right-hand side goes to 0 as  $\lambda \rightarrow \infty$  when  $\alpha + \beta < 1$ . Thus we obtain  $N_{\alpha, \beta}(\Omega) = 0$  if  $\alpha + \beta < 1$ .  $\square$

**Proposition 9.**  $N_{\alpha, \beta}(\Omega) = 0$  if  $(\alpha, \beta) = (\frac{1}{2}, \frac{1}{2})$ .

*Proof.* For  $\varepsilon > 0$ , define

$$\phi_\varepsilon(x) = \left( \log \frac{1}{|x|} \right)^{-3\varepsilon} - C_0 \left( \log \frac{1}{|x|} \right)^{-2\varepsilon},$$

where  $C_0$  is chosen so that  $\int_\Omega \frac{\phi_\varepsilon}{|x|^N (\log \frac{1}{|x|})} dx = 0$ , i.e.,

$$C_0 = \frac{\int_\Omega \frac{dx}{|x|^N (\log \frac{1}{|x|})^{1+3\varepsilon}}}{\int_\Omega \frac{dx}{|x|^N (\log \frac{1}{|x|})^{1+2\varepsilon}}} > 0.$$

Then we see that there exists  $C > 0$  such that

$$\begin{aligned} \nabla \phi_\varepsilon &= \frac{x}{|x|^2} \left\{ 3\varepsilon \left( \log \frac{1}{|x|} \right)^{-3\varepsilon-1} - 2\varepsilon C_0 \left( \log \frac{1}{|x|} \right)^{-2\varepsilon-1} \right\}, \\ |\nabla \phi_\varepsilon|^2 &\leq C \frac{\varepsilon^2}{|x|^2} \left\{ \left( \log \frac{1}{|x|} \right)^{-6\varepsilon-2} + \left( \log \frac{1}{|x|} \right)^{-4\varepsilon-2} \right\}, \\ |\phi_\varepsilon|^2 &\leq C \left\{ \left( \log \frac{1}{|x|} \right)^{-6\varepsilon} + \left( \log \frac{1}{|x|} \right)^{-4\varepsilon} \right\} \end{aligned}$$

hold. From these, we easily see that  $\|\phi_\varepsilon\|_{\frac{1}{2}, \frac{1}{2}} < \infty$ , so Lemma 3 implies that  $\phi_\varepsilon \in \mathcal{H}_{\alpha, \beta}^{1,2}(\Omega)$  for  $(\alpha, \beta) = (1/2, 1/2)$  when  $\varepsilon > 0$ . We test  $N_{\frac{1}{2}, \frac{1}{2}}(\Omega)$  by  $\phi_\varepsilon$ . Since for  $A > 0$ ,

$$\int_{B_{\delta_0}} \frac{dx}{|x|^N (\log \frac{1}{|x|})^{1+A\varepsilon}} = \frac{|\mathbb{S}^{N-1}|}{A\varepsilon} \left( \log \frac{1}{\delta_0} \right)^{-A\varepsilon} = \frac{|\mathbb{S}^{N-1}|}{A\varepsilon} (1 + o(1))$$

as  $\varepsilon \rightarrow 0$ , we estimate

$$\begin{aligned} \int_{\Omega} \frac{\phi_{\varepsilon}^2}{|x|^N (\log \frac{1}{|x|})} dx &\geq \int_{B_{\delta_0}} \frac{(\log \frac{1}{|x|})^{-6\varepsilon} - 2C_0 (\log \frac{1}{|x|})^{-5\varepsilon} + C_0^2 (\log \frac{1}{|x|})^{-4\varepsilon}}{|x|^N (\log \frac{1}{|x|})} dx \\ &\geq \frac{|\mathbb{S}^{N-1}|}{\varepsilon} \left( \frac{1}{6} (1 + o(1)) - \frac{2C_0}{5} (1 + o(1)) + \frac{C_0^2}{4} (1 + o(1)) \right) \\ &= \frac{|\mathbb{S}^{N-1}|}{\varepsilon} \left( \frac{1}{6} - \frac{2C_0}{5} + \frac{C_0^2}{4} + o(1) \right) \\ &= \frac{|\mathbb{S}^{N-1}|}{\varepsilon} \left( \frac{1}{4} (C_0 - \frac{4}{5})^2 + \frac{1}{150} + o(1) \right) > 0. \end{aligned}$$

On the other hand, let us take a ball  $B_R$  such that  $\Omega \subset B_R \subset B$ . Then we see

$$\begin{aligned} \int_{\Omega} |\nabla \phi_{\varepsilon}|^2 |x|^{2-N} (\log \frac{1}{|x|}) dx &\leq C\varepsilon^2 \left( \int_{B_R} \frac{dx}{|x|^N (\log \frac{1}{|x|})^{6\varepsilon+1}} + \int_{B_R} \frac{dx}{|x|^N (\log \frac{1}{|x|})^{4\varepsilon+1}} \right) \\ &= C\varepsilon^2 \left( \frac{|\mathbb{S}^{N-1}|}{6\varepsilon} + \frac{|\mathbb{S}^{N-1}|}{4\varepsilon} + o(1) \right) = O(\varepsilon). \end{aligned}$$

Thus we have

$$N_{\frac{1}{2}, \frac{1}{2}}(\Omega) \leq Q(\phi_{\varepsilon}, \Omega) = \frac{\int_{\Omega} |\nabla \phi_{\varepsilon}|^2 (\log \frac{1}{|x|}) dx}{\int_{\Omega} \frac{\phi_{\varepsilon}^2}{|x|^2 (\log \frac{1}{|x|})} dx} \leq \frac{O(\varepsilon)}{\varepsilon^{-1}} = O(\varepsilon^2) \rightarrow 0$$

as  $\varepsilon \rightarrow 0$ . This implies  $N_{\frac{1}{2}, \frac{1}{2}}(\Omega) = 0$ .  $\square$

**Proposition 10.** *If  $H_{\alpha, \beta}(\Omega) > 0$ , then  $N_{\alpha, \beta}(\Omega) > 0$ .*

*Proof.* We will prove a contraposition that  $N_{\alpha, \beta}(\Omega) = 0$  implies  $H_{\alpha, \beta}(\Omega) = 0$ .

Let  $\{u_n\}$  be a minimizing sequence for  $N_{\alpha, \beta}(\Omega) = 0$  in  $\mathcal{H}_{\alpha, \beta}^{1,2}(\Omega)$  such that

$$\begin{aligned} \int_{\Omega} \frac{u_n^2}{|x|^N (\log \frac{1}{|x|})^{2\alpha}} dx &= 1, \quad \int_{\Omega} \frac{u_n}{|x|^N (\log \frac{1}{|x|})^{2\alpha}} dx = 0, \\ \text{and } \int_{\Omega} |\nabla u_n|^2 |x|^{2-N} (\log \frac{1}{|x|})^{2\beta} dx &= o(1). \end{aligned}$$

Then up to a subsequence, there is  $u \in \mathcal{H}_{\alpha, \beta}^{1,2}(\Omega)$  such that

$$u_n \rightharpoonup u \quad \text{weakly in } \mathcal{H}_{\alpha, \beta}^{1,2}(\Omega).$$

Since

$$\begin{aligned} o(1) &= \int_{\Omega} |\nabla u_n|^2 |x|^{2-N} (\log \frac{1}{|x|})^{2\beta} dx \\ &= \int_{\Omega} |\nabla(u_n - u)|^2 |x|^{2-N} (\log \frac{1}{|x|})^{2\beta} dx + \int_{\Omega} |\nabla u|^2 |x|^{2-N} (\log \frac{1}{|x|})^{2\beta} dx \\ &\quad + 2 \underbrace{\int_{\Omega} (\nabla(u_n - u) \cdot \nabla u) |x|^{2-N} (\log \frac{1}{|x|})^{2\beta} dx}_{=o(1)}, \end{aligned}$$

we see  $\int_{\Omega} |\nabla u|^2 (\log \frac{1}{|x|})^{2\beta} dx = 0$  and  $\int_{\Omega} |\nabla(u - u_n)|^2 |x|^{2-N} (\log \frac{1}{|x|})^{2\beta} dx = o(1)$  as  $n \rightarrow \infty$ . From this, we obtain that  $u \equiv C$  for a constant  $C$ .

When  $\alpha > 1/2$ , we know that the average operator  $T_0 : \mathcal{H}_{\alpha,\beta}^{1,2}(\Omega) \rightarrow \mathbb{R}$ ,

$$T_0(u) = \int_{\Omega} \frac{u}{|x|^N (\log \frac{1}{|x|})^{2\alpha}} dx$$

is continuous and linear, so  $T_0 \in (\mathcal{H}_{\alpha,\beta}^{1,2}(\Omega))^*$ . By the definition of weak convergence, we have

$$0 = T_0(u_n) \rightarrow T(u) = C \int_{\Omega} \frac{1}{|x|^N (\log \frac{1}{|x|})^{2\alpha}} dx \quad (n \rightarrow \infty),$$

which implies  $C \equiv 0$ . When  $\alpha \leq 1/2$ , by the weak lower semi-continuity

$$\int_{\Omega} \frac{u^2}{|x|^N (\log \frac{1}{|x|})^{2\alpha}} dx \leq \liminf_{n \rightarrow \infty} \int_{\Omega} \frac{u_n}{|x|^N (\log \frac{1}{|x|})^{2\alpha}} dx = 1$$

and  $\int_{\Omega} \frac{1}{|x|^N (\log \frac{1}{|x|})^{2\alpha}} dx = \infty$  implies that  $C \equiv 0$  again. We have proven that  $u_n \rightharpoonup u \equiv 0$  weakly in  $\mathcal{H}_{\alpha,\beta}^{1,2}(\Omega)$ .

We may assume that  $B_{\delta_0} \subset \subset \Omega$  for some  $\delta_0 \in (0, 1)$ . As in the proof of Theorem 2, we take a cut-off function  $g_{\delta_0,s}$  where  $s > 1$  is a fixed number as in (14), and take a truncated sequence  $\{v_{n,\delta_0}\} = \{g_{\delta_0,s} u_n\} \subset \mathcal{H}_{0,\alpha,\beta}^{1,2}(B_{\delta_0}) \subset \mathcal{H}_{0,\alpha,\beta}^{1,2}(\Omega)$  defined as in (15) with  $\delta = \delta_0$ . Then we have

(26)

$$\begin{aligned} Q(v_{n,\delta_0}, \Omega) &= Q(v_{n,\delta_0}, B_{\delta_0}) = \frac{\int_{B_{\delta_0}} |\nabla v_{n,\delta_0}|^2 |x|^{2-N} (\log \frac{1}{|x|})^{2\beta} dx}{\int_{B_{\delta_0}} \frac{v_{n,\delta_0}^2}{|x|^N (\log \frac{1}{|x|})^{2\alpha}} dx} \\ &\leq \frac{2 \int_{B_{\delta_0}} |\nabla u_n|^2 |x|^{2-N} (\log \frac{1}{|x|})^{2\beta} dx + \frac{2}{(\log s)^2} \left( \log \frac{1}{\delta_0^s} \right)^{2(\alpha+\beta-1)} \int_{\Omega \setminus B_{\delta_0^s}} \frac{|u_n|^2}{|x|^N (\log \frac{1}{|x|})^{2\alpha}} dx}{\int_{B_{\delta_0}} \frac{u_n^2}{|x|^N (\log \frac{1}{|x|})^{2\alpha}} dx}, \end{aligned}$$

see the proof of Theorem 2. Since  $u_n \rightarrow u \equiv 0$  in  $L^2(\Omega \setminus B_{\delta_0}, \frac{1}{|x|^N (\log \frac{1}{|x|})^{2\alpha}})$  strongly as  $n \rightarrow \infty$ , we see that

$$\int_{\Omega \setminus B_{\delta_0}} \frac{u_n^2}{|x|^N (\log \frac{1}{|x|})^{2\alpha}} dx \rightarrow \int_{\Omega \setminus B_{\delta_0}} \frac{u^2}{|x|^N (\log \frac{1}{|x|})^{2\alpha}} dx = 0 \quad (n \rightarrow \infty).$$

By this and  $\int_{\Omega} \frac{u_n^2}{|x|^N (\log \frac{1}{|x|})^{2\alpha}} dx = 1$ , we see  $\int_{B_{\delta_0}} \frac{u_n^2}{|x|^N (\log \frac{1}{|x|})^{2\alpha}} dx = 1 + o(1)$ . Thus taking a limit  $n \rightarrow \infty$  in (26), we obtain that  $H_{\alpha,\beta}(\Omega) = 0$ .  $\square$

### Proof of Theorem 1.

*Proof.* The positivity of  $H_{\alpha,\beta}(\Omega)$  has been proven in (10). Also, Propositions 8, 9, 10 give the necessary and sufficient condition for the positivity of  $N_{\alpha,\beta}(\Omega)$ . Thus, we have proven Theorem 1.  $\square$

## 4. PROOF OF THEOREM 3.

In this section, we prove Theorem 3. We may assume that  $B_{\delta_0} \subset\subset \Omega$  for some  $\delta_0 \in (0, 1)$ . Assume  $\alpha + \beta > 1$  and  $2\alpha + \beta > 3/2$ . In this case, we know  $N_{\alpha, \beta}(\Omega) > 0$  by Theorem 1. Let  $\{u_n\}$  be a minimizing sequence of  $N_{\alpha, \beta}(\Omega) > 0$  in  $\mathcal{H}_{\alpha, \beta}^{1,2}(\Omega)$ . Then  $\{u_n\}$  satisfies

$$\begin{aligned} \int_{\Omega} \frac{u_n^2}{|x|^N (\log \frac{1}{|x|})^{2\alpha}} dx &= 1, \quad \int_{\Omega} \frac{u_n}{|x|^N (\log \frac{1}{|x|})^{2\alpha}} dx = 0, \quad \text{and} \\ \int_{\Omega} |\nabla u_n|^2 |x|^{2-N} (\log \frac{1}{|x|})^{2\beta} dx &= N_{\alpha, \beta}(\Omega) + o_n(1) \end{aligned}$$

as  $n \rightarrow \infty$ . Then there is  $u \in \mathcal{H}_{\alpha, \beta}^{1,2}(\Omega)$  such that

$$u_n \rightharpoonup u \text{ in } \mathcal{H}_{\alpha, \beta}^{1,2}(\Omega)$$

up to a subsequence as  $n \rightarrow \infty$ . Take  $\delta \in (0, \delta_0)$  and fix  $s > 1$ . Then by the strong convergence of  $u_n$  to  $u$  in  $L^2(\Omega \setminus B_{\delta^s}, \frac{1}{|x|^N (\log \frac{1}{|x|})^{2\alpha}})$ , we see that

$$\begin{aligned} \int_{B_{\delta^s}} \frac{u_n^2}{|x|^N (\log \frac{1}{|x|})^{2\alpha}} dx &= 1 - \int_{\Omega \setminus B_{\delta^s}} \frac{u^2}{|x|^N (\log \frac{1}{|x|})^{2\alpha}} dx + o_n(1) \\ (27) \quad &> \frac{1}{2} \left( 1 - \int_{\Omega} \frac{u^2}{|x|^N (\log \frac{1}{|x|})^{2\alpha}} dx \right) \end{aligned}$$

up to a subsequence, where  $o_n(1) \rightarrow 0$  as  $n \rightarrow \infty$  for a fixed  $\delta \in (0, \delta_0)$ .

We first claim that

$$(28) \quad \int_{\Omega} \frac{u}{|x|^N (\log \frac{1}{|x|})^{2\alpha}} dx = 0.$$

Indeed, when  $2\alpha + \beta > 3/2$ , the average operator  $T_0 : \mathcal{H}_{\alpha, \beta}^{1,2}(\Omega) \rightarrow \mathbb{R}$ ,  $T_0(u) = \int_{\Omega} \frac{u}{|x|^N (\log \frac{1}{|x|})^{2\alpha}} dx$  is a continuous linear functional, so we obtain (28).

Next, we claim that

$$(29) \quad \int_{\Omega} \frac{u^2}{|x|^N (\log \frac{1}{|x|})^{2\alpha}} dx = 1.$$

If (29) is the case, then with (28) we easily see that  $u$  is a minimizer of  $N_{\alpha, \beta}(\Omega)$  in  $\mathcal{A}_N$ . In the following, we will prove (29). By weak lower semi-continuity, we know that  $\int_{\Omega} \frac{u^2}{|x|^N (\log \frac{1}{|x|})^{2\alpha}} dx \leq 1$ . Suppose the contrary that  $\int_{\Omega} \frac{u^2}{|x|^N (\log \frac{1}{|x|})^{2\alpha}} dx < 1$ . then we find that for any  $\delta > 0$ , there exists  $N = N(\delta) > 0$  such that (27) holds for  $n > N$ . Let  $s = s_1 > s_2 > s_3 > 1$  and recall a truncation function  $g_{\delta, s}$  in (14) and  $\phi_{\delta}$  in (23). Define

$$(30) \quad v_n = u_n g_{\delta, s} - C_n \phi_{\delta}$$

where  $C_n \in \mathbb{R}$  is chosen so that  $T_0(v_n) = 0$ , that is,

$$(31) \quad C_n = \frac{\int_{B_{\delta}} \frac{u_n g_{\delta, s}}{|x|^N (\log \frac{1}{|x|})^{2\alpha}} dx}{\int_{B_{\delta}} \frac{\phi_{\delta}}{|x|^N (\log \frac{1}{|x|})^{2\alpha}} dx}.$$

We estimate the numerator of  $C_n$  from the above. When  $\alpha > 1/2$ , then

$$\begin{aligned}
\int_{B_\delta} \frac{u_n g_{\delta,s}}{|x|^N (\log \frac{1}{|x|})^{2\alpha}} dx &\leq \left( \int_{B_\delta} \frac{u_n^2}{|x|^N (\log \frac{1}{|x|})^{2\alpha}} dx \right)^{1/2} \left( \int_{B_\delta} \frac{g_{\delta,s}^2}{|x|^N (\log \frac{1}{|x|})^{2\alpha}} dx \right)^{1/2} \\
&\leq C \left( \int_{B_\delta} \frac{u_n^2}{|x|^N (\log \frac{1}{|x|})^{2\alpha}} dx \right)^{1/2} \left( \int_0^\delta \frac{dr}{r (\log \frac{1}{r})^{2\alpha}} \right)^{1/2} \\
(32) \quad &\leq C \left( \int_{B_\delta} \frac{u_n^2}{|x|^N (\log \frac{1}{|x|})^{2\alpha}} dx \right)^{1/2} \left( \log \frac{1}{\delta} \right)^{\frac{1}{2}-\alpha} \leq C \|u_n\|_{\alpha,\beta} \left( \log \frac{1}{\delta} \right)^{\frac{1}{2}-\alpha}.
\end{aligned}$$

When  $\alpha \leq 1/2$ , then we can exploit Proposition 6 since now  $2\alpha + \beta > 3/2$ .

$$(33) \quad \int_{B_\delta} \frac{|u_n|}{|x|^N (\log \frac{1}{|x|})^{2\alpha}} dx \leq C_{\alpha,\beta} \|u_n\|_{\alpha,\beta} \left( \log \frac{1}{\delta} \right)^{\frac{3}{2}-2\alpha-\beta}.$$

Next, we estimate the denominator of  $C_n$  from the below. When  $\alpha \neq 1/2$ , we have

$$\begin{aligned}
\int_{\Omega} \frac{\phi_\delta}{|x|^N (\log \frac{1}{|x|})^{2\alpha}} dx &\geq \int_{B_{\delta^{s_3}} \setminus B_{\delta^{s_2}}} \frac{dx}{|x|^N (\log \frac{1}{|x|})^{2\alpha}} \\
&= |\mathbb{S}^{N-1}| \int_{\delta^{s_2}}^{\delta^{s_3}} \frac{dr}{r (\log \frac{1}{r})^{2\alpha}} \\
(34) \quad &= \frac{|\mathbb{S}^{N-1}|}{2\alpha-1} (s_3^{1-2\alpha} - s_2^{1-2\alpha}) \left( \log \frac{1}{\delta} \right)^{1-2\alpha}.
\end{aligned}$$

Similarly, when  $\alpha = 1/2$ , we see

$$\begin{aligned}
\int_{\Omega} \frac{\phi_\delta}{|x|^N (\log \frac{1}{|x|})} dx &\geq \int_{B_{\delta^{s_3}} \setminus B_{\delta^{s_2}}} \frac{dx}{|x|^N (\log \frac{1}{|x|})} = 2\pi \int_{\delta^{s_2}}^{\delta^{s_3}} \frac{dr}{r (\log \frac{1}{r})} \\
&= |\mathbb{S}^{N-1}| (\log \log \frac{1}{\delta^{s_3}} - \log \log \frac{1}{\delta^{s_2}}) \\
(35) \quad &= |\mathbb{S}^{N-1}| (\log s_3 - \log s_2).
\end{aligned}$$

By (32), (33), (34), (35), we have

$$(36) \quad C_n \leq \begin{cases} C(\delta) \left( \log \frac{1}{\delta} \right)^{\alpha-\frac{1}{2}} & (\alpha > 1/2), \\ C \left( \log \frac{1}{\delta} \right)^{\frac{1}{2}-\beta} & (\alpha \leq 1/2 \text{ and } 2\alpha + \beta > 3/2), \end{cases}$$

since  $1/2 - \alpha - (1 - 2\alpha) = \alpha - 1/2$  and  $3/2 - 2\alpha - \beta - (1 - 2\alpha) = 1/2 - \beta$ , where

$$C(\delta) = C \left( \int_{B_\delta} \frac{u_n^2}{|x|^N (\log \frac{1}{|x|})^{2\alpha}} dx \right)^{1/2} \rightarrow 0$$

as  $\delta \rightarrow 0$  for fixed  $n$ .

Next we will estimate the critical Hardy quotient  $Q(v_n, B_\delta)$  for  $v_n$  defined in (30) by using (36). By the elementary inequality  $(a-b)^2 \geq \frac{1}{2}a^2 - b^2$ , the denominator

of  $Q(v_n, B_\delta)$  can be estimated from below:

$$\begin{aligned}
\int_{B_\delta} \frac{v_n^2}{|x|^N (\log \frac{1}{|x|})^{2\alpha}} dx &= \int_{B_\delta} \frac{(u_n g_{\delta,s} - C_n \phi_\delta)^2}{|x|^N (\log \frac{1}{|x|})^{2\alpha}} dx \\
&\geq \frac{1}{2} \int_{B_\delta} \frac{u_n^2 g_{\delta,s}^2}{|x|^N (\log \frac{1}{|x|})^{2\alpha}} dx - C_n^2 \int_{B_\delta} \frac{\phi_\delta^2}{|x|^N (\log \frac{1}{|x|})^{2\alpha}} dx \\
&\geq \frac{1}{2} \int_{B_{\delta^s}} \frac{u_n^2}{|x|^N (\log \frac{1}{|x|})^{2\alpha}} dx - C_n^2 \int_{B_\delta} \frac{\phi_\delta^2}{|x|^N (\log \frac{1}{|x|})^{2\alpha}} dx \\
(37) \quad &\geq \frac{1}{2} \left( 1 - \int_{\Omega} \frac{u^2}{|x|^N (\log \frac{1}{|x|})^{2\alpha}} dx \right) - C_n^2 \int_{B_\delta} \frac{\phi_\delta^2}{|x|^N (\log \frac{1}{|x|})^{2\alpha}} dx.
\end{aligned}$$

Now the first term of the right-hand side of (37) is positive by (27) and the contradiction assumption. Concerning the second term of (37), we have

$$\begin{aligned}
\int_{B_\delta} \frac{\phi_\delta^2}{|x|^N (\log \frac{1}{|x|})^{2\alpha}} dx &\leq \int_{B_\delta \setminus B_{\delta^{s_1}}} \frac{dx}{|x|^N (\log \frac{1}{|x|})^{2\alpha}} \\
&= |\mathbb{S}^{N-1}| \int_{\delta^{s_1}}^{\delta} \frac{dr}{r (\log \frac{1}{r})^{2\alpha}} \\
&= \frac{|\mathbb{S}^{N-1}|}{2\alpha - 1} (1 - s_1^{1-2\alpha}) (\log \frac{1}{\delta})^{1-2\alpha}, \quad \text{if } \alpha \neq 1/2, \\
\int_{B_\delta} \frac{\phi_\delta^2}{|x|^N (\log \frac{1}{|x|})^{2\alpha}} dx &\leq \int_{B_\delta \setminus B_{\delta^{s_1}}} \frac{dx}{|x|^N (\log \frac{1}{|x|})^{2\alpha}} = |\mathbb{S}^{N-1}| \int_{\delta^{s_1}}^{\delta} \frac{dr}{r (\log \frac{1}{r})^{2\alpha}} \\
&= |\mathbb{S}^{N-1}| \log s_1, \quad \text{if } \alpha = 1/2.
\end{aligned}$$

Together with the estimate of  $C_n$  in (36), we obtain

$$(38) \quad \int_{B_\delta} \frac{v_n^2}{|x|^N (\log \frac{1}{|x|})^{2\alpha}} dx \geq \begin{cases} C + C(\delta) & (\alpha > 1/2) \\ C + C(\log \frac{1}{\delta})^{2(1-\alpha-\beta)} & (\alpha \leq 1/2 \text{ and } 2\alpha + \beta > 3/2) \end{cases}$$

where  $C > 0$  is independent of  $\delta \in (0, \delta_0)$ .

On the other hand,

$$\begin{aligned}
&\int_{B_\delta} |\nabla v_n|^2 |x|^{2-N} (\log \frac{1}{|x|})^{2\beta} dx \\
&\leq 2 \int_{B_\delta} |\nabla u_n|^2 g_{\delta,s}^2 |x|^{2-N} (\log \frac{1}{|x|})^{2\beta} dx + 2 \int_{B_\delta} |\nabla g_{\delta,s}|^2 u_n^2 |x|^{2-N} (\log \frac{1}{|x|})^{2\beta} dx \\
&\quad + 2C_n^2 \int_{B_\delta} |\nabla \phi_\delta|^2 |x|^{2-N} (\log \frac{1}{|x|})^{2\beta} dx \\
&= (I) + (II) + (III).
\end{aligned}$$

Now,

$$\begin{aligned}
(I) &= 2 \int_{B_\delta} |\nabla u_n|^2 g_{\delta,s}^2 |x|^{2-N} \left(\log \frac{1}{|x|}\right)^{2\beta} dx \leq 2 \int_{B_\delta} |\nabla u_n|^2 |x|^{2-N} \left(\log \frac{1}{|x|}\right)^{2\beta} dx \leq 2 \|u_n\|_{\alpha,\beta}^2, \\
(II) &= 2 \int_{B_\delta} |\nabla g_{\delta,s}|^2 u_n^2 |x|^{2-N} \left(\log \frac{1}{|x|}\right)^{2\beta} dx \leq \frac{2}{(\log s)^2} \int_{B_\delta \setminus B_{\delta s}} \frac{u_n^2}{|x|^2 \left(\log \frac{1}{|x|}\right)^2} |x|^{2-N} \left(\log \frac{1}{|x|}\right)^{2\beta} dx \\
&\leq C \left(\log \frac{1}{\delta s}\right)^{2(\alpha+\beta-1)} \int_{B_\delta \setminus B_{\delta s}} \frac{u_n^2}{|x|^N \left(\log \frac{1}{|x|}\right)^{2\alpha}} dx
\end{aligned}$$

by (17) and  $\alpha + \beta - 1 > 0$ . Also we see when  $\alpha > 1/2$  and  $\beta \neq 1/2$ ,

$$\begin{aligned}
(III) &= 2C_n^2 \int_{B_\delta} |\nabla \phi_\delta|^2 |x|^{2-N} \left(\log \frac{1}{|x|}\right)^{2\beta} dx \stackrel{(36)}{\leq} 2C(\delta)^2 \left(\log \frac{1}{\delta}\right)^{2\alpha-1} \underbrace{\int_{B_\delta} |\nabla \phi_\delta|^2 |x|^{2-N} \left(\log \frac{1}{|x|}\right)^{2\beta} dx}_{\leq C(\log \frac{1}{\delta})^{2\beta-1}} \\
&\leq 2C(\delta)^2 \left(\log \frac{1}{\delta}\right)^{2(\alpha+\beta-1)}
\end{aligned}$$

by (25) and (36). When  $\alpha \leq 1/2$  and  $2\alpha + \beta > 3/2$ , we have  $\beta \neq 1/2$  and

$$(III) = 2C_n^2 \int_{B_\delta} |\nabla \phi_\delta|^2 |x|^{2-N} \left(\log \frac{1}{|x|}\right)^{2\beta} dx \stackrel{(36),(25)}{\leq} C \left(\log \frac{1}{\delta}\right)^{1-2\beta} \left(\log \frac{1}{\delta}\right)^{2\beta-1} = C.$$

By these estimates, we obtain

$$(39) \quad \int_{B_\delta} |\nabla v_n|^2 |x|^{2-N} \left(\log \frac{1}{|x|}\right)^{2\beta} dx \leq \begin{cases} C \|u_n\|_{\alpha,\beta}^2 + C(\delta) \left(\log \frac{1}{\delta}\right)^{2(\alpha+\beta-1)} & (\alpha > 1/2) \\ C \|u_n\|_{\alpha,\beta}^2 + C & (\alpha \leq 1/2 \text{ and } 2\alpha + \beta > 3/2) \end{cases}$$

Now, we introduce the scaled functions  $(v_n)_\lambda$  as in (7):

$$(v_n)_\lambda(y) = v_n(x) \quad x \in B_\delta, \quad y = |x|^\lambda \frac{x}{|x|} \in (B_\delta)_\lambda = B_{\delta^\lambda}.$$

We take  $\lambda \in (0, 1)$  so that  $\delta^\lambda = \delta_0$  as in the proof of Theorem 2. Then  $\lambda = \frac{\log \frac{1}{\delta_0}}{\log \frac{1}{\delta}} \rightarrow 0$  as  $\delta \rightarrow 0$ . We test  $N_{\alpha,\beta}(B_{\delta_0})$  by  $(v_n)_\lambda$  to obtain  $N_{\alpha,\beta}(B_{\delta_0}) = 0$ ; which yields a contradiction to the fact that  $N_{\alpha,\beta} > 0$  if  $\alpha + \beta > 1$  and  $2\alpha + \beta > 3/2$ . This is done by the scaling property (9) for  $\lambda \in (0, 1)$  and the estimates (38) and (39): Recall that we assume  $\alpha + \beta > 1$ . Thus when  $\alpha > 1/2$ , we see

$$\begin{aligned}
N_{\alpha,\beta}(B_{\delta_0}) &\leq Q((v_n)_\lambda, B_{\delta_0}) = Q((v_n)_\lambda, (B_\delta)_\lambda) \leq \lambda^{2(\alpha+\beta-1)} Q(v_n, B_\delta) \\
&= \lambda^{2(\alpha+\beta-1)} \frac{C \|u_n\|_{\alpha,\beta}^2 + C(\delta) \left(\log \frac{1}{\delta}\right)^{2(\alpha+\beta-1)}}{C + C(\delta)} \\
&\leq C \frac{\lambda^{2(\alpha+\beta-1)} \sup_{n \in \mathbb{N}} \|u_n\|_{\alpha,\beta}^2 + C(\delta)}{C + C(\delta)} = o_\delta(1)
\end{aligned}$$

as  $\delta \rightarrow 0$ . When  $\alpha \leq 1/2$  and  $2\alpha + \beta > 3/2$ , we see

$$\begin{aligned} N_{\alpha,\beta}(B_{\delta_0}) &\leq Q((v_n)_\lambda, B_{\delta_0}) = Q((v_n)_\lambda, (B_\delta)_\lambda) \leq \lambda^{2(\alpha+\beta-1)} Q(v_n, B_\delta) \\ &= \lambda^{2(\alpha+\beta-1)} \frac{C \|u_n\|_{\alpha,\beta}^2 + C}{C + C(\log \frac{1}{\delta})^{2(1-\alpha-\beta)}} \\ &\leq \frac{C \lambda^{2(\alpha+\beta-1)} (\sup_{n \in \mathbb{N}} \|u_n\|_{\alpha,\beta}^2 + 1)}{C + o_\delta(1)} = o_\delta(1) \end{aligned}$$

as  $\delta \rightarrow 0$ . This is a desired contradiction and we obtain (29). This completes the proof.  $\square$

## 5. PROOF OF THEOREM 4.

We will prove here that if  $\alpha + \beta \geq 1$  and  $2\alpha + \beta \leq \frac{3}{2}$ , then  $N_{\alpha,\beta}(\Omega)$  is not achieved. For this purpose, we introduce a new minimization problem as in [5].

(40)

$$\bar{N}_{\alpha,\beta}(\Omega) := \inf \left\{ \int_{\Omega} |\nabla u|^2 |x|^{2-N} \left( \log \frac{1}{|x|} \right)^{2\beta} dx : u \in \mathcal{H}_{\alpha,\beta}^{1,2}(\Omega) : \int_{\Omega} \frac{|u|^2}{|x|^N \left( \log \frac{1}{|x|} \right)^{2\alpha}} dx = 1 \right\}.$$

Since  $\mathcal{H}_{0,\alpha,\beta}^{1,2}(\Omega) \subset \mathcal{H}_{\alpha,\beta}^{1,2}(\Omega)$ , we have  $\bar{N}_{\alpha,\beta}(\Omega) \leq H_{\alpha,\beta}(\Omega)$ . Also it is trivial that  $\bar{N}_{\alpha,\beta}(\Omega) \leq N_{\alpha,\beta}(\Omega)$ . First, we need several properties for  $\bar{N}_{\alpha,\beta}(\Omega)$ .

**Proposition 11.** *If  $\alpha \leq 1/2$  and  $H_{\alpha,\beta}(\Omega) > 0$ , then  $\bar{N}_{\alpha,\beta}(\Omega) > 0$ .*

*Proof.* Assume the contrary that there exists  $\alpha \leq 1/2$  and  $\beta \in \mathbb{R}$  such that  $H_{\alpha,\beta}(\Omega) > 0$  and  $\bar{N}_{\alpha,\beta}(\Omega) = 0$ . Let  $\{u_n\} \subset \mathcal{H}_{\alpha,\beta}^{1,2}(\Omega)$  denote a minimizing sequence for  $\bar{N}_{\alpha,\beta}(\Omega) = 0$ . Then we see

$$\int_{\Omega} \frac{u_n^2}{|x|^N \left( \log \frac{1}{|x|} \right)^{2\alpha}} dx = 1, \quad \text{and} \quad \int_{\Omega} |\nabla u_n|^2 |x|^{2-N} \left( \log \frac{1}{|x|} \right)^{2\beta} dx = o_n(1).$$

Then up to a subsequence, there is  $u \in \mathcal{H}_{\alpha,\beta}^{1,2}(\Omega)$  such that

$$u_n \rightharpoonup u \quad \text{weakly in } \mathcal{H}_{\alpha,\beta}^{1,2}(\Omega).$$

Then we have

$$\int_{\Omega} |\nabla u_n|^2 |x|^{2-N} \left( \log \frac{1}{|x|} \right)^{2\beta} dx = \int_{\Omega} |\nabla(u_n - u)|^2 |x|^{2-N} \left( \log \frac{1}{|x|} \right)^{2\beta} dx + \int_{\Omega} |\nabla u|^2 |x|^{2-N} \left( \log \frac{1}{|x|} \right)^{2\beta} dx + o(1)$$

which implies  $\int_{\Omega} |\nabla u|^2 |x|^{2-N} \left( \log \frac{1}{|x|} \right)^{2\beta} dx = 0$  and  $u$  is a constant. Since no nonzero constant function belongs to  $\mathcal{H}_{\alpha,\beta}^{1,2}(\Omega)$  when  $\alpha \leq 1/2$ , we have  $u \equiv 0$  in this case.

Define  $v_n = g_{\delta,s} u_n \in \mathcal{H}_{0,\alpha,\beta}^{1,2}(B_\delta)$  where  $g_{\delta,s}$  is defined in (14) for some  $s > 1$  and  $\delta \in (0, 1)$ , and we consider  $v_n$  as an element of  $\mathcal{H}_{0,\alpha,\beta}^{1,2}(\Omega)$  by zero extension. As in the estimate (18), we have

$$\begin{aligned} \int_{\Omega} |\nabla v_n|^2 |x|^{2-N} \left( \log \frac{1}{|x|} \right)^{2\beta} dx &\leq C \underbrace{\int_{\Omega} |\nabla u_n|^2 |x|^{2-N} \left( \log \frac{1}{|x|} \right)^{2\beta} dx}_{=\bar{N}_{\alpha,\beta}(\Omega) + o_n(1) = o_n(1)} \\ &\quad + C \left( \log \frac{1}{\delta} \right)^{2(\alpha+\beta-1)} \int_{\Omega \setminus B_{\delta s}} \frac{|u_n|^2}{|x|^N \left( \log \frac{1}{|x|} \right)^{2\alpha}} dx. \end{aligned}$$

Also since  $u_n \rightarrow u \equiv 0$  strongly in  $L^2(\Omega \setminus B_{\delta^s}, \frac{1}{|x|^{2\alpha}(\log \frac{1}{|x|})^{2\alpha}})$  for any  $\delta \in (0, 1)$  fixed, we see

$$\begin{aligned} \int_{\Omega} \frac{v_n^2}{|x|^{2\alpha}(\log \frac{1}{|x|})^{2\alpha}} dx &\geq \int_{B_{\delta^s}} \frac{u_n^2}{|x|^{2\alpha}(\log \frac{1}{|x|})^{2\alpha}} dx = \left( \int_{\Omega} - \int_{\Omega \setminus B_{\delta^s}} \right) \\ &= 1 + o_n(1) > 1/2. \end{aligned}$$

Therefore, we test  $H_{\alpha,\beta}(\Omega)$  by  $v_n \in \mathcal{H}_{0,\alpha,\beta}^{1,2}(\Omega)$  to obtain

$$\begin{aligned} H_{\alpha,\beta}(\Omega) \leq Q(v_n, \Omega) &= \frac{\int_{\Omega} |\nabla v_n|^2 |x|^{2-N} (\log \frac{1}{|x|})^{2\beta} dx}{\int_{\Omega} \frac{v_n^2}{|x|^{2\alpha}(\log \frac{1}{|x|})^{2\alpha}} dx} \\ &= \frac{C \int_{\Omega} |\nabla u_n|^2 |x|^{2-N} (\log \frac{1}{|x|})^{2\beta} dx + C (\log \frac{1}{\delta})^{2(\alpha+\beta-1)} \int_{\Omega \setminus B_{\delta^s}} \frac{|u_n|^2}{|x|^{2\alpha}(\log \frac{1}{|x|})^{2\alpha}} dx}{1/2} \\ &\rightarrow 0 \end{aligned}$$

as  $n \rightarrow \infty$ . Thus  $H_{\alpha,\beta}(\Omega) = 0$ , which contradicts the assumption  $H_{\alpha,\beta}(\Omega) > 0$ .  $\square$

**Proposition 12.** *If  $N_{\alpha,\beta}(\Omega) = \bar{N}_{\alpha,\beta}(\Omega)$ , then  $N_{\alpha,\beta}(\Omega)$  is not achieved.*

*Proof.* Assume the contrary that there exists  $(\alpha, \beta) \in \mathbb{R} \times \mathbb{R}$  such that  $N_{\alpha,\beta}(\Omega) = \bar{N}_{\alpha,\beta}(\Omega)$  and  $N_{\alpha,\beta}(\Omega)$  is achieved. Then the minimizer  $u \in \mathcal{H}_{\alpha,\beta}^{1,2}(\Omega)$  of  $N_{\alpha,\beta}(\Omega) = \bar{N}_{\alpha,\beta}(\Omega)$  must be a weak solution of the Euler-Lagrange equation

$$-\operatorname{div} \left( |x|^{2-N} \left( \log \frac{1}{|x|} \right)^{2\beta} \nabla u \right) = \bar{N}_{\alpha,\beta}(\Omega) \frac{u}{|x|^{2\alpha}(\log \frac{1}{|x|})^{2\alpha}} \quad \text{in } \Omega.$$

Note that  $|u|$  satisfies  $|u| \in \mathcal{H}_{\alpha,\beta}^{1,2}(\Omega)$  and  $\int_{\Omega} \frac{|u|^2}{|x|^{2\alpha}(\log \frac{1}{|x|})^{2\alpha}} dx = 1$ , thus  $|u|$  is a minimizer of  $\bar{N}_{\alpha,\beta}(\Omega)$  and solves weakly the above Euler-Lagrange equation. Since the weight functions are locally smooth on  $\Omega \setminus \{0\}$ , standard regularity results imply that  $|u| \in C^1(\Omega \setminus \{0\})$ . Then the strong maximum principle assures that  $|u| > 0$  on  $\Omega \setminus \{0\}$ , which leads to that  $u$  does not change its sign on  $\Omega \setminus \{0\}$ . However, this is a contradiction to the fact that  $\int_{\Omega} \frac{u}{|x|^{2\alpha}(\log \frac{1}{|x|})^{2\alpha}} dx = 0$ .  $\square$

Now we are going to prove Theorem 4.

#### Proof of Theorem 4.

*Proof.* By Proposition 12, it is enough to prove that  $N_{\alpha,\beta}(\Omega) = \bar{N}_{\alpha,\beta}(\Omega)$  when  $\alpha + \beta \geq 1$  and  $2\alpha + \beta \leq \frac{3}{2}$ . As noticed before, since  $\bar{N}_{\alpha,\beta}(\Omega) \leq N_{\alpha,\beta}(\Omega)$  is trivial, we just need to prove that  $N_{\alpha,\beta}(\Omega) \leq \bar{N}_{\alpha,\beta}(\Omega)$ .

For this purpose, let  $\{u_n\} \subset \mathcal{H}_{\alpha,\beta}^{1,2}(\Omega)$  be a minimizing sequence of  $\bar{N}_{\alpha,\beta}(\Omega) = 0$  such that

$$\int_{\Omega} \frac{u_n^2}{|x|^{2\alpha}(\log \frac{1}{|x|})^{2\alpha}} dx = 1, \quad \int_{\Omega} |\nabla u_n|^2 |x|^{2-N} (\log \frac{1}{|x|})^{2\beta} dx = \bar{N}_{\alpha,\beta}(\Omega) + o(1).$$

In the following, we will construct a sequence of functions  $\{v_n\} \subset \mathcal{H}_{\alpha,\beta}^{1,2}(\Omega)$  such that

$$\|u_n - v_n\|_{\alpha,\beta} \rightarrow 0 \quad (n \rightarrow \infty) \quad \text{and} \quad \int_{\Omega} \frac{v_n}{|x|^N (\log \frac{1}{|x|})^{2\alpha}} dx = 0.$$

If this will be done, then we see

$$\begin{aligned} N_{\alpha,\beta}(\Omega) \leq Q(v_n, \Omega) &= \frac{\int_{\Omega} |\nabla v_n|^2 |x|^{2-N} (\log \frac{1}{|x|})^{2\beta} dx}{\int_{\Omega} \frac{v_n^2}{|x|^N (\log \frac{1}{|x|})^{2\alpha}} dx} = \frac{\int_{\Omega} |\nabla u_n|^2 |x|^{2-N} (\log \frac{1}{|x|})^{2\beta} dx + o_n(1)}{\int_{\Omega} \frac{u_n^2}{|x|^N (\log \frac{1}{|x|})^{2\alpha}} dx + o_n(1)} \\ &= \bar{N}_{\alpha,\beta}(\Omega) + o_n(1), \end{aligned}$$

which leads to the desired inequality  $N_{\alpha,\beta}(\Omega) \leq \bar{N}_{\alpha,\beta}(\Omega)$ . Take  $\delta \in (0, 1)$  sufficiently small and  $s > 1$  and put  $h_{\delta}(x) = 1 - g_{\delta,s}(x)$  where  $g_{\delta,s}$  is a cut-off function in (14). Define

$$u_{n,\delta}(x) = u_n(x)h_{\delta}(x).$$

Note that  $u_{n,\delta} = u_n$  on  $(B_{\delta})^c$ , thus

$$\begin{aligned} \int_{\Omega} \frac{|u_n - u_{n,\delta}|^2}{|x|^N (\log \frac{1}{|x|})^{2\alpha}} dx &= \int_{B_{\delta}} \frac{|u_n - u_{n,\delta}|^2}{|x|^N (\log \frac{1}{|x|})^{2\alpha}} dx = \int_{B_{\delta}} \frac{(1 - h_{\delta})^2 |u_n|^2}{|x|^N (\log \frac{1}{|x|})^{2\alpha}} dx \\ &\leq \int_{B_{\delta}} \frac{|u_n|^2}{|x|^N (\log \frac{1}{|x|})^{2\alpha}} dx = o_{\delta}(1) \end{aligned}$$

as  $\delta \rightarrow 0$  for fixed  $n$ , and by (17),

$$\begin{aligned} &\int_{\Omega} |\nabla(u_n - u_{n,\delta})|^2 |x|^{2-N} (\log \frac{1}{|x|})^{2\beta} dx \\ &\leq 2 \int_{B_{\delta}} |\nabla u_n|^2 |x|^{2-N} (\log \frac{1}{|x|})^{2\beta} dx + 2 \int_{B_{\delta}} |u_n|^2 |\nabla g_{\delta,s}|^2 |x|^{2-N} (\log \frac{1}{|x|})^{2\beta} dx \\ &\leq 2 \int_{B_{\delta}} |\nabla u_n|^2 |x|^{2-N} (\log \frac{1}{|x|})^{2\beta} dx + C \int_{B_{\delta} \setminus B_{\delta^s}} \frac{|u_n|^2}{|x|^N (\log \frac{1}{|x|})^2} (\log \frac{1}{|x|})^{2\beta} dx \\ &= o_{\delta}(1) + C \int_{B_{\delta} \setminus B_{\delta^s}} \frac{|u_n|^2}{|x|^N (\log \frac{1}{|x|})^2} (\log \frac{1}{|x|})^{2\beta} dx. \end{aligned}$$

Now, the current assumption  $\begin{cases} \alpha + \beta \geq 1 \\ 2\alpha + \beta \leq 3/2 \end{cases}$  implies  $\alpha \leq 1/2$ , so  $u_n$  satisfying

$\int_{\Omega} \frac{|u_n|}{|x|^N (\log \frac{1}{|x|})^{2\alpha}} dx = 1$  must be  $u_n(0) = 0$ . Also we may assume  $\beta > 1/2$ , since if  $\beta = 1/2$  then  $\alpha = 1/2$  under the current assumption and the non-attainability of

$N_{1/2,1/2}(\Omega) = 0$  is easily checked. Thus by (21) in the proof of Lemma 1, we see

$$\begin{aligned}
& \int_{B_\delta \setminus B_{\delta^s}} \frac{|u_n|^2}{|x|^N (\log \frac{1}{|x|})^2} (\log \frac{1}{|x|})^{2\beta} dx \\
& \leq \int_{\mathbb{S}^{N-1}} \int_{\delta^s}^\delta \frac{(\log \frac{1}{r})^{2\beta}}{r^N (\log \frac{1}{r})^2} \left( \int_0^r \left| \frac{\partial u_n}{\partial r} \right|^2 t^{2-N} (\log \frac{1}{t})^{2\beta} t^{N-1} dt \right) \left( \underbrace{\int_0^r \frac{dt}{t (\log \frac{1}{t})^{2\beta}}}_{= \frac{1}{2\beta-1} (\log \frac{1}{r})^{1-2\beta}} \right) r^{N-1} dr dS_\omega \\
& \leq \int_{\mathbb{S}^{N-1}} \int_{\delta^s}^\delta \left( \frac{1}{2\beta-1} \right) \frac{1}{r \log \frac{1}{r}} \left( \int_0^r \left| \frac{\partial u_n}{\partial r} \right|^2 t^{2-N} (\log \frac{1}{t})^{2\beta} t^{N-1} dt \right) dr dS_\omega \\
& \leq \left( \frac{1}{2\beta-1} \right) \left( \int_{B_\delta} |\nabla u_n|^2 |x|^{2-N} (\log \frac{1}{|x|})^{2\beta} dx \right) \underbrace{\int_{\delta^s}^\delta \frac{dr}{r \log \frac{1}{r}}}_{=\log s} \\
& \leq C \int_{B_\delta} |\nabla u_n|^2 |x|^{2-N} (\log \frac{1}{|x|})^{2\beta} dx = o_\delta(1)
\end{aligned}$$

as  $\delta \rightarrow 0$  for fixed  $n$ . Thus we have seen that  $\|u_n - u_{n,\delta}\|_{\alpha,\beta} = o_\delta(1)$  as  $\delta \rightarrow 0$  for fixed  $n$ . From this, we see there exists  $\{\delta_n\} \subset \mathbb{R}_+$  such that  $\delta_n \rightarrow 0$  and

$$\|u_n - u_{n,\delta_n}\|_{\alpha,\beta} \rightarrow 0$$

as  $n \rightarrow \infty$ .

Now, we divide the proof in two cases.

**Case1:**  $2\alpha + \beta < 3/2$ .

In this case, together with another assumption  $\alpha + \beta \geq 1$ , we automatically have  $\alpha < 1/2$ , so we can exploit Proposition 7. We construct desired functions  $v_n$  of the form

$$v_n(x) = u_{n,\delta_n}(x) - C_n \psi_{\varepsilon_n}(x)$$

where  $\psi_{\varepsilon_n}$  is a function in Proposition 7 with  $\delta = \varepsilon_n$  and

$$C_n = \frac{\int_\Omega \frac{u_{n,\delta_n}}{|x|^N (\log \frac{1}{|x|})^{2\alpha}} dx}{\int_\Omega \frac{\psi_{\varepsilon_n}}{|x|^N (\log \frac{1}{|x|})^{2\alpha}} dx},$$

so that  $\int_\Omega \frac{v_n}{|x|^N (\log \frac{1}{|x|})^{2\alpha}} dx = 0$ . Here  $\varepsilon_n > 0$  is chosen so that

$$|C_n| = \left| \frac{\int_\Omega \frac{u_{n,\delta_n}}{|x|^N (\log \frac{1}{|x|})^{2\alpha}} dx}{\int_\Omega \frac{\psi_{\varepsilon_n}}{|x|^N (\log \frac{1}{|x|})^{2\alpha}} dx} \right| \leq 1 \quad \text{and} \quad \varepsilon_n \rightarrow 0,$$

as  $n \rightarrow \infty$ . We know by Proposition 7 that

$$T_0(\psi_{\varepsilon_n}) = \int_\Omega \frac{\psi_{\varepsilon_n}}{|x|^N (\log \frac{1}{|x|})^{2\alpha}} dx \rightarrow +\infty \quad (n \rightarrow \infty),$$

so we can choose such  $\varepsilon_n > 0$ . Also by Proposition 7, we see  $\|\psi_{\varepsilon_n}\|_{\alpha,\beta} = o_n(1)$ , thus

$$\|v_n - u_n\|_{\alpha,\beta} \leq \underbrace{\|u_{n,\delta_n} - u_n\|_{\alpha,\beta}}_{=o_n(1)} + \underbrace{|C_n|}_{\leq 1} \underbrace{\|\psi_{\varepsilon_n}\|_{\alpha,\beta}}_{=o_n(1)} \rightarrow 0$$

as  $n \rightarrow \infty$ .

**Case2:**  $2\alpha + \beta = 3/2$ .

In this case, we construct desired functions  $v_n$  of the form

$$v_n(x) = u_{n,\delta_n}(x) - C_n \left(\log \frac{1}{|x|}\right)^{A_n}$$

where

$$A_n = 2\alpha - 1 - \delta_n$$

for  $\delta_n > 0$  small and

$$C_n = \frac{\int_{\Omega} \frac{u_{n,\delta_n}}{|x|^N (\log \frac{1}{|x|})^{2\alpha}} dx}{\int_{\Omega} \frac{(\log \frac{1}{|x|})^{A_n}}{|x|^N (\log \frac{1}{|x|})^{2\alpha}} dx},$$

Note that the denominator of  $C_n$  is estimated from the below as

$$\int_{\Omega} \frac{(\log \frac{1}{|x|})^{A_n}}{|x|^N (\log \frac{1}{|x|})^{2\alpha}} dx \geq 2\pi \int_0^{\delta_n} \frac{dr}{r (\log \frac{1}{r})^{1+\delta_n}} = 2\pi \left(\frac{1}{\delta_n}\right) \left(\log \frac{1}{\delta_n}\right)^{-\delta_n},$$

since  $2\alpha - A_n = 1 + \delta_n > 1$ . Also the numerator of  $C_n$  is estimated from the above by using (22) in the proof of Lemma 1:

$$\begin{aligned} \int_{\Omega} \frac{u_{n,\delta_n}}{|x|^N (\log \frac{1}{|x|})^{2\alpha}} dx &\leq \int_{\Omega \setminus B_{\delta_n}} \frac{|u_n|}{|x|^N (\log \frac{1}{|x|})^{2\alpha}} dx \\ &\stackrel{(22)}{\leq} \int_{\Omega \setminus B_{\delta_n}} \frac{C \|u_n\|_{\alpha,\beta} (\log \frac{1}{|x|})^{1/2-\beta}}{|x|^N (\log \frac{1}{|x|})^{2\alpha}} dx \\ &\leq C \|u_n\|_{\alpha,\beta} \int_{\delta_n^s}^{R_{\Omega}} \frac{dr}{r (\log \frac{1}{r})^{2\alpha+\beta-\frac{1}{2}}} \\ &\leq C \sup_{n \in \mathbb{N}} \|u_n\|_{\alpha,\beta} \left[ -\log \log \frac{1}{r} \right]_{\delta_n^s}^{R_{\Omega}} \\ &\leq C \left| \log \log \frac{1}{\delta_n} \right|. \end{aligned}$$

Thus we have the estimate

$$(41) \quad |C_n| \leq C \frac{|\log \log \frac{1}{\delta_n}|}{\left(\frac{1}{\delta_n}\right) \left(\log \frac{1}{\delta_n}\right)^{-\delta_n}} = C \delta_n \left(\log \log \frac{1}{\delta_n}\right).$$

Now, the assumption implies  $\alpha \leq 1/2$  and in this case we have

$$\begin{aligned} \int_{\Omega} \frac{\left(\log \frac{1}{|x|}\right)^{2A_n}}{|x|^N \left(\log \frac{1}{|x|}\right)^{2\alpha}} dx &\leq |\mathbb{S}^{N-1}| \int_0^{R_\Omega} \frac{\left(\log \frac{1}{r}\right)^{2A_n-2\alpha}}{r} dr \\ &= \left(\frac{1}{2\delta_n + 1 - 2\alpha}\right) \left(\log \frac{1}{R_\Omega}\right)^{2\alpha-1-2\delta_n} \leq \frac{C}{\delta_n}. \end{aligned}$$

Also we see

$$\begin{aligned} \int_{\Omega} \left| \nabla \left( \left( \log \frac{1}{|x|} \right)^{A_n} \right) \right|^2 |x|^{2-N} \left( \log \frac{1}{|x|} \right)^{2\beta} dx &= A_n^2 \int_{\Omega} \frac{\left(\log \frac{1}{|x|}\right)^{2(A_n-1)+2\beta}}{|x|^N} dx \\ &\leq |\mathbb{S}^{N-1}| A_n^2 \int_0^{R_\Omega} \frac{\left(\log \frac{1}{r}\right)^{2A_n+2\beta-2}}{r} dr \\ &= |\mathbb{S}^{N-1}| A_n^2 \underbrace{\left( \frac{1}{1-2A_n-2\beta} \right)}_{=\frac{1}{2\delta_n}} \left( \log \frac{1}{R_\Omega} \right)^{2A_n+2\beta-1} \end{aligned}$$

since  $2A_n + 2\beta - 2 < -1 \iff 2\alpha + \beta < 3/2 + \delta_n$  is satisfied. By a standard truncation procedure with Lemma 3 implies  $\left(\log \frac{1}{|x|}\right)^{A_n} \in \mathcal{H}_{\alpha,\beta}^{1,2}(\Omega)$  and

$$(42) \quad \left\| \left( \log \frac{1}{|x|} \right)^{A_n} \right\|_{\alpha,\beta}^2 < C \left( \frac{1}{\delta_n} \right).$$

Thus by (41) and (42), we see

$$\begin{aligned} \|v_n\|_{\alpha,\beta} &\leq \|u_{n,\delta_n} - u_n\|_{\alpha,\beta} + |C_n| \left\| \left( \log \frac{1}{|x|} \right)^{A_n} \right\|_{\alpha,\beta} \\ &\leq o_n(1) + C\delta_n \left( \log \log \frac{1}{\delta_n} \right) \sqrt{\left( \frac{1}{\delta_n} \right)} \\ &\rightarrow 0 \end{aligned}$$

as  $n \rightarrow \infty$ . Thus  $v_n$  is the desired function in this case.

In both cases, by testing  $N_{\alpha,\beta}(\Omega)$  by  $v_n$  above, we obtain  $N_{\alpha,\beta}(\Omega) \leq \overline{N}_{\alpha,\beta}(\Omega)$ . This completes the proof of Theorem 4.  $\square$

## 6. PROOF OF THEOREM 5 AND THEOREM 6.

In this section, we will prove Theorem 5 and Theorem 6.

### Proof of Theorem 5.

*Proof.* First, we claim that

$$(43) \quad N_{\alpha,1-\alpha}(\Omega) \leq H_{\alpha,1-\alpha}(\Omega) = (\alpha - 1/2)^2$$

if  $\alpha \geq 1/2$ .

We have already seen that  $N_{1/2,1/2}(\Omega) = 0 = H_{1/2,1/2}(\Omega)$ , so we assume  $\alpha > 1/2$ . Also we may assume  $B_{\delta_0} \subset \subset \Omega$  for some  $\delta_0 \in (0, 1)$ . For  $0 < \varepsilon < \alpha - 1/2$  small, we

define

$$u_\varepsilon(x) = \begin{cases} (\log \frac{1}{|x|})^{A_\varepsilon} - (\log \frac{1}{\delta_0})^{A_\varepsilon}, & 0 \leq |x| \leq \delta_0, \\ 0, & \{\delta_0 \leq |x|\} \cap \Omega, \end{cases}$$

$$v_\varepsilon(x) = u_\varepsilon(x) - C_\varepsilon,$$

where  $A_\varepsilon = \alpha - 1/2 - \varepsilon > 0$  and

$$C_\varepsilon = \frac{\int_\Omega \frac{u_\varepsilon}{|x|^N (\log \frac{1}{|x|})^{2\alpha}} dx}{\int_\Omega \frac{dx}{|x|^N (\log \frac{1}{|x|})^{2\alpha}}}.$$

Note that the denominator of  $C_\varepsilon$  is finite since  $\alpha > 1/2$ . By the choice of  $C_\varepsilon$ , we see

$$(44) \quad \int_\Omega \frac{v_\varepsilon}{|x|^N (\log \frac{1}{|x|})^{2\alpha}} dx = 0.$$

We compute

$$\begin{aligned} \int_{B_{\delta_0}} \frac{(\log \frac{1}{|x|})^{A_\varepsilon}}{|x|^N (\log \frac{1}{|x|})^{2\alpha}} dx &= \left( \frac{|\mathbb{S}^{N-1}|}{\alpha - \frac{1}{2} + \varepsilon} \right) \left( \log \frac{1}{\delta_0} \right)^{-\alpha + \frac{1}{2} - \varepsilon}, \\ \int_{B_{\delta_0}} \frac{(\log \frac{1}{|x|})^{2A_\varepsilon}}{|x|^N (\log \frac{1}{|x|})^{2\alpha}} dx &= \left( \frac{|\mathbb{S}^{N-1}|}{2\varepsilon} \right) \left( \log \frac{1}{\delta_0} \right)^{-2\varepsilon}, \\ \int_{B_{\delta_0}} \frac{dx}{|x|^N (\log \frac{1}{|x|})^{2\alpha}} &= \left( \frac{|\mathbb{S}^{N-1}|}{2\alpha - 1} \right) \left( \log \frac{1}{\delta_0} \right)^{1-2\alpha} \end{aligned}$$

for  $A_\varepsilon = \alpha - 1/2 - \varepsilon$ . By these we have

$$\begin{aligned} \int_\Omega \frac{u_\varepsilon}{|x|^N (\log \frac{1}{|x|})^{2\alpha}} dx &= \int_{B_{\delta_0}} \frac{(\log \frac{1}{|x|})^{A_\varepsilon}}{|x|^N (\log \frac{1}{|x|})^{2\alpha}} dx - (\log \frac{1}{\delta_0})^{A_\varepsilon} \int_{B_{\delta_0}} \frac{dx}{|x|^N (\log \frac{1}{|x|})^{2\alpha}} dx \\ &= |\mathbb{S}^{N-1}| \left( \log \frac{1}{\delta_0} \right)^{-\alpha + \frac{1}{2} - \varepsilon} \left( \frac{1}{\alpha - \frac{1}{2} + \varepsilon} - \frac{1}{2\alpha - 1} \right) \\ &= C \left( \log \frac{1}{\delta_0} \right)^{-\alpha + \frac{1}{2} - \varepsilon}, \\ \int_\Omega \frac{u_\varepsilon^2}{|x|^N (\log \frac{1}{|x|})^{2\alpha}} dx &= \int_{B_{\delta_0}} \frac{(\log \frac{1}{|x|})^{2A_\varepsilon}}{|x|^N (\log \frac{1}{|x|})^{2\alpha}} dx - 2 \left( \log \frac{1}{\delta_0} \right)^{A_\varepsilon} \int_{B_{\delta_0}} \frac{(\log \frac{1}{|x|})^{A_\varepsilon}}{|x|^N (\log \frac{1}{|x|})^{2\alpha}} dx + \left( \log \frac{1}{\delta_0} \right)^{2A_\varepsilon} \int_{B_{\delta_0}} \frac{dx}{|x|^N (\log \frac{1}{|x|})^{2\alpha}} dx \\ &= |\mathbb{S}^{N-1}| \left( \log \frac{1}{\delta_0} \right)^{-2\varepsilon} \left( \frac{1}{2\varepsilon} - \frac{2}{\alpha - \frac{1}{2} + \varepsilon} + \frac{1}{2\alpha - 1} \right) \\ &= |\mathbb{S}^{N-1}| \left( \log \frac{1}{\delta_0} \right)^{-2\varepsilon} \left( \frac{1}{2\varepsilon} + O(1) \right). \end{aligned}$$

Also we have

$$|\nabla u_\varepsilon|^2 = A_\varepsilon^2 \frac{(\log \frac{1}{|x|})^{2(A_\varepsilon - 1)}}{|x|^2} \chi_{B_{\delta_0}},$$

thus

$$\begin{aligned} \int_{\Omega} |\nabla u_{\varepsilon}|^2 |x|^{2-N} (\log \frac{1}{|x|})^{2(1-\alpha)} dx &= A_{\varepsilon}^2 \int_{B_{\delta_0}} \frac{(\log \frac{1}{|x|})^{2(A_{\varepsilon}-1)+2(1-\alpha)}}{|x|^2} |x|^{2-N} dx \\ &= |\mathbb{S}^{N-1}| A_{\varepsilon}^2 \frac{(\log \frac{1}{\delta_0})^{2A_{\varepsilon}-2\alpha+1}}{2\alpha-1-2A_{\varepsilon}} \\ &= \frac{|\mathbb{S}^{N-1}|}{2\varepsilon} A_{\varepsilon}^2 (\log \frac{1}{\delta_0})^{-2\varepsilon}. \end{aligned}$$

From these, we see

$$\begin{aligned} \int_{\Omega} |\nabla v_{\varepsilon}|^2 |x|^{2-N} (\log \frac{1}{|x|})^{2(1-\alpha)} dx &\stackrel{(44)}{=} \frac{|\mathbb{S}^{N-1}|}{2\varepsilon} A_{\varepsilon}^2 (\log \frac{1}{\delta_0})^{-2\varepsilon}, \\ \int_{\Omega} \frac{v_{\varepsilon}^2}{|x|^N (\log \frac{1}{|x|})^{2\alpha}} dx &= \int_{\Omega} \frac{u_{\varepsilon}^2}{|x|^N (\log \frac{1}{|x|})^{2\alpha}} dx - C_{\varepsilon} \int_{\Omega} \frac{u_{\varepsilon}}{|x|^N (\log \frac{1}{|x|})^{2\alpha}} dx \\ &= |\mathbb{S}^{N-1}| (\log \frac{1}{\delta_0})^{-2\varepsilon} \left( \frac{1}{2\varepsilon} + O(1) \right) - \frac{\left( C (\log \frac{1}{\delta_0})^{-\alpha+\frac{1}{2}-\varepsilon} \right)^2}{C (\log \frac{1}{\delta_0})^{1-2\alpha}} \\ &= C (\log \frac{1}{\delta_0})^{-2\varepsilon} \left( \frac{1}{\varepsilon} + O(1) \right) - C (\log \frac{1}{\delta_0})^{-2\varepsilon} \end{aligned}$$

By (44), we may test  $N_{\alpha,1-\alpha}(\Omega)$  by  $v_{\varepsilon}$ . Then we have

$$\begin{aligned} N_{\alpha,1-\alpha}(\Omega) \leq Q(v_{\varepsilon}, \Omega) &= \frac{\int_{\Omega} |\nabla v_{\varepsilon}|^2 |x|^{2-N} (\log \frac{1}{|x|})^{2(1-\alpha)} dx}{\int_{\Omega} \frac{v_{\varepsilon}^2}{|x|^N (\log \frac{1}{|x|})^{2\alpha}} dx} \\ &= \frac{\frac{|\mathbb{S}^{N-1}|}{2\varepsilon} A_{\varepsilon}^2 (\log \frac{1}{\delta_0})^{-2\varepsilon}}{C (\log \frac{1}{\delta_0})^{-2\varepsilon} \left( \frac{1}{\varepsilon} + O(1) \right) + C (\log \frac{1}{\delta_0})^{-2\varepsilon}} \\ &= A_{\varepsilon}^2 \frac{1}{(1 + O(\varepsilon)) + C\varepsilon} \\ &\rightarrow \lim_{\varepsilon \rightarrow 0} A_{\varepsilon}^2 = (\alpha - 1/2)^2 \end{aligned}$$

as  $\varepsilon \rightarrow 0$ . Thus we obtain that  $N_{\alpha,1-\alpha}(\Omega) \leq (\alpha - 1/2)^2 = H_{\alpha,1-\alpha}(\Omega)$  when  $\alpha \geq 1/2$ . This completes the proof of the claim (43).

Next, we will prove that if  $N_{\alpha,1-\alpha}(\Omega) < H_{\alpha,1-\alpha}(\Omega)$ , then  $N_{\alpha,1-\alpha}(\Omega)$  is achieved. Here, we provide a proof of this claim without the use of concentration compactness lemma type argument; see also Theorem 2 in [23].

First, we prove the following inequality:

**Proposition 13.** *Let  $\alpha \in \mathbb{R}$  be such that  $H_{\alpha,1-\alpha}(\Omega) > 0$  (i.e.,  $\alpha \neq 1/2$ ). Then for any  $\varepsilon > 0$  and  $\delta \in (0, 1)$  such that  $B_{\delta} \subset\subset \Omega$ , there exists  $C(\varepsilon, \delta) > 0$  such that*

$$\begin{aligned} (45) \quad \int_{\Omega} \frac{|u|^2}{|x|^N \left( \log \frac{1}{|x|} \right)^{2\alpha}} dx &\leq \left( \frac{1}{H_{\alpha,1-\alpha}(\Omega)} + \varepsilon \right) \int_{\Omega} |\nabla u|^2 |x|^{2-N} \left( \log \frac{1}{|x|} \right)^{2(1-\alpha)} dx \\ (46) \quad &+ C(\varepsilon, \delta) \int_{\Omega \setminus B_{\delta/2}} u^2 dx \end{aligned}$$

holds for any  $u \in \mathcal{H}_{\alpha,1-\alpha}^{1,2}(\Omega)$ .

*Proof.* First, since

$$\infty > \int_{\Omega} \frac{|u|^2}{|x|^N (\log \frac{1}{|x|})^{2\alpha}} dx \geq \inf_{x \in \Omega} \left( \frac{1}{|x|^N (\log \frac{1}{|x|})^{2\alpha}} \right) \int_{\Omega} |u|^2 dx$$

for  $u \in \mathcal{H}_{\alpha,1-\alpha}^{1,2}(\Omega)$ ,  $\mathcal{H}_{\alpha,1-\alpha}^{1,2}(\Omega) \hookrightarrow L^2(\Omega)$  follows. Take a cut-off function  $\phi \in C_0^\infty(\Omega)$  such that  $\phi \equiv 1$  for  $0 \leq |x| \leq \delta/2$ ,  $\phi \equiv 0$  on  $\Omega \setminus B_\delta$ ,  $0 \leq \phi \leq 1$ . Then  $\text{supp}(\phi u) \subset\subset \Omega$  and

$$\begin{aligned} \int_{\Omega} \frac{|\phi u|^2}{|x|^N (\log \frac{1}{|x|})^{2\alpha}} dx &\leq \int_{\Omega} \frac{|u|^2}{|x|^N (\log \frac{1}{|x|})^{2\alpha}} dx < \infty, \\ \int_{\Omega} |\nabla(\phi u)|^2 |x|^{2-N} \left( \log \frac{1}{|x|} \right)^{2(1-\alpha)} dx \\ &\leq 2 \int_{\Omega} |\nabla u|^2 |\phi|^2 |x|^{2-N} \left( \log \frac{1}{|x|} \right)^{2(1-\alpha)} dx + 2 \int_{B_\delta \setminus B_{\delta/2}} |\nabla \phi|^2 |u|^2 |x|^{2-N} \left( \log \frac{1}{|x|} \right)^{2(1-\alpha)} dx \\ &\leq 2 \int_{\Omega} |\nabla u|^2 |x|^{2-N} \left( \log \frac{1}{|x|} \right)^{2(1-\alpha)} dx \\ &\quad + 2 \|\nabla \phi\|_{L^\infty(\Omega)}^2 \max \left\{ |x|^2 \left( \log \frac{1}{|x|} \right)^2 \right\}_{x \in B_\delta \setminus B_{\delta/2}} \int_{B_\delta \setminus B_{\delta/2}} \frac{|u|^2}{|x|^N \left( \log \frac{1}{|x|} \right)^{2\alpha}} dx < \infty. \end{aligned}$$

Thus  $\phi u \in \mathcal{H}_{0,\alpha,1-\alpha}^{1,2}(\Omega)$  and applying the critical Hardy inequality (6) to  $\phi u$ , we obtain

$$\begin{aligned} \int_{\Omega} \frac{|u|^2}{|x|^N (\log \frac{1}{|x|})^{2\alpha}} dx &= \int_{\Omega} \frac{|\phi u|^2}{|x|^N (\log \frac{1}{|x|})^{2\alpha}} dx + \int_{\Omega} \frac{(1-\phi^2)|u|^2}{|x|^N (\log \frac{1}{|x|})^{2\alpha}} dx \\ &\leq \frac{1}{H} \int_{\Omega} |\nabla(\phi u)|^2 |x|^{2-N} \left( \log \frac{1}{|x|} \right)^{2(1-\alpha)} dx + \int_{\Omega} \frac{(1-\phi^2)|u|^2}{|x|^N (\log \frac{1}{|x|})^{2\alpha}} dx \end{aligned}$$

where  $H := H_{\alpha,1-\alpha}(\Omega)$ . By Young inequality, we see

$$|\nabla(\phi u)|^2 \leq (1 + H\varepsilon) |\nabla u|^2 \phi^2 + \left(1 + \frac{1}{H\varepsilon}\right) |\nabla \phi|^2 u^2$$

and

$$\begin{aligned} \frac{1}{H} \int_{\Omega} |\nabla(\phi u)|^2 |x|^{2-N} \left( \log \frac{1}{|x|} \right)^{2(1-\alpha)} dx \\ \leq \left( \frac{1}{H} + \varepsilon \right) \int_{\Omega} |\nabla u|^2 |x|^{2-N} \left( \log \frac{1}{|x|} \right)^{2(1-\alpha)} dx + \left( \frac{1}{H} + \frac{1}{H^2\varepsilon} \right) \int_{\Omega} |\nabla \phi|^2 u^2 |x|^{2-N} \left( \log \frac{1}{|x|} \right)^{2(1-\alpha)} dx. \end{aligned}$$

From the above, we obtain

$$\begin{aligned} \int_{\Omega} \frac{|u|^2}{|x|^N (\log \frac{1}{|x|})^{2\alpha}} dx &\leq \left( \frac{1}{H} + \varepsilon \right) \int_{\Omega} |\nabla u|^2 |x|^{2-N} \left( \log \frac{1}{|x|} \right)^{2(1-\alpha)} dx \\ &\quad + \underbrace{\int_{\Omega} |u|^2 \left( \frac{1-\phi^2}{|x|^N (\log \frac{1}{|x|})^{2\alpha}} + \left( \frac{1}{H} + \frac{1}{H^2\varepsilon} \right) |\nabla \phi|^2 |x|^{2-N} \left( \log \frac{1}{|x|} \right)^{2(1-\alpha)} \right) dx}_{=:\Phi(x)}. \end{aligned}$$

Note that

$$\Phi(x) := \frac{1 - \phi(x)^2}{|x|^N (\log \frac{1}{|x|})^{2\alpha}} + \left( \frac{1}{H} + \frac{1}{H^2 \varepsilon} \right) |\nabla \phi(x)|^2 |x|^{2-N} \left( \log \frac{1}{|x|} \right)^{2(1-\alpha)} \equiv 0 \quad \text{on } B_{\delta/2}.$$

Thus we have

$$\begin{aligned} \int_{\Omega} \frac{|u|^2}{|x|^N (\log \frac{1}{|x|})^{2\alpha}} dx &\leq (H^{-1} + \varepsilon) \int_{\Omega} |\nabla u|^2 |x|^{2-N} \left( \log \frac{1}{|x|} \right)^{2(1-\alpha)} dx \\ &\quad + \max_{x \in \Omega \setminus B_{\delta/2}} |\Phi(x)| \int_{\Omega \setminus B_{\delta/2}} |u|^2 dx \end{aligned}$$

for  $u \in \mathcal{H}_{\alpha, 1-\alpha}^{1,2}(\Omega)$ . This proves Proposition 13.  $\square$

Let us return to the proof of Theorem 5. Take a minimizing sequence  $\{u_n\} \subset \mathcal{A}_N$  for  $N_{\alpha, 1-\alpha}(\Omega)$  where  $\mathcal{A}_N$  is defined as in (5) for  $\beta = 1 - \alpha$ . Thus

$$\begin{aligned} \int_{\Omega} \frac{u_n^2}{|x|^N (\log \frac{1}{|x|})^{2\alpha}} dx &= 1, \quad \int_{\Omega} \frac{u_n}{|x|^N (\log \frac{1}{|x|})^{2\alpha}} dx = 0, \\ \text{and } \int_{\Omega} |\nabla u_n|^2 |x|^{2-N} (\log \frac{1}{|x|})^{2(1-\alpha)} dx &= N_{\alpha, 1-\alpha}(\Omega) + o(1). \end{aligned}$$

Then there exists a subsequence and  $u \in \mathcal{H}_{\alpha, 1-\alpha}^{1,2}(\Omega)$  such that

$$\begin{aligned} u_n &\rightharpoonup u \quad \text{weakly in } \mathcal{H}_{\alpha, 1-\alpha}^{1,2}(\Omega), \\ u_n &\rightarrow u \quad \text{strongly in } L^2(\Omega \setminus B_{\delta_0}, \frac{1}{|x|^N (\log \frac{1}{|x|})^{2\alpha}}), \\ u_n &\rightarrow u \quad \text{strongly in } L^2(\Omega \setminus B_{\delta_0}) \end{aligned}$$

for any small  $\delta_0 \in (0, 1)$ . Now the assumption  $\alpha \geq 1/2$  and  $N_{\alpha, 1-\alpha}(\Omega) < (\alpha - \frac{1}{2})^2$  implies that  $\alpha > 1/2$ . Therefore the average functional  $T_0 : \mathcal{H}_{\alpha, 1-\alpha}^{1,2}(\Omega) \rightarrow \mathbb{R}$ ,  $T_0(u) = \int_{\Omega} \frac{u}{|x|^N (\log \frac{1}{|x|})^{2\alpha}} dx$  is continuous by Proposition 6. This assures the weak limit  $u$  must satisfy that

$$T_0(u) = \int_{\Omega} \frac{u}{|x|^N (\log \frac{1}{|x|})^{2\alpha}} dx = \lim_{n \rightarrow \infty} T(u_n) = 0.$$

Now, we claim that

$$(47) \quad \int_{\Omega} \frac{|u|^2}{|x|^N (\log \frac{1}{|x|})^{2\alpha}} dx = 1$$

holds. If this is true, then it is easy to see that  $u \in \mathcal{A}_N$  is a minimizer for  $N_{\alpha, 1-\alpha}(\Omega)$  and the proof will be completed.

In order to prove (47), first we see the weak limit  $u \not\equiv 0$ . Indeed, if  $u \equiv 0$ , then Proposition 13 for  $u_n$  and  $u_n \rightarrow u \equiv 0$  strongly in  $L^2(\Omega \setminus B_{\delta_0})$  implies

$$\begin{aligned} 1 &= \int_{\Omega} \frac{|u_n|^2}{|x|^N \left(\log \frac{1}{|x|}\right)^{2\alpha}} dx \leq \left( \frac{1}{H_{\alpha,1-\alpha}(\Omega)} + \varepsilon \right) \underbrace{\int_{\Omega} |\nabla u_n|^2 |x|^{2-N} \left(\log \frac{1}{|x|}\right)^{2(1-\alpha)} dx}_{=N_{\alpha,1-\alpha}(\Omega)+o_n(1)} \\ &\quad + C(\varepsilon, \delta_0) \underbrace{\int_{\Omega \setminus B_{\delta_0}} u_n^2 dx}_{=o_n(1)} \\ &\leq \left( \frac{1}{H_{\alpha,1-\alpha}(\Omega)} + \varepsilon \right) (N_{\alpha,1-\alpha}(\Omega) + o_n(1)) \end{aligned}$$

as  $n \rightarrow \infty$ . This implies that  $H_{\alpha,1-\alpha}(\Omega) \leq N_{\alpha,1-\alpha}(\Omega)$ , which contradicts to the assumption  $H_{\alpha,1-\alpha}(\Omega) > N_{\alpha,1-\alpha}(\Omega)$ . Thus we have proven that  $u \not\equiv 0$ .

Now, we will prove (47). By the weak lower semi-continuity, we see that  $\int_{\Omega} \frac{|u|^2}{|x|^N \left(\log \frac{1}{|x|}\right)^{2\alpha}} dx \leq$

1. Assume the contrary that  $\int_{\Omega} \frac{|u|^2}{|x|^N \left(\log \frac{1}{|x|}\right)^{2\alpha}} dx < 1$ . Then

$$\begin{aligned} 0 &< 1 - \int_{\Omega} \frac{u^2}{|x|^N \left(\log \frac{1}{|x|}\right)^{2\alpha}} dx = \int_{\Omega} \frac{u_n^2}{|x|^N \left(\log \frac{1}{|x|}\right)^{2\alpha}} dx - \int_{\Omega} \frac{u^2}{|x|^N \left(\log \frac{1}{|x|}\right)^{2\alpha}} dx \\ &= \int_{\Omega} \frac{|u_n - u|^2}{|x|^N \left(\log \frac{1}{|x|}\right)^{2\alpha}} dx + \underbrace{\int_{\Omega} \frac{2u(u_n - u)}{|x|^N \left(\log \frac{1}{|x|}\right)^{2\alpha}} dx}_{=o_n(1)} \\ &= \int_{\Omega} \frac{|u_n - u|^2}{|x|^N \left(\log \frac{1}{|x|}\right)^{2\alpha}} dx + o_n(1) \end{aligned}$$

because  $u_n \rightarrow u$  weakly in  $L^2(\Omega, \frac{1}{|x|^N \left(\log \frac{1}{|x|}\right)^{2\alpha}})$ . Again, we apply the Neumann Hardy inequality (45) to the last term to obtain

$$\begin{aligned} \int_{\Omega} \frac{|u_n - u|^2}{|x|^N \left(\log \frac{1}{|x|}\right)^{2\alpha}} dx &\leq \left( \frac{1}{H_{\alpha,1-\alpha}(\Omega)} + \varepsilon \right) \int_{\Omega} |\nabla(u_n - u)|^2 |x|^{2-N} \left(\log \frac{1}{|x|}\right)^{2(1-\alpha)} dx \\ &\quad + C(\varepsilon, \delta_0) \underbrace{\int_{\Omega \setminus B_{\delta_0}} |u_n - u|^2 dx}_{=o_n(1)} \\ &\leq \left( \frac{1}{H_{\alpha,1-\alpha}(\Omega)} + \varepsilon \right) \left( \int_{\Omega} |\nabla u_n|^2 |x|^{2-N} \left(\log \frac{1}{|x|}\right)^{2(1-\alpha)} dx - \int_{\Omega} |\nabla u|^2 |x|^{2-N} \left(\log \frac{1}{|x|}\right)^{2(1-\alpha)} dx + o_n(1) \right) \\ &\leq \left( \frac{1}{H_{\alpha,1-\alpha}(\Omega)} + \varepsilon \right) \left( N_{\alpha,1-\alpha}(\Omega) + o_n(1) - \int_{\Omega} |\nabla u|^2 |x|^{2-N} \left(\log \frac{1}{|x|}\right)^{2(1-\alpha)} dx \right) \\ &\leq \left( \frac{1}{H_{\alpha,1-\alpha}(\Omega)} + \varepsilon \right) \left( N_{\alpha,1-\alpha}(\Omega) + o_n(1) - N_{\alpha,1-\alpha}(\Omega) \int_{\Omega} \frac{|u|^2}{|x|^N \left(\log \frac{1}{|x|}\right)^{2\alpha}} dx \right), \end{aligned}$$

since

$$N_{\alpha,1-\alpha}(\Omega) \int_{\Omega} \frac{|u|^2}{|x|^N \left(\log \frac{1}{|x|}\right)^{2\alpha}} dx \leq \int_{\Omega} |\nabla u|^2 |x|^{2-N} \left(\log \frac{1}{|x|}\right)^{2(1-\alpha)} dx$$

holds for  $u \in \mathcal{H}_{\alpha,1-\alpha}^{1,2}(\Omega)$  such that  $T_0(u) = 0$ . Thus we obtain

$$0 < 1 - \int_{\Omega} \frac{u^2}{|x|^N (\log \frac{1}{|x|})^{2\alpha}} dx \leq \left( \frac{1}{H_{\alpha,1-\alpha}(\Omega)} + \varepsilon \right) N_{\alpha,1-\alpha}(\Omega) \left( 1 - \int_{\Omega} \frac{|u|^2}{|x|^N (\log \frac{1}{|x|})^{2\alpha}} dx \right)$$

when  $n \rightarrow \infty$ . Deviding the both sides by  $1 - \int_{\Omega} \frac{u^2}{|x|^N (\log \frac{1}{|x|})^{2\alpha}} dx > 0$  and taking a limit  $\varepsilon \rightarrow 0$ , we obtain  $1 \leq \frac{N_{\alpha,1-\alpha}(\Omega)}{H_{\alpha,1-\alpha}(\Omega)}$ , which is a contradiction to the assumption.  $\square$

### Proof of Theorem 6.

*Proof.* Let  $R \in (0, 1)$  be given. In order to prove that  $N_{\alpha,1-\alpha}(B_R)$  is achieved, from Theorem 5, it is sufficient to find a condition on  $\alpha > 1/2$  to assure that  $N_{\alpha,1-\alpha}(B_R) < (\alpha - 1/2)^2$ . As in [10], [5], we use a test function of the form

$$\phi(x) = \frac{x_1}{|x|} = \omega_1$$

for  $x = (x_1, \dots, x_N) = r\omega$ , where  $\omega = (\omega_1, \dots, \omega_N) \in \mathbb{S}^{N-1}$ . Then easily we have

$$\begin{aligned} T_0(\phi) &= \int_{B_R} \frac{\phi}{|x|^N (\log \frac{1}{|x|})^{2\alpha}} dx = \left( \int_{\mathbb{S}^{N-1}} \omega_1 dS_{\omega} \right) \int_0^R \frac{dr}{r (\log \frac{1}{r})^{2\alpha}} = 0. \\ \int_{B_R} \frac{\phi^2}{|x|^N (\log \frac{1}{|x|})^{2\alpha}} dx &= \left( \int_{\mathbb{S}^{N-1}} \omega_1^2 dS_{\omega} \right) \int_0^R \frac{dr}{r (\log \frac{1}{r})^{2\alpha}} = \frac{|\mathbb{S}^{N-1}|}{N} \left( \frac{1}{2\alpha - 1} \right) \left( \log \frac{1}{R} \right)^{1-2\alpha}, \end{aligned}$$

since  $\int_{\mathbb{S}^{N-1}} \omega_1^2 dS_{\omega} = \frac{1}{N} |\mathbb{S}^{N-1}|$ . Also since

$$\nabla \phi = \left( \omega \frac{\partial}{\partial r} + \frac{1}{r} \nabla_{\mathbb{S}^{N-1}} \right) (\omega_1) = \frac{1}{r} \nabla_{\mathbb{S}^{N-1}} \omega_1,$$

we compute

$$\begin{aligned} \int_{B_R} |\nabla \phi|^2 |x|^{2-N} \left( \log \frac{1}{|x|} \right)^{2(1-\alpha)} dx &= \left( \int_{\mathbb{S}^{N-1}} |\nabla_{\mathbb{S}^{N-1}} \omega_1|^2 dS_{\omega} \right) \int_0^R \frac{(\log \frac{1}{r})^{2(1-\alpha)}}{r} dr \\ &= \left( \frac{N-1}{N} \right) |\mathbb{S}^{N-1}| \left( \frac{1}{2\alpha-3} \right) \left( \log \frac{1}{R} \right)^{3-2\alpha} \end{aligned}$$

when  $\alpha > 3/2$ . Here, we have used the fact that  $-\Delta_{\mathbb{S}^{N-1}} \omega_1 = (N-1)\omega_1$ . From these estimates, we see

$$\begin{aligned} N_{\alpha,1-\alpha}(B_R) &\leq \frac{\int_{B_R} |\nabla \phi|^2 |x|^{2-N} \left( \log \frac{1}{|x|} \right)^{2(1-\alpha)} dx}{\int_{B_R} \frac{\phi^2}{|x|^N (\log \frac{1}{|x|})^{2\alpha}} dx} = \frac{\left( \frac{N-1}{N} \right) |\mathbb{S}^{N-1}| \left( \frac{1}{2\alpha-3} \right) \left( \log \frac{1}{R} \right)^{3-2\alpha}}{\left( \frac{1}{N} \right) |\mathbb{S}^{N-1}| \left( \frac{1}{2\alpha-1} \right) \left( \log \frac{1}{R} \right)^{1-2\alpha}} \\ &= (N-1) \left( \frac{2\alpha-1}{2\alpha-3} \right) \left( \log \frac{1}{R} \right)^2 \end{aligned}$$

when  $\alpha > 3/2$ . Therefore, if

$$(48) \quad (N-1) \left( \frac{2\alpha-1}{2\alpha-3} \right) \left( \log \frac{1}{R} \right)^2 < (\alpha - 1/2)^2$$

holds, then  $N_{\alpha,1-\alpha}(B_R)$  is achieved by Theorem 5. The quadratic inequality (48) for  $\alpha > 3/2$  is easily solved and is equivalent to  $\alpha > 1 + \sqrt{\frac{1}{4} + (N-1)(\log \frac{1}{R})^2}$ . This proves Theorem 6  $\square$

## 7. APPENDIX.

Recall

$$\begin{aligned}\mathcal{H}_{\alpha,\beta}^{1,2}(\Omega) &= \overline{Y_{\alpha,\beta}(\Omega)}^{\|\cdot\|_{\alpha,\beta}} = \overline{\{u \in C^\infty(\Omega) \mid \|u\|_{\alpha,\beta} < \infty\}}^{\|\cdot\|_{\alpha,\beta}}, \\ \mathcal{H}_{0,\alpha,\beta}^{1,2}(\Omega) &= \overline{Y_{0,\alpha,\beta}(\Omega)}^{\|\cdot\|_{\alpha,\beta}} = \overline{\{u \in C_0^\infty(\Omega) \mid \|u\|_{\alpha,\beta} < \infty\}}^{\|\cdot\|_{\alpha,\beta}},\end{aligned}$$

where

$$\|u\|_{\alpha,\beta}^2 = \int_{\Omega} \left( |\nabla u|^2 |x|^{2-N} \left(\log \frac{1}{|x|}\right)^{2\beta} + \frac{u^2}{|x|^N \left(\log \frac{1}{|x|}\right)^{2\alpha}} \right) dx.$$

Therefore,  $u \in \mathcal{H}_{\alpha,\beta}^{1,2}(\Omega)$  if and only if  $u \in L^2(\Omega, \frac{1}{|x|^N (\log \frac{1}{|x|})^{2\alpha}})$ , and there exists a sequence  $\{\phi_j\} \subset Y_{\alpha,\beta}(\Omega)$ ,  $\mathbb{R}^N$ -valued function  $\vec{v} \in L^2(\Omega, |x|^{2-N} (\log \frac{1}{|x|})^{2\beta}; \mathbb{R}^N)$  such that

$$\begin{aligned}\int_{\Omega} \frac{|u - \phi_j|^2}{|x|^N \left(\log \frac{1}{|x|}\right)^{2\alpha}} dx &\rightarrow 0 \quad (j \rightarrow \infty), \quad \text{and} \\ \int_{\Omega} |\vec{v} - \nabla \phi_j|^2 |x|^{2-N} \left(\log \frac{1}{|x|}\right)^{2\beta} dx &\rightarrow 0 \quad (j \rightarrow \infty).\end{aligned}$$

$\vec{v}$  above is called a *strong* derivative of  $u \in \mathcal{H}_{\alpha,\beta}^{1,2}(\Omega)$ .

First, we show that any  $u \in \mathcal{H}_{\alpha,\beta}^{1,2}(\Omega)$  is in  $L^1(\Omega)$  for any  $(\alpha, \beta) \in \mathbb{R} \times \mathbb{R}$  and its strong derivative  $\vec{v}$  coincides with its weak (distributional) derivative  $\nabla u$  for any  $\alpha, \beta$ .

**Lemma 2.** *There holds  $\mathcal{H}_{\alpha,\beta}^{1,2}(\Omega) \subset L^1(\Omega)$  for any  $\alpha, \beta \in \mathbb{R}$  and  $\vec{v} = \nabla u$  for any  $u \in \mathcal{H}_{\alpha,\beta}^{1,2}(\Omega)$ , where  $\vec{v}$  is a strong derivative and  $\nabla u$  is a distributional (weak) derivative of  $u \in \mathcal{H}_{\alpha,\beta}^{1,2}(\Omega)$  respectively.*

*Proof.* Since

$$\int_{\Omega} |u| dx \leq \left( \int_{\Omega} \frac{|u|^2}{|x|^N \left(\log \frac{1}{|x|}\right)^{2\alpha}} dx \right)^{1/2} \left( \int_{\Omega} |x|^N \left(\log \frac{1}{|x|}\right)^{2\alpha} dx \right)^{1/2}$$

and

$$\int_{\Omega} |x|^N \left(\log \frac{1}{|x|}\right)^{2\alpha} dx \leq |\mathbb{S}^{N-1}| \int_0^{R_\Omega} r^{2N-1} \left(\log \frac{1}{r}\right)^{2\alpha} dr < \infty,$$

we see  $u \in L^1(\Omega)$  and  $\|u\|_{L^1(\Omega)} \leq C\|u\|_{\alpha,\beta}$ . Similarly, we have

$$\int_{\Omega} |\vec{v}| dx \leq \left( \int_{\Omega} |\vec{v}|^2 |x|^{2-N} \left(\log \frac{1}{|x|}\right)^{2\beta} dx \right)^{1/2} \left( \int_{\Omega} \frac{dx}{|x|^{2-N} \left(\log \frac{1}{|x|}\right)^{2\beta}} \right)^{1/2} \leq C\|u\|_{\alpha,\beta}$$

since  $\int_{\Omega} \frac{dx}{|x|^{2-N} \left(\log \frac{1}{|x|}\right)^{2\beta}} \leq |\mathbb{S}^{N-1}| \int_0^{R_\Omega} \left(\log \frac{1}{r}\right)^{2\beta} r dr < +\infty$ . Thus we have the continuous embedding

$$\mathcal{H}_{\alpha,\beta}^{1,2}(\Omega) \hookrightarrow L^1(\Omega)$$

for any  $(\alpha, \beta) \in \mathbb{R}^2$ . Let  $\{\phi_j\} \in Y_{\alpha, \beta}(\Omega)$  such that

$$\begin{aligned}\phi_j &\rightarrow u \quad \text{in } L^2(\Omega, \frac{1}{|x|^N (\log \frac{1}{|x|})^{2\alpha}}) \hookrightarrow L^1(\Omega), \\ \nabla \phi_j &\rightarrow \vec{v} \quad \text{in } L^2(\Omega, |x|^{2-N} (\log \frac{1}{|x|})^{2\beta}; \mathbb{R}^N) \hookrightarrow L^1(\Omega; \mathbb{R}^N),\end{aligned}$$

where  $\vec{v} = (v_1, \dots, v_N)$  is a strong derivative of  $u \in \mathcal{H}_{\alpha, \beta}^{1,2}(\Omega)$ . Then for any  $\phi \in C_0^\infty(\Omega)$  and  $i = 1, 2$ , we see

$$\begin{aligned}\left| \int_{\Omega} u \frac{\partial \phi}{\partial x_i} + \phi v_i dx \right| &= \left| \int_{\text{supp} \phi} (u - \phi_j) \frac{\partial \phi}{\partial x_i} + v_i \phi + \phi_j \frac{\partial \phi}{\partial x_i} dx \right| \\ &= \left| \int_{\text{supp} \phi} (u - \phi_j) \frac{\partial \phi}{\partial x_i} + v_i \phi + \phi_j \frac{\partial \phi}{\partial x_i} dx \right| \\ &\rightarrow 0\end{aligned}$$

as  $j \rightarrow \infty$ . Thus

$$\int_{\Omega} u \frac{\partial \phi}{\partial x_i} dx = - \int_{\Omega} \phi v_i dx$$

and  $v_i = \frac{\partial u}{\partial x_i}$  in the distributional sense.  $\square$

**Lemma 3.** *Let  $u \in C_0(\Omega)$  and assume that  $u$  is differentiable (in the classical sense) a.e.  $\Omega$  with  $|\nabla u| \in L^\infty(D)$  and  $\frac{1}{|x|^N (\log \frac{1}{|x|})^{2\alpha}} \in L^1(D)$  where  $D$  is an open set such that  $\text{supp}(u) \subset D \subset \subset \Omega$ . Then  $u \in \mathcal{H}_{\alpha, \beta}^{1,2}(\Omega)$ .*

For example, Lipschitz continuous functions are differentiable a.e. on  $\Omega$  (Rademacher's theorem: see [15]).

*Proof.* Put  $w_\alpha(x) = \frac{1}{|x|^N (\log \frac{1}{|x|})^{2\alpha}}$  and  $w_\beta(x) = |x|^{2-N} (\log \frac{1}{|x|})^{2\beta}$  for  $\alpha, \beta \in \mathbb{R}$ . Note that  $w_\beta \in L^1(\Omega)$  for any  $\beta \in \mathbb{R}$ . By taking  $\psi \in C_0^\infty(\Omega)$  such that  $\psi \equiv 1$  on  $\text{supp}(u)$  and considering  $\psi u$  instead of  $u$ , we can assume that  $u$  is bounded continuous on  $\mathbb{R}^N$  with compact support in  $\Omega$  and has a classical derivative  $\nabla u$  a.e. on  $\mathbb{R}^N$ . We take the standard mollifier  $\eta_j$ ,  $0 \leq \eta_j \leq 1$ ,  $\int_{\mathbb{R}^N} \eta_j dx = 1$ , and put  $u_j = \eta_j * u \in C^\infty(\mathbb{R}^N)$ . Then we know that  $u_j$  has a compact support in  $D$  for  $j$  large,  $u_j \rightarrow u$  uniformly on  $D$  and  $\nabla u_j = \eta_j * \nabla u \rightarrow \nabla u$  uniformly on  $D$ . Moreover, we have

$$\|u_j\|_{L^\infty(D)} \leq \|u\|_{L^\infty(D)}, \quad \|\nabla u_j\|_{L^\infty(D)} \leq \|\nabla u\|_{L^\infty(D)}.$$

For the fundamental properties of the mollifier, see the book [14]. Thus

$$\begin{aligned}|u_j - u|^2 w_\alpha(x) &\rightarrow 0, \quad |\nabla u_j - \nabla u|^2 w_\beta(x) \rightarrow 0 \quad \text{a.e. } x \in D, \\ |u_j - u|^2 w_\alpha(x) &\leq 4\|u\|_{L^\infty(D)}^2 w_\alpha(x) \in L^1(D), \\ |\nabla u_j - \nabla u|^2 w_\beta(x) &\leq 4\|\nabla u\|_{L^\infty(D)}^2 w_\beta(x) \in L^1(D).\end{aligned}$$

Then Lebesgue's dominated convergence theorem implies that

$$\int_D |u_j - u|^2 w_\alpha dx \rightarrow 0, \quad \int_D |\nabla u_j - \nabla u|^2 w_\beta dx \rightarrow 0$$

as  $j \rightarrow \infty$ . Thus  $u \in \mathcal{H}_{\alpha, \beta}^{1,2}(\Omega)$  by definition.  $\square$

We define another type of weighted space using distributional derivatives. Define

$$\mathcal{W}_{\alpha,\beta}^{1,2}(\Omega) = \left\{ u \in L_{loc}^1(\Omega) : u \in L^2\left(\Omega, \frac{1}{|x|^N(\log \frac{1}{|x|})^{2\alpha}}\right), \quad |\nabla u| \in L^2\left(\Omega, |x|^{2-N}(\log \frac{1}{|x|})^{2\beta}\right) \right\}$$

where  $\nabla u$  is the distributional derivative of  $u \in L_{loc}^1(\Omega)$ . For  $u \in \mathcal{W}_{\alpha,\beta}^{1,2}(\Omega)$ , we define the norm (1) as before.

**Lemma 4.** *For any  $\alpha, \beta \in \mathbb{R}$ , the space  $\mathcal{W}_{\alpha,\beta}^{1,2}(\Omega)$  is a Banach space under the norm (1).*

*Proof.* Put  $w_\alpha(x) = \frac{1}{|x|^N(\log \frac{1}{|x|})^{2\alpha}}$  and  $w_\beta(x) = |x|^{2-N}(\log \frac{1}{|x|})^{2\beta}$  for  $\alpha, \beta \in \mathbb{R}$ . Since  $w_\beta \in L^1(\Omega)$  for any  $\beta \in \mathbb{R}$ , it is easy to see that  $L^2(\Omega, w_\beta dx)$  is a Banach space. Also if  $\{u_j\} \subset L^2(\Omega, w_\alpha dx)$  is a Cauchy sequence, then  $\{u_j w_\alpha^{1/2}\} \subset L^2(\Omega)$  is Cauchy, so it has a limit  $v \in L^2(\Omega)$ . Then  $\{u_j\}$  converges to  $u = v w_\alpha^{-1/2} \in L^2(\Omega, w_\alpha dx)$ . Thus  $L^2(\Omega, w_\alpha dx)$  is a Banach space for any  $\alpha \in \mathbb{R}$ .

Take a Cauchy sequence  $\{u_j\}$  in  $\mathcal{W}_{\alpha,\beta}^{1,2}(\Omega)$ . Then  $\{u_j\}$  and  $\{\nabla u_j\}$  are Cauchy sequence in  $L^2(\Omega, w_\alpha)$  and  $L^2(\Omega, w_\beta; \mathbb{R}^N)$ , respectively, so they have limits:

$$u_j \rightarrow u \quad \text{in } L^2(\Omega, w_\alpha), \quad \nabla u_j \rightarrow \vec{v} \quad \text{in } L^2(\Omega, w_\beta; \mathbb{R}^N)$$

as  $j \rightarrow \infty$ . Since  $u_j \in L_{loc}^1(\Omega)$ , it defines a distribution  $T_{u_j} : \mathcal{D}(\Omega) \rightarrow \mathbb{R}$  such that  $T_{u_j}(\phi) = \int_\Omega u_j \phi dx$ . Also we can define a distribution  $T_{\partial_i u_j}(\phi) = \int_\Omega \frac{\partial u_j}{\partial x_i} \phi dx$  for  $i = 1, 2$ . Now, we see

$$\begin{aligned} |T_{u_j}(\phi) - T_u(\phi)| &\leq \int_\Omega |u_j - u| |\phi| dx \leq \|\phi\|_{L^\infty(\Omega)} \int_{\text{supp}\phi} |u_j - u| dx \rightarrow 0, \\ |T_{\partial_i u_j}(\phi) - T_{\partial_i u}(\phi)| &\leq \int_\Omega \left| \frac{\partial u_j}{\partial x_i} - \frac{\partial u}{\partial x_i} \right| |\phi| dx \leq \|\phi\|_{L^\infty(\Omega)} \int_{\text{supp}\phi} |\nabla u_j - \nabla u| dx \rightarrow 0 \end{aligned}$$

as  $j \rightarrow \infty$ , since  $L^2(\Omega, w_\alpha) \hookrightarrow L_{loc}^1(\Omega)$  and  $L^2(\Omega, w_\beta) \hookrightarrow L_{loc}^1(\Omega)$ , respectively. Thus

$$T_{v_i}(\phi) = \lim_{j \rightarrow \infty} T_{\partial_i u_j}(\phi) = \lim_{j \rightarrow \infty} (-1) T_{u_j}(\partial_i \phi) = (-1) T_u(\partial_i \phi).$$

Thus we see  $\nabla u = \vec{v}$  and  $\|u_j - u\|_{\alpha,\beta} \rightarrow 0$  as  $j \rightarrow \infty$ .  $\square$

By Lemma 4 and the definition of  $\mathcal{H}_{\alpha,\beta}^{1,2}(\Omega)$ , we see the following corollary.

**Corollary 1.** *For any  $(\alpha, \beta) \in \mathbb{R} \times \mathbb{R}$ ,  $\mathcal{H}_{\alpha,\beta}^{1,2}(\Omega)$  is a Banach space (as a closed subspace of  $\mathcal{W}_{\alpha,\beta}^{1,2}(\Omega)$ ) and the inclusion*

$$\mathcal{H}_{\alpha,\beta}^{1,2}(\Omega) \hookrightarrow \mathcal{W}_{\alpha,\beta}^{1,2}(\Omega)$$

*is continuous.*

We do not know Meyers-Serrin type “ $H = W$ ” theorem ([21]) holds or not for general  $(\alpha, \beta) \in \mathbb{R} \times \mathbb{R}$ .

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