

Geometry of Conformal Field Theory

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0. Introduction

Conformal Field Theory

- Representation theory of ∞ -dim. Lie alg.

(affine Lie alg., Virasoro alg.)

+ Moduli of Riemann surfaces,

Moduli of G -bundles over Riemann surfaces

CFT and topology

- **Tsuchiya-Kanie (1988): Jones representation**

⇒ **Kohno, Drinfeld: quantum groups**

⇒ **Witten: Chern-Simons gauge theory**

- **Categorification of Tsuchiya-Kanie ?**

Jones-Witten theory \implies Khovanov homology

CFT and 4-dim. gauge theory

- **Nakajima (1990's)**

Instanton moduli and affine Lie alg.

- **Alday-Gaiotto-Tachikawa (2009)**

Instanton moduli and Virasoro alg.

Geometric Langlands correspondence

- **Non-abelian Class Field Theory**
- **Wakimoto modules, screening operators**
- **Kapustin-Witten (2006) 4-dim. gauge theory**

References

1. E. Frenkel and D. Ben-Zvi,

Vertex Algebras and Algebraic Curves, 2nd. ed., AMS, 2004.

2. K. Ueno,

Conformal Field Theory with Gauge Symmetry, AMS, 2008.

1. Harish-Chandra pairs and D-modules

Representation theory of Lie algebras

- Representations of a Lie algebra $\mathfrak{g} = \text{Lie}(G)$ induced by those of the Lie group G can be understood geometrically.
- More generally, we study representations of \mathfrak{g} whose restrictions on $\text{Lie}(K) \subset \mathfrak{g}$ are induced by those of K .

Harish-Chandra pair (\mathfrak{g}, K)

Example G : Lie group, $\mathfrak{g} = \text{Lie}(G)$, $K \subset G$

Def. If K : Lie group, \mathfrak{g} : Lie algebra with a K -action with

K -equivariant embedding $\text{Lie}(K) \subset \mathfrak{g}$,

(\mathfrak{g}, K) is called **Harish-Chandra pair**.

(\mathfrak{g}, K) -modules Representation theory of HC pairs

Def. $V: (\mathfrak{g}, K)$ -module

(1) $V: K$ -module

(2) $\mathfrak{g} \rightarrow \text{End}(V): K$ -equivariant

• To study (\mathfrak{g}, K) -modules geometrically,

we need the language of **sheaf**.

Structure sheaf

X : complex manifold

Example $U \subset X$ open set

$$\mathcal{O}_X(U) = \{\text{holomorphic functions on } U\}$$

$\mathcal{O}_X : U \longmapsto \mathcal{O}_X(U)$ **structure sheaf** of X

Definition of sheaf

Def. $\mathcal{F} : U \longmapsto \mathcal{F}(U)$ **sheaf** on X

$$\rho_{12} = \rho_{U_1 \subset U_2} : \mathcal{F}(U_2) \rightarrow \mathcal{F}(U_1), \quad \rho_{13} = \rho_{12}\rho_{23}$$

$$\mathcal{F}\left(\bigcup U_\alpha\right) \hookrightarrow \prod \mathcal{F}(U_\alpha) \rightrightarrows \prod \mathcal{F}(U_\alpha \cap U_\beta) \quad \text{exact}$$

$\mathcal{F}(U)$ set, abelian group, \mathbb{C} -vector space, \mathbb{C} -algebra, ...

$$\mathcal{F}(U) = \Gamma(U, \mathcal{F})$$

$$\mathcal{F}_x = \lim_{\longrightarrow U \ni x} \mathcal{F}(U) \quad \text{stalk at } x \in X$$

Examples of sheaves

(1) V : \mathbb{C} -vector space

V_X : connected $U \longmapsto V$ **constant sheaf**

(2) \mathcal{F} : \mathcal{O}_X -**module**

$\mathcal{F}(U)$: $\mathcal{O}_X(U)$ -module + compatibility

(3) $\Theta_X(U) = \{\text{holomorphic vector fields on } U\}$

(4) $\Omega_X^q(U) = \{\text{holomorphic } q\text{-forms on } U\}$

Sheaf \mathcal{D}_X

$$\mathcal{D}_X(U) \subset \text{End}_{\mathbb{C}}(\mathcal{O}_X(U))$$

the \mathbb{C} -algebra generated by $\mathcal{O}_X(U)$, $\Theta_X(U)$

\mathcal{O}_X left \mathcal{D}_X -module

$K_X = \Omega_X^{\dim(X)}$ **canonical sheaf**, right \mathcal{D}_X -module

$$L_{f\xi}(\omega) = L_{\xi}(f\omega) \quad (f \in \mathcal{O}_X, \xi \in \Theta_X, \omega \in K_X)$$

Vector bundles with flat connections

(E, ∇) : vector bundle with a flat connection over X

\mathcal{E} : sheaf of local sections of E

$$\Theta_X \ni \xi \longmapsto (\nabla_\xi : \mathcal{E} \rightarrow \mathcal{E}), \quad \nabla_{[\xi, \eta]} = [\nabla_\xi, \nabla_\eta]$$

$\implies \mathcal{E}$: left \mathcal{D}_X -module

\mathcal{M} : left \mathcal{D}_X -module, $F_p \mathcal{M} \subset F_{p+1} \mathcal{M}$,

$$\nabla_\xi(F_p \mathcal{M}) \subset F_{p+1}(\mathcal{M})$$

Harish-Chandra pair (\mathfrak{g}, K) (over \mathbb{C})

K : Lie group, \mathfrak{g} : Lie algebra with a K -action,

$\text{Lie}(K) \subset \mathfrak{g}$: K -equivariant embedding

Def. V : (\mathfrak{g}, K) -module

V : K -module, $\mathfrak{g} \rightarrow \text{End}_{\mathbb{C}}(V)$: K -equivariant

(\mathfrak{g}, K) -action

Z : complex manifold with a K -action

$\text{Lie}(K) \subset \mathfrak{g} \xrightarrow{a} \Theta_Z$ K -equivariant, compatible

For example, Z is a K -invariant open subset of a G -space.

Setting

$\pi : Z \rightarrow S$ principal K -bundle

$a : \mathcal{O}_Z \otimes \mathfrak{g} \rightarrow \Theta_Z$ surjective

Localization functor

$$\Delta : (\mathfrak{g}, K)\text{-mod} \longrightarrow \mathcal{D}_S\text{-mod}$$

$$\Delta(V) = (\pi_*(\mathcal{D}_Z \otimes_{U\mathfrak{g}} V))^K$$

the left adjoint of $\Gamma : \mathcal{D}_S\text{-mod} \longrightarrow (\mathfrak{g}, K)\text{-mod}$

$$\Gamma(\mathcal{M}) = \Gamma(Z, \pi^*\mathcal{M})$$

$$\text{Hom}_{\mathcal{D}_S}(\Delta(V), \mathcal{M}) \cong \text{Hom}_{\mathfrak{g}}(V, \Gamma(\mathcal{M}))$$

Terminology

(1) $a : \mathfrak{g} \rightarrow \Theta_Z$ induces $U\mathfrak{g} \rightarrow \mathcal{D}_Z$

(2) $\pi : Z \rightarrow S$, \mathcal{F} : sheaf on Z , \mathcal{M} : sheaf on S ,

$$(\pi_*\mathcal{F})(U) = \mathcal{F}(\pi^{-1}(U)) \quad \text{direct image}$$

$$(\pi^{-1}\mathcal{M})_z = \mathcal{M}_{\pi(z)} \quad \text{inverse image}$$

$$\pi^*\mathcal{M} = \pi^{-1}\mathcal{M} \otimes_{\pi^{-1}\mathcal{O}_S} \mathcal{O}_Z$$

Coinvariants

V : (\mathfrak{g}, K) -module

$a : \mathcal{O}_Z \otimes \mathfrak{g} \rightarrow \Theta_Z$ surjective

$$V_{\text{coinv}} = \mathcal{O}_Z \otimes V / \text{Ker}(a) \cdot \mathcal{O}_Z \otimes V$$

$$V_{\text{coinv}} \cong \mathcal{D}_Z \otimes_{U\mathfrak{g}} V \quad \mathcal{D}_Z\text{-module}$$

CFT case (1)

$$\mathcal{O} = \mathbb{C}[[z]], \quad \mathcal{K} = \mathbb{C}((z)) = \mathcal{O}[z^{-1}]$$

$$\mathrm{Der}(\mathcal{K}) = \mathbb{C}((z))\partial_z, \quad \mathrm{Der}_0(\mathcal{O}) = z\mathbb{C}[[z]]\partial_z$$

$$\mathrm{Aut}(\mathcal{O}) = \{z \mapsto a_1z + a_2z^2 + \cdots \mid a_1 \neq 0\}$$

$(\mathrm{Der}(\mathcal{K}), \mathrm{Aut}(\mathcal{O}))$: Harish-Chandra pair

C : compact Riemann surface, $p \in C$

$$H^1(C, \Theta_C) = \Theta_C(C \setminus \{p\}) \setminus \mathrm{Der}(\mathcal{K}_p) / \mathrm{Der}_0(\mathcal{O}_p)$$

CFT case (2)

G : reductive algebraic group, $\mathfrak{g} = \text{Lie}(G)$

$(\mathfrak{g}(\mathcal{K}), G(\mathcal{O}))$: Harish-Chandra pair

$P \rightarrow C$: principal $G(\mathbb{C})$ -bundle

$$H^1(C, \mathfrak{g}_P) = \Gamma(C \setminus \{p\}, \mathfrak{g}_P) \setminus \mathfrak{g}(\mathcal{K}_p) / \mathfrak{g}(\mathcal{O}_p)$$

CFT case

\mathcal{D}_G -module structure on $\Delta(V)$

Knizhnik-Zamolodchikov connection

2. Families of stable curves

Stable curves

Let g, n be non-negative integers such that $2g - 2 + n > 0$, and $I = \{1, 2, \dots, n\}$. An **n -pointed**, or **I -pointed stable curve of genus g** over a scheme B is a proper flat morphism $\pi : C \rightarrow B$ together with n sections $s_I = (s_i : B \rightarrow C)_{i \in I}$ such that

(i) The geometric fibers C_b of π at $b \in B$ are reduced and connected curves with at most ordinary double points.

(ii) C_b is smooth at $s_i(b)$.

(iii) $s_i(b) \neq s_j(b)$ for $i \neq j$.

(iv) Each non-singular rational component of C_b has at least 3 points which are sections or intersections with other components.

(v) $\dim H^1(C_b, \mathcal{O}_{C_b}) = g$.

□

Stabilization

Let $I = \{1, 2, \dots, n\}$, $I^+ = I \cup \{n + 1\}$. An I -pointed stable curve $\mathcal{X} = (\pi : C \rightarrow B, s_I = (s_i)_{i \in I})$ and another section $s_{n+1} : B \rightarrow C$ are given. A pair (\mathcal{X}^+, f) of an I^+ -pointed stable curve $\mathcal{X}^+ = (\pi^+ : C^+ \rightarrow B, s_{I^+}^+ = (s_i^+)_{i \in I^+})$ and a morphism $f : C^+ \rightarrow C$ over B is called a **stabilization** if

(i) $f s_i^+ = s_i$ ($i \in I^+$)

(ii) There are two possible cases for a geometric fiber C_b^+ :

a) If C_b is smooth at $s_{n+1}(b)$ and $s_{n+1}(b) \neq s_i(b)$ ($i \in I$),

$f_b : C_b^+ \rightarrow C_b$ is an isomorphism.

b) If not, there is a rational component E of C_b^+ such that

$s_{n+1}^+(b) \in E$ and $f_b(E) = \{s_{n+1}(b)\}$ and $f_b : C_b^+ \setminus E \rightarrow$

$C_b \setminus \{s_{n+1}(b)\}$ is an isomorphism. □

Remark

The stabilization (\mathcal{X}^+, f) is unique up to isomorphisms for \mathcal{X}, s_{n+1} .

Tower

For an I -pointed stable curve $\mathcal{X} = (\pi : C \rightarrow B, s_I = (s_i)_{i \in I})$,
the first projection on the fiber product

$$\mathcal{X}^2 = (p_1 : C \times_B C \rightarrow C, \pi^* s_I = (\pi^* s_i)_{i \in I})$$

is an I -pointed stable curve over C .

We define an I^+ -pointed stable curve

$$\mathcal{X}^{(2)} = (\pi^{(2)} : C^{(2)} \rightarrow C, s_{I^+}^{(2)})$$

over C as the stabilization of \mathcal{X}^2 for the diagonal section

$$\Delta : C \rightarrow C \times_B C.$$

Similarly we define $(n + k - 1)$ -pointed stable curve

$$\mathcal{X}^{(k)} = (\pi^{(k)} : C^{(k)} \rightarrow C^{(k-1)}, s_{I^{(k)}}^{(k)})$$

over $C^{(k-1)}$ as $\mathcal{X}^{(k)} = (\mathcal{X}^{(k-1)})^{(2)}$.

Regular family

An I -pointed stable curve $(\pi : C \rightarrow B, s_I)$ is a **regular family**

if

(1) C, B : non-singular

(2) The image of π of singular points in the fibers is a set of normal-crossing divisors in B .

Proposition

For a regular family $(\pi : C \rightarrow B, s_I)$,

the variety $C^{(2)}$ is also non-singular.

Deformation theory

Let $(\pi : C \rightarrow B, s_I = (s_i)_{i \in I})$ be a regular family. We put

$$S_I = \bigcup_{i \in I} s_i(B),$$

$$\pi^* \Omega_B = \pi^{-1} \Omega_B \otimes_{\mathcal{O}_B} \mathcal{O}_C,$$

$$F = \mathcal{H}om_{\mathcal{O}_C}(-, \mathcal{O}_C(-S_I)).$$

We apply a left exact functor $\pi_* \circ F$ to the short exact sequence

$$0 \rightarrow \pi^* \Omega_B \rightarrow \Omega_C \rightarrow \Omega_{C/B} \rightarrow 0.$$

Since π is proper,

$$\begin{aligned}\pi_* \circ F(\pi^* \Omega_B) &= \pi_* \mathcal{H}om_{\mathcal{O}_C}(\pi^* \Omega_B, \mathcal{O}_C(-S_I)) \\ &= \mathcal{H}om_{\mathcal{O}_B}(\Omega_B, \mathcal{O}_B) = \Theta_B.\end{aligned}$$

We obtain an exact sequence

$$\begin{aligned}0 \longrightarrow \pi_* \Theta_{C/B}(-S_I) &\longrightarrow \pi_* \Theta_C(-S_I) \longrightarrow \Theta_B \\ &\xrightarrow{\rho_B} R^1(\pi_* \circ F)\Omega_{C/B} \longrightarrow R^1(\pi_* \circ F)\Omega_C \longrightarrow 0.\end{aligned}$$

The map ρ_B is called a **Kodaira-Spencer map**.

3. Vertex algebras and chiral algebras

Taylor expansions

$$\mathcal{O}_{z_1, \dots, z_n} = \mathbb{C}[[z_1, \dots, z_n]]$$

$$\mathcal{P}_{z_1, z_2} = (z_1 - z_2)^{-1} \mathcal{O}_{z_2}[(z_1 - z_2)^{-1}]$$

We have a decomposition of \mathcal{O}_{z_2} -modules,

$$\mathcal{O}_{z_1, z_2}[(z_1 - z_2)^{-1}] = \mathcal{P}_{z_1, z_2} \oplus \mathcal{O}_{z_1, z_2}.$$

The first projection $\mu_0 : \mathcal{O}_{z_1, z_2}[(z_1 - z_2)^{-1}] \rightarrow \mathcal{P}_{z_1, z_2}$

is given by

$$\mu_0 \left(\frac{f(z_1)g(z_2)}{(z_1 - z_2)^n} \right) = \sum_{k=0}^{n-1} \frac{1}{k!} \cdot \frac{\partial_{z_2}^k f(z_2) \cdot g(z_2)}{(z_1 - z_2)^{n-k}}$$

for $n \in \mathbb{N}$, $f(z), g(z) \in \mathcal{O}_z$.

$$\mathcal{P}_{z_1, z_2} \cong \mathcal{O}_{z_1, z_2}[(z_1 - z_2)^{-1}] / \mathcal{O}_{z_1, z_2}$$

is an \mathcal{O}_{z_1, z_2} -module.

Vertex algebra

A **vertex algebra** $(V, Y, |0\rangle)$ is a collection of data:

- a complex vector space V
- a linear map $Y : V \rightarrow \text{Hom}(V, V((z)))$ written as

$$Y(a, z) = \sum_{n \in \mathbb{Z}} a_{(n)} z^{-n-1}$$

$$(a \in V, \quad a_{(n)} = \text{Res}_{z=0}(Y(a, z)z^n dz) \in \text{End}(V))$$

- a vector $|0\rangle \in V$ (called **vacuum vector**)

satisfying the following conditions:

- (Locality) $Y(a, z), Y(b, w)$ are mutually local for any $a, b \in V$. In other words, there exists a linear map

$$Y^2 : V \otimes V \rightarrow \text{Hom}(V, V[[z, w]][z^{-1}, w^{-1}]][(z - w)^{-1}])$$

such that

$$Y(a, z)Y(b, w) = \varepsilon_\infty Y^2(a, b; z, w),$$

$$\varepsilon_\infty(z - w)^{-1} = \sum_{n \geq 0} w^n z^{-n-1},$$

$$Y(b, w)Y(a, z) = \varepsilon_0 Y^2(a, b; z, w),$$

$$\varepsilon_0(z - w)^{-1} = - \sum_{n < 0} w^n z^{-n-1}$$

- (Vacuum) $Y(a, z)|0\rangle \in V[[z]]$, $Y(a, z)|0\rangle|_{z=0} = a$ ($a \in V$).

In other words, $a_{(-1)} = a$, $a_{(n)}|0\rangle = 0$ ($n \geq 0$).

- (Translation) There exists a linear map $T : V \rightarrow V$ such that

$$T|0\rangle = 0, \quad [T, Y(a, z)] = \partial_z Y(a, z) \quad (a \in V).$$

\mathcal{D} -module

$$\Theta_{z_1, \dots, z_n} = \bigoplus_{i=1}^n \mathcal{O}_{z_1, \dots, z_n} \partial_{z_i}$$

$\mathcal{D}_{z_1, \dots, z_n}$ the \mathbb{C} -algebra generated by $\mathcal{O}_{z_1, \dots, z_n}$, Θ_{z_1, \dots, z_n} .

The ring $\mathcal{O}_{z_1, \dots, z_n}$ is a left $\mathcal{D}_{z_1, \dots, z_n}$ -module.

The projection $\mu_0 : \mathcal{O}_{z_1, z_2}[(z_1 - z_2)^{-1}] \rightarrow \mathcal{P}_{z_1, z_2}$

is a homomorphisms of left \mathcal{D}_{z_1, z_2} -modules.

The Lie algebra Θ_{z_1, \dots, z_n} acts on

$$\omega_{z_1, \dots, z_n} = \mathcal{O}_{z_1, \dots, z_n} dz_1 \wedge \cdots \wedge dz_n$$

by Lie derivative. It makes ω_{z_1, \dots, z_n} a right $\mathcal{D}_{z_1, \dots, z_n}$ -module.

The map

$$\mu_0^{\mathbb{R}} : \mathcal{O}_{z_1, z_2}[(z_1 - z_2)^{-1}] dz_1 \otimes dz_2 \rightarrow \mathcal{P}_{z_1, z_2} dz_1 \wedge dz_2$$

induced by μ_0 is a homomorphism of right \mathcal{D}_{z_1, z_2} -modules.

Jacobi identity

$$\mathcal{O} = \mathcal{O}_{z_1, z_2, z_2} = \mathbb{C}[[z_1, z_2, z_3]][z_1^{-1}, z_2^{-1}, z_3^{-1}]$$

$$t_{ij} = (z_i - z_j)^{-1}.$$

Since $t_{ij}t_{jk} = t_{ij}t_{ik} + t_{ik}t_{jk}$ for distinct i, j, k , we deduce

$$\mathcal{O}[t_{ij}, t_{ik}, t_{jk}] = \mathcal{O}[t_{ij}, t_{ik}] + \mathcal{O}[t_{ik}, t_{jk}].$$

Hence the natural maps

$$\frac{\mathcal{O}[t_{ij}, t_{ik}]}{\mathcal{O}[t_{ik}]} \longrightarrow \frac{\mathcal{O}[t_{ij}, t_{ik}, t_{jk}]}{\mathcal{O}[t_{ik}, t_{jk}]}$$
$$\frac{\mathcal{O}[t_{ij}, t_{ik}]}{\mathcal{O}[t_{ij}] + \mathcal{O}[t_{ik}]} \longrightarrow \frac{\mathcal{O}[t_{ij}, t_{ik}, t_{jk}]}{\mathcal{O}[t_{ik}, t_{jk}] + \mathcal{O}[t_{ij}]}$$

are isomorphisms.

Let

$$\mu_{1[23]} : \mathcal{O}[t_{12}, t_{13}, t_{23}] \longrightarrow \frac{\mathcal{O}[t_{12}, t_{13}, t_{23}]}{\mathcal{O}[t_{12}, t_{13}] + \mathcal{O}[t_{23}]}$$

be the projection.

$$\mu_{[12]3}, \mu_{2[13]} : \mathcal{O}[t_{12}, t_{13}, t_{23}] \longrightarrow \frac{\mathcal{O}[t_{12}, t_{13}, t_{23}]}{\mathcal{O}[t_{12}, t_{13}] + \mathcal{O}[t_{23}]}$$

are the compositions

$$\begin{aligned} \mathcal{O}[t_{12}, t_{13}, t_{23}] &\longrightarrow \frac{\mathcal{O}[t_{12}, t_{13}, t_{23}]}{\mathcal{O}[t_{13}, t_{23}] + \mathcal{O}[t_{12}]} \\ &\stackrel{\sim}{\longleftarrow} \frac{\mathcal{O}[t_{12}, t_{23}]}{\mathcal{O}[t_{12}] + \mathcal{O}[t_{23}]} \stackrel{\sim}{\longrightarrow} \frac{\mathcal{O}[t_{12}, t_{13}, t_{23}]}{\mathcal{O}[t_{12}, t_{13}] + \mathcal{O}[t_{23}]}, \\ \mathcal{O}[t_{12}, t_{13}, t_{23}] &\longrightarrow \frac{\mathcal{O}[t_{12}, t_{13}, t_{23}]}{\mathcal{O}[t_{12}, t_{23}] + \mathcal{O}[t_{13}]} \\ &\stackrel{\sim}{\longleftarrow} \frac{\mathcal{O}[t_{13}, t_{23}]}{\mathcal{O}[t_{13}] + \mathcal{O}[t_{23}]} \stackrel{\sim}{\longrightarrow} \frac{\mathcal{O}[t_{12}, t_{13}, t_{23}]}{\mathcal{O}[t_{12}, t_{13}] + \mathcal{O}[t_{23}]} \end{aligned}$$

$$\mu_{1[23]} = \mu_{[12]3}, \mu_{2[13]} = 0 \text{ on } \mathcal{O}[t_{12}, t_{23}],$$

$$\mu_{1[23]} = \mu_{2[13]}, \mu_{[12]3} = 0 \text{ on } \mathcal{O}[t_{13}, t_{23}].$$

Therefore $\mu_{1[23]} = \mu_{[12]3} + \mu_{2[13]}$.

Chiral algebra

C : compact Riemann surface

\mathcal{A} : right \mathcal{D}_C -module

$\mathcal{A} \boxtimes \mathcal{A}(\infty\Delta) \rightarrow \Delta_! \mathcal{A}$: \mathcal{D}_C -module hom

unit: $\Omega_C \hookrightarrow \mathcal{A}$ compatible with μ_Ω

skew-symmetry: $\mu = -\sigma_{12} \circ \mu \circ \sigma_{12}$

Jacobi identity: $\mu_{1[23]} = \mu_{[12]3} + \mu_{2[13]}$